



ELECTRONIC RECORDING DELIVERY SYSTEM (ERDS) APPLICATION FOR COMPUTER SECURITY AUDITOR APPROVAL

TYPE OR PRINT (IN INK) ALL INFORMATION REQUESTED ON THE APPLICATION FORM. SIGNATURE MUST BE ORIGINAL.

TYPE OF APPLICATION (Check one box only)

☐ INITIAL

☐ RENEWAL

SECTION A - Auditor Information

APPLICANT NAME		DRIVER LICENSE NUMBER		ERDS CERTIFICATE NUMBER (Required for renewal)	
COMPANY NAME				DATE OF BIRTH	
ADDRESS		CITY		STATE	ZIP CODE
TELEPHONE	FAX	E-MAIL			
ARE YOU BONDED? (Optional) <input type="checkbox"/> YES <input type="checkbox"/> NO		WHAT IS THE LEVEL OF THE BOND?		BONDING AGENCY'S NAME	
GEOGRAPHICAL LOCATION(S): <input type="checkbox"/> NORTHERN CALIFORNIA <input type="checkbox"/> CENTRAL CALIFORNIA <input type="checkbox"/> SOUTHERN CALIFORNIA					
EMPLOYER (if any)		E-MAIL		TELEPHONE	FAX
ADDRESS		CITY		STATE	ZIP CODE

SECTION B - Significant Experience Criteria

Attach copies of the appropriate certification(s) with your application.

1. The experience criteria can be met by the possession of at least one of the following certifications, which is in good standing with the certifying organization and at least two years of experience in the evaluation and analysis of Internet security design, in conducting security testing procedures, and specific experience performing Internet penetration studies.
 - A. Certified Internal Auditor (CIA) from the Institute of Internal Auditors.
 - B. Certified Information Systems Auditor (CISA) from the Information Systems Audit and Control Association.
2. If the auditor does not have CIA or CISA certification, he or she shall possess one of the following certifications and meet the noted qualifications. The certification shall be submitted with the Reference(s) for ERDS Computer Security Auditor form (ERDS 0004) and attached to the application form.
 - A. Certified Fraud Examiner (CFE) certificate from the Association of Certified Fraud Examiners (ACFE).
QUALIFICATION: At least two years of experience in the evaluation and analysis of Internet Security Design, conducting security testing procedures, and specific experience performing Internet penetration studies.
 - B. Certified Information Systems Security Professional (CISSP) certificate from the International Information Systems Security Certification Consortium (ISC).
QUALIFICATION: At least two years of experience in the evaluation and analysis of Internet Security Design, conducting security testing procedures, and specific experience performing Internet penetration studies.
 - C. Global Information Assurance Certification from the Sysadmin, Audit, Networks Security Institute.
QUALIFICATION: Two years of experience in the evaluation and analysis of Internet Security Design, conducting security testing procedures, and specific experience performing Internet penetration studies.



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APPLICANT NAME _____

SECTION C - Application Checklist (Check if copy is attached)

INITIAL APPLICATION

- ☐ Reference(s) for ERDS Computer Security Auditor form (ERDS 0004)
- ☐ Certification(s)
- ☐ Proof of Fingerprint Submission

RENEWAL APPLICATION

- ☐ Reference(s) for ERDS Computer Security Auditor form (ERDS 0004)
- ☐ Certification(s)

SECTION D - Terms, Conditions, and Declaration

I declare under penalty of perjury under the laws of the State of California all the foregoing information and all information submitted with this application is true, correct and complete, and that a false or dishonest answer to any question shall be grounds for denial or subsequent termination of approval.

In addition, I attest that I am not an Authorized Submitter, Agent of an Authorized Submitter, or Certified Vendor of ERDS Software as defined in the California Code of Regulations, Title 11, Division 18, Article 2, section 999.108.

Applicant Signature

Date

Print Name

APPLICANT SUBMISSION

The information on this application and all documentation becomes the property of the Department of Justice and will be used by authorized personnel.

Mail to: California Department of Justice
Electronic Recording Delivery System Program
P.O. Box 160968
Sacramento, CA 95816-0968

Phone: (916) 210-4237
Email: ERDS@doj.ca.gov

DOJ USE ONLY

Cert #	_____	Tracking #	_____
Date Rec'd	_____	HDC Date	_____
Response Date	_____	Rev. By	_____
Analyst	_____	<input type="checkbox"/> Approved	<input type="checkbox"/> Denied



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Privacy Notice

As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The California Justice Information Services (CJIS) Division in the Department of Justice collects the information requested on this form as authorized by Government Code sections 27394 and 27395 and California Code of Regulations Title 11, Division 1, Chapter 18, Article 7, section 999.190. The CJIS Division uses this information for the purpose of completing fingerprint criminal history record checks and/or approving/certifying individuals requesting to obtain Computer Security Auditor approval. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at: <http://oag.ca.gov/privacy-policy>.

Providing Personal Information. All the personal information requested in the form must be provided. Failure to provide the requested information will result in denial of the request, although a denial shall not prohibit the submission of an application at a later date.

Access to Your Information. You may review the records maintained by the CJIS Division in the Department of Justice that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to complete fingerprint criminal history record checks and/or approve/certify individuals requesting Computer Security Auditor approval, we may need to share the information you give us with other government agencies. Information provided on this form will be disclosed to the public via the DOJ/ERDS web site.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency as required by state or federal law.

Contact Information. For questions about this notice or access to your records, you may contact the Electronic Recording Delivery System Program manager via telephone at (916) 210-4237, e-mail at erds@doj.ca.gov, or by mail at:

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Electronic Recording Delivery System Program
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