

APPLICATION FOR INTERIM LICENSE FOR MANUFACTURERS, DISTRIBUTORS, AND VENDORS OF BINGO EQUIPMENT, DEVICES, SUPPLIES AND SERVICES

BGC 610 (Rev. 07/2017)



PLEASE SEND COMPLETED APPLICATIONS TO:

Bureau of Gambling Control
P. O. Box 168024
Sacramento, CA 95816-8024
(916) 830-1700

Pursuant to Penal Code sections 326.3 and 326.5, the California Gambling Control Commission (Commission) shall license manufacturers and distributors of card-minding devices and any vendor providing bingo supplies, equipment, or services used in the playing of remote caller bingo games. California Code of Regulations, Title 4, Section 12492 allows for the issuance of an interim license in order to avoid disruption to fundraising efforts by nonprofit organizations. In order to apply for an interim license, an application is required to be submitted by the "owner-licensee" and every "owner" (individual or entity) that has a 10 percent or greater interest in or significant influence over the business.

Instructions:

Type or print legibly, in ink, all information requested on this applications. If a question does not apply, write "N/A" (Not Applicable). Incomplete applications will be returned. You must provide truthful information in all your responses. All answers to questions in this application will be subject to verification. Any misrepresentation or failure to disclose information may constitute sufficient cause for denial or revocation of your license.

Send the completed application package with required fees (listed below) to : Bureau of Gambling Control, P. O. Box 168024, Sacramento, CA 95816-8024. Please make all checks payable to the Bureau of Gambling Control.

SECTION 1 - INTERIM LICENSE TYPE AND FEE

Please check the appropriate boxes below indicating whether you are applying for an *initial* or *renewal* interim license, the type of interim license you are applying for, and submit the corresponding application fee

Name of Business

Name of Applicant (Individual or Entity)

Initial Interim License: \$500.00 (non-refundable fee, per application)

Renewal Interim License: \$500.00 (non-refundable fee, per application)

Indicate the interim license type you are applying for:

Manufacturer of: (Check one)

- Card-Minding Devices
 Remote Caller Bingo Equipment or Supplies

Distributor of Card-Minding Devices

Vendor of: (Check all that apply)

- Remote Caller Bingo Equipment of Supplies
 Remote Caller Bingo Services

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SECTION 2 - TYPE OF OWNER APPLICATION

Check one box indicating the type of owner application. submit the application with the required fee and forms/information listed below with your *initial* or *renewal* application

Business Entity (Owner-licensee):

(The owner of the business for which the interim license is to be issued, which may include any of the entity types listed in section 3b.)

Complete all sections except section 4.

Attach the following to the application:

Current Organization Chart

Listing of any gaming licenses

Copy of organizing documents (i.e., Articles of Incorporation, Articles of Organization, Partnership Agreement, etc.)

Individual Owner Applicant:

(Any owner of the business entity that is to be endorsed on the owner-licensee's certificate, which may include any of the individual owner titles listed in section 4a.

Complete sections 4, 5, and 6 only.

Submit fingerprints - **Initial Application Only**

If residing within the state of California, follow the steps below:

- 1) Locate and call a Live Scan provider. Determine if an appointment is required. Take the Request for Live Scan Service forms, BCII 8016, to a Live Scan provider.
- 2) The provider will process the fingerprints via Live Scan. Note: Applicants are not required to pay the processing fee associated with the processing and transmitting of fingerprints as these fees will be billed directly to the requesting agency.
- 3) Attach a copy of the Request for Live Scan Service form to this application confirming that fingerprints have been submitted.

If residing outside of California, two FBI fingerprint cards may be obtained from the Commission and taken to any law enforcement agency for fingerprinting.

Entity Owner Applicant:

(An owner of the business entity that is endorsed on the owner-licensee's certificate, which may include any of the entity types listed in section 3b.

Complete section 3, 5, and 6 only.

Attach the following to the application:

Current Organization Chart

Copy of organizing documents (i.e. Articles of Incorporation, Articles of Organization, Partnership Agreement, etc.)

SECTION 3a - BUSINESS ENTITY INFORMATION

If the business has more than one location, attach a list of all California business locations

Name of Business

Principal Place of Business Address

Mailing Address (If different than business address)

Telephone Number

Fax Number

Website Address (If any)

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SECTION 3a - BUSINESS ENTITY INFORMATION - Continued

Federal Employer Identification Number (If any)

Social Security Number (If no FEIN)

What fiscal year does the business use?

