AMEND
NONPROFIT RAFFLE REPORT

MAIL TO: Office of the Attorney General Registry of Charitable Trusts P.O. Box 903447 Sacramento, CA 94203-4470 STREET ADDRESS: 1300 I Street Sacramento, CA 95814 (916) 210-6400 WEBSITE ADDRESS: www.oag.ca.gov/charities	A report must be completed for each year in which a raffle was conducted (September 1 through August 31). The ~~R~~report~~s~~ ~~are~~ is due on or before October 1. (California Penal Code section 320.5)	(For Registry Use Only)

PART A: General Organization Reporting Information

Name of Organization:	**Provide at least one of the following:** State Charity Registration Number:
Address of Organization:	Raffle Registration Number:
City or Town, State and ZIP Code:	Federal Employee Identification Number (FEIN):
E-mail Address:	SOS Corporat~~e~~ion Number or FTB Organization Number:
Telephone Number:	~~Organization Number:~~
Fax Number:	~~State Charity Registration Number:~~

NOT FOR USE UNTIL FEB 1, 2020

Part B: Raffle Information

1. Raffle year ending August 31, _____ (Year)

2. Aggregate gross receipts from the operation of raffle(s): $ _____

3. Aggregate direct costs incurred by the organization from the operation of raffle(s): $ _____

4. ~~Were funds *from sources other than ticket sales* used to pay for administration or other costs of conducting the raffle(s)?~~ At least 90% of the gross receipts received from ticket sales must be used for the beneficial or charitable purpose of the eligible organization or for the benefit of another eligible organization. Did direct costs exceed 10% of gross receipts and did your organization use funds from sources *other* than from ticket sales to offset costs? ☐ Yes ☐ No

   If yes,

   4(A) Total funds *from sources other than ticket sales* used for the administration or other costs of conducting the raffle(s)?

   $ _____

   4(B) What was the source of these funds?

   _____

5. Describe the charitable or beneficial purpose for which the raffle proceeds were used. _____

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6. Were some or all of the raffle proceeds used for the benefit of another eligible nonprofit organization? ☐ Yes ☐ No

~~6(A)~~ If the answer ~~to 6 above~~ is yes, provide the following information below for each organization for which the proceeds were used. Attach additional sheets of paper, if necessary.

Recipient Organization	$ _____ ~~Exact~~ Dollar Amount of Raffle Proceeds to Recipient Organization
Address of Recipient Organization	Contact Person for Recipient Organization
City, State, and ZIP Code	Telephone Number of Recipient Organization

Part C: Certification by Authorized Officer or Director of Reporting Organization

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~~For the raffle(s) held during the year ending August 31,~~ _____, I hereby certify that:
~~(Year)~~

		True	False
1)	At least 90% of the gross receipts (total dollar amount prior to deduction of expenses) received from the sale of raffle tickets was used for the beneficial or charitable purposes of the eligible organization conducting the raffle or for the benefit of another eligible organization.	☐	☐
2)	None of the funds required to be used for beneficial or charitable purposes were provided to an officer, director or member (as defined by Corporations Code section 5056) of the organization which conducted the raffle(s).	☐	☐
3)	No person involved in or connected with the conduct of the raffle(s) was compensated by the organization conducting the raffle(s) from raffle proceeds required to be used for beneficial or charitable purposes.	☐	☐
4)	No gaming machine, apparatus or device, including but not limited to one which meets the definition of a slot machine as described in California Penal Code sections 330a, 330b, or 330.1, was used in conducting the raffle(s).	☐	☐
5)	No individual corporation, partnership or other legal entity has or holds a financial interest in the conduct of the raffle(s) other than the organization conducting the raffle(s) or any private, nonprofit eligible organization which received funds from the raffle(s).	☐	☐
6)	No raffle was conducted, and no raffle tickets were sold, traded, or redeemed, within an operating racetrack enclosure, satellite wagering facility, or gambling establishment.	☐	☐
7)	Tickets were not sold, traded or redeemed over the Internet.	☐	☐
8)	Raffle funds were not used for any purpose outside of California.	☐	☐

If the answer to any question in Part C, Items 1 through ~~7~~8, was "False,"~~;~~ please explain the circumstances that support the answer. Use additional sheets of paper, if necessary, for the explanation. If the answer to more than one question in Part C was "False,"~~;~~ reference the question number next to each explanation.

In signing this Nonprofit Raffle Report, I hereby certify that all of the information contained herein is true and correct.

