A. ESTIMATED PRIVATE SECTOR COST IMPACTS  Include calculations and assumptions in the rulemaking record.

1. Check the appropriate box(es) below to indicate whether this regulation:
   - a. Impacts business and/or employees
   - b. Impacts small businesses
   - c. Impacts jobs or occupations
   - d. Impacts California competitiveness
   - e. Imposes reporting requirements
   - f. Imposes prescriptive instead of performance
   - g. Impacts individuals
   - h. None of the above (Explain below):

   _X_ h. None of the above (Explain below):

   No impact exists. This regulation does not affect any private sector costs.

   If any box in Items 1 a through g is checked, complete this Economic Impact Statement.

   If box in Item 1 h. is checked, complete the Fiscal Impact Statement as appropriate.

2. The (Agency/Department) estimates that the economic impact of this regulation (which includes the fiscal impact) is:
   - _X_ Below $10 million
   - _X_ Between $10 and $25 million
   - _X_ Between $25 and $50 million
   - _X_ Over $50 million (If the economic impact is over $50 million, agencies are required to submit a Standardized Regulatory Impact Assessment as specified in Government Code Section 11346.3(c)).

3. Enter the total number of businesses impacted:

   Describe the types of businesses (Include nonprofits):

   Enter the number or percentage of total businesses impacted that are small businesses:

4. Enter the number of businesses that will be created:   eliminated:

   Explain:

5. Indicate the geographic extent of impacts:
   - _X_ Statewide
   - _X_ Local or regional (List areas):

6. Enter the number of jobs created:   and eliminated:

   Describe the types of jobs or occupations impacted:

7. Will the regulation affect the ability of California businesses to compete with other states by making it more costly to produce goods or services here?
   - _X_ YES
   - _X_ NO

   If YES, explain briefly:
ECONOMIC IMPACT STATEMENT (CONTINUED)

B. ESTIMATED COSTS  Include calculations and assumptions in the rulemaking record.

1. What are the total statewide dollar costs that businesses and individuals may incur to comply with this regulation over its lifetime? $  
   a. Initial costs for a small business: $  Annual ongoing costs: $  Years:  
   b. Initial costs for a typical business: $  Annual ongoing costs: $  Years:  
   c. Initial costs for an individual: $  Annual ongoing costs: $  Years:  
   d. Describe other economic costs that may occur:

2. If multiple industries are impacted, enter the share of total costs for each industry:

3. If the regulation imposes reporting requirements, enter the annual costs a typical business may incur to comply with these requirements.  
   Include the dollar costs to do programming, record keeping, reporting, and other paperwork, whether or not the paperwork must be submitted. $  

4. Will this regulation directly impact housing costs?  
   □ YES  □ NO  
   If YES, enter the annual dollar cost per housing unit: $  
   Number of units:  

5. Are there comparable Federal regulations?  
   □ YES  □ NO  

   Explain the need for State regulation given the existence or absence of Federal regulations:

   Enter any additional costs to businesses and/or individuals that may be due to State - Federal differences: $  

C. ESTIMATED BENEFITS  Estimation of the dollar value of benefits is not specifically required by rulemaking law, but encouraged.

1. Briefly summarize the benefits of the regulation, which may include among others, the health and welfare of California residents, worker safety and the State's environment:

2. Are the benefits the result of: □ specific statutory requirements, or □ goals developed by the agency based on broad statutory authority?  
   Explain:

3. What are the total statewide benefits from this regulation over its lifetime? $  

4. Briefly describe any expansion of businesses currently doing business within the State of California that would result from this regulation:

D. ALTERNATIVES TO THE REGULATION  Include calculations and assumptions in the rulemaking record. Estimation of the dollar value of benefits is not specifically required by rulemaking law, but encouraged.