_____
Signature of Authorized Officer or Director Who Prepared the Report

_____
Date

_____
Printed Name of Authorized Officer or Director

_____
Title of Authorized Officer or Director

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Office of the Attorney General

Registry of Charitable Trusts

Privacy Notice

As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), collects the information requested on this form as authorized by Penal Code section 320.5 and regulations adopted pursuant to the statute (Cal. Code Regs., tit. 11, §§ 410-426). The Registry uses the information to register or to prepare reports pursuant to the statute and nonprofit raffle program regulations. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at **www.oag.ca.gov/privacy-policy**.

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Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at **www.oag.ca.gov/charities** pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at **rct@doj.ca.gov** or (916) 210-6400.

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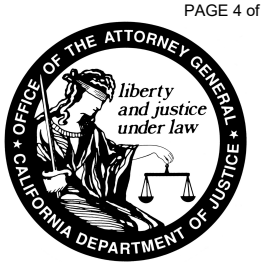
MAIL TO:
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470
(916) 210-6400

WEBSITE ADDRESS:
www.oag.ca.gov/charities

Instructions for Nonprofit Raffle Report (CT-NRP-2)



The Nonprofit Raffle Report (CT-NRP-2) is a single aggregate report for all raffles an organization conducts during the reporting year, and is due on or before **October 1st**. Precise record-keeping is required. The Registry of Charitable Trusts will not accept reports that include **estimates** of proceeds and expenses. Organizations that conduct raffles as part of a larger fundraising event must maintain a record of raffle proceeds and expenses **separate** from all other event monies: **only** raffle proceeds and expenses are to be listed on the CT-NRP-2 Report.

Mail to: Department of Justice
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

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Part A. General Organization Reporting Information

List the name exactly as it appears on the organization's Secretary of State (SOS), or Franchise Tax Board (FTB) documents. If your organization is in a parent/child relationship with another organization, the parent organization must report. Provide at least one of the organization's nonprofit identification numbers:

- State Charity Registration Number (CT # from the Registry of Charitable Trusts);
- Raffle Registration Number (number listed on your confirmation of registration letter);
- Federal Employer Identification Number (nine-digit number received from the IRS);
- Corporation number (number from the SOS) *or* Exempt organization number (the number you received from the FTB). An organization will either have a corporation number or an organization number (not both).

Part B: Raffle Information

1. Raffle year ending August 31 (year may be found on the raffle confirmation of registration letter).

2. Aggregate gross receipts (total funds received from ticket sales).

3. Aggregate direct costs incurred by the organization (total expenses).

4. At least 90% of the gross receipts received from ticket sales must be used for the beneficial or charitable purpose of the eligible organization. If expenses exceed 10% of gross receipts, and your organization used funds from sources *other* than the raffle proceeds to offset the expenses, please mark “Yes” and complete 4 (A) & (B).

5. Charitable or beneficial purpose(s) (describe purpose; do not simply list the organization name).

6. If raffle proceeds benefited **another** eligible nonprofit organization, complete 6 in its entirety for each organization receiving raffle funds. List only exact **raffle** funds used to benefit **another** eligible nonprofit organization. Do not list your own organization. Do not include money from other fundraising sources. Use additional sheets of paper if necessary. ** Note that raffle funds must remain in California.

Part C: Certification by Authorized Officer or Director of Reporting Organization

Answer Questions 1 through 8 “True” or “False.” If the answer to any question is “False” please explain the circumstances that support the answer. Use additional sheets of paper if necessary.

The Nonprofit Raffle Report (CT-NRP-2) must be signed by an authorized officer or director of the organization (president, chief executive officer, treasurer or chief financial officer).

Complete all fields in the Nonprofit Raffle Report (CT-NRP-2). Incomplete reports will not be processed.

No raffles conducted during the year in which an organization was registered.

If an organization did not conduct any raffle activities during the registration year it must still file a report. Submit a CT-NRP-2 Form with the organization's name, contact information, and identification numbers. Complete Part B, Question 1 that asks for Raffle year (raffle year may be found on the raffle confirmation of registration letter). Then enter, “Raffles not held during the _____ registration year.” Leave the rest of the form blank, and have an authorized officer of the organization complete the signature section on the second page and submit to the Registry of Charitable Trusts.

Ticket sales only during the year in which an organization was registered.

If an organization sells tickets during the current registration year, but will conduct the final draw during the *next* registration year, the organization must still file for the current registration year in which tickets are sold. Submit an NRP-2 Form with the organization's name, contact information, and identification numbers. Under Part B, Question 1 that asks for Raffle year enter, “Tickets sold during the ____ registration year. Drawing will be held in ____ (year).” Leave the rest of the form blank, and have an authorized officer or director complete the signature section on the second page and submit to the Registry of Charitable Trusts.