1. List alternatives considered and describe them below. If no alternatives were considered, explain why not:
2. Summarize the total statewide costs and benefits from this regulation and each alternative considered:

   Regulation: Benefit: $___________ Cost: $___________
   Alternative 1: Benefit: $___________ Cost: $___________
   Alternative 2: Benefit: $___________ Cost: $___________

3. Briefly discuss any quantification issues that are relevant to a comparison of estimated costs and benefits for this regulation or alternatives:

   __________________________________________________________________________

4. Rulemaking law requires agencies to consider performance standards as an alternative, if a regulation mandates the use of specific technologies or equipment, or prescribes specific actions or procedures. Were performance standards considered to lower compliance costs? ☐ YES ☐ NO

   Explain: ________________________________________________________________

5. Briefly describe the following:
   The increase or decrease of investment in the state:
   ________________________________________________________________________

   The Incentive for innovation in products, materials or processes:
   ________________________________________________________________________

   The benefits of the regulations, including, but not limited to, benefits to the health, safety, and welfare of California residents, worker safety, and the state's environment and quality of life, among any other benefits identified by the agency:
   ________________________________________________________________________
STATE OF CALIFORNIA — DEPARTMENT OF FINANCE
ECONOMIC AND FISCAL IMPACT STATEMENT
(REGULATIONS AND ORDERS)
STD 399 (REV 1212013)

FISCAL IMPACT STATEMENT

A. FISCAL EFFECT ON LOCAL GOVERNMENT: Indicate appropriate boxes 1 through 6 and attach calculations and assumptions of fiscal impact for the current year and two subsequent Fiscal Years.

1. Additional expenditures in the current State Fiscal Year which are reimbursable by the State. (Approximate) (Pursuant to Section 6 of Article XIII B of the California Constitution and Sections 17500 et seq. of the Government Code).

$ __________________________

☐ a. Funding provided in

______________________________ or Chapter __________, Statutes of __________

☐ b. Funding will be requested in the Governor's Budget Act of

______________________________

2. Additional expenditures in the current State Fiscal Year which are NOT reimbursable by the State. (Approximate) (Pursuant to Section 6 of Article XIII B of the California Constitution and Sections 17500 et seq. of the Government Code).

$ __________________________

Check reason(s) this regulation is not reimbursable and provide the appropriate information:

☐ a. Implements the Federal mandate contained in

______________________________

☐ b. Implements the court mandate set forth by the __________________________ Court.

Case of: __________________________ vs. __________________________

☐ c. Implements a mandate of the people of this State expressed in their approval of Proposition No. __________________________

Date of Election: __________________________

☐ d. Issued only in response to a specific request from affected local entity(s).

Local entity(s) affected: __________________________

☐ e. Will be fully financed from the fees, revenue, etc. from:

______________________________

Authorized by Section: __________________________ of the __________________________ Code;

☐ f. Provides for savings to each affected unit of local government which will, at a minimum, offset any additional costs to each;

☐ g. Creates, eliminates, or changes the penalty for a new crime or infraction contained in

______________________________

3. Annual Savings. (approximate)

$ __________________________

4. No additional costs or savings. This regulation makes only technical, non-substantive or clarifying changes to current law regulations.

☐ 5. No fiscal impact exists. This regulation does not affect any local entity or program.

☐ 6. Other. Explain

______________________________
B. FISCAL EFFECT ON STATE GOVERNMENT

Indicate appropriate boxes 1 through 4 and attach calculations and assumptions of fiscal impact for the current year and two subsequent Fiscal Years.

☐ 1. Additional expenditures in the current State Fiscal Year. (Approximate)

$ ______________________

It is anticipated that State agencies will:

☐ a. Absorb these additional costs within their existing budgets and resources.

☐ b. Increase the currently authorized budget level for the ______________________ Fiscal Year.

☐ 2. Savings in the current State Fiscal Year. (Approximate)

$ ______________________

☐ 3. No fiscal impact exists. This regulation does not affect any State agency or program.

☐ 4. Other. Explain ______________________

C. FISCAL EFFECT ON FEDERAL FUNDING OF STATE PROGRAMS

Indicate appropriate boxes 1 through 4 and attach calculations and assumptions of fiscal impact for the current year and two subsequent Fiscal Years.

☐ 1. Additional expenditures in the current State Fiscal Year. (Approximate)

$ ______________________

☐ 2. Savings in the current State Fiscal Year. (Approximate)

$ ______________________

☐ 3. No fiscal impact exists. This regulation does not affect any federally funded State agency or program.

☐ 4. Other. Explain ______________________