Calendar year (January-December)

Other:

Date

To:

Date

SECTION 3b - ENTITY STRUCTURE

Attach a current organization chart for the entity indicating names and job titles

Check the appropriate box below:

Sole Proprietor

Corporation:

Trust

General Partnership

Publicly Traded

Revocable

Limited Partnership

Private:

Irrevocable

Joint Venture

Sub-Chapter S

Other: _____

Limited Liability Company

Sub-Chapter C

Provide the following information regarding the business. Include all shareholders with a greater than 10% in ownership. For members of a Limited Liability Company, list membership interest in the ownership column. For partners, indicate whether general or limited partner after their name. For officers, directors, and principal management employees that have no ownership, enter 0% in the ownership column. If additional space is needed, use a separate sheet of paper.

Entity / Individual's Name	Title	Ownership / Membership Interest Percentage	Compensation Arrangement (salary, hourly wage, incentives, bonuses, etc)
		%	
		%	
		%	
		%	
		%	
		%	
		%	
		%	
		%	
		%	
		%	
		%	

SECTION 3c - GENERAL INFORMATION: BUSINESS ENTITY

If you answer "yes" to any of the questions below, provide an explanation on a separate sheet of paper. If this is an application for *renewal*, you are only required to provide information not previously disclosed

1. Has the business been licensed with any tribal, county, city, state, federal or international gaming agency? If yes, attach a listing of the gaming agency name, issue date, and expiration date	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2. Has the business applied for a permit, license, finding of suitability, certificate, registration, or authorization related to bingo in any jurisdiction that was withdrawn or denied? If yes, attach a listing of the agency name and an explanation.	<input type="checkbox"/> YES	<input type="checkbox"/> NO

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SECTION 4a - INDIVIDUAL APPLICANT INFORMATION

Please indicate your association with the business (Check all that apply)

- | | | | |
|--|--------------------------------------|--|--|
| <input type="checkbox"/> Sole Proprietor | <input type="checkbox"/> Officer | <input type="checkbox"/> Board of Directors Member | <input type="checkbox"/> Trustor |
| <input type="checkbox"/> General Partner | <input type="checkbox"/> Director | <input type="checkbox"/> LLC Member | <input type="checkbox"/> Trustee |
| <input type="checkbox"/> Limited Partner | <input type="checkbox"/> Shareholder | <input type="checkbox"/> General Manager | <input type="checkbox"/> Current Beneficiary |
| <input type="checkbox"/> Other: _____ | | | |

Applicant's Full Name

Other Name you have used or been know by (aliases, maiden name, nicknames, other name changes; legal or otherwise)

*Address of Record

Residence Address, if different than above

Home Phone Number	Work Phone Number	Cell Phone Number
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Birthdate (mm/dd/yyyy)	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	**Social Security Number
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SECTION 4b - GENERAL INFORMATION: INDIVIDUAL APPLICANT
If you answer "yes" to any of the questions below, provide an explanation on a separate sheet of paper. If this is an application for renewal, you are only required to provide information not previously disclosed.

- | | |
|--|--|
| 1. Have you ever been convicted of any crime (misdemeanor or felony), including convictions which you pled "no contest" or "nolo contendere?"
If yes, provide the following information for each conviction:
Date of conviction
Crime convicted of
Court location (city and state)
Penalty received | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 2. Have you been issued a gaming license, certificate, permit, registration, finding of suitability, etc. by any tribal, county, city, state, federal, or international agency? | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 3. Have you applied for a license, certificate, permit, registration, finding of suitability, authorization, etc. related to bingo in any jurisdiction that was withdrawn or denied?
If yes, attach a listing of the agency name and an explanation. | <input type="checkbox"/> YES <input type="checkbox"/> NO |

SECTION 5 - AUTHORIZED REPRESENTATIVE INFORMATION
Business entity applicants must assign an authorized representative to represent the business concerning this application and other matters regarding licensure. Individual applicants may designate another individual or themselves.

Applicant's Full Name

Relationship to Applicant:

Owner Attorney Employee Self Other: _____

Business name (if applicable)

Mailing Address

E-Mail Address

Telephone Number	Cell Phone Number	Fax Number
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SECTION 6 - CERTIFICATION / SIGNATURE

If applying as a business entity, the highest ranking officer must sign on behalf of the entity. An applicant applying as an individual must sign on his or her behalf. If applying as a trust, the trustee must sign on behalf of the trust

I certify under penalty of perjury under the laws of the State of California that I have personally completed this form and know its contents, the information contained herein and in any attachments, is true, accurate, and complete. I also understand that approval of an interim license is subject to the following conditions:

1. *An interim license shall be valid for one year from the date it is issued by the Commission and may be renewed if regulations specifying the criteria for a regular license have not been adopted.*
2. *Upon adoption of regulations specifying the criteria for a regular license, the Commission will notify the holder of the interim license of the requirement to submit a regular application package within 30 days of the effective date of the regulations. If a response has not been received within 30 days, the interim license will not be eligible for renewal.*
3. *An interim license does not obligate the Commission to issue a regular license nor does it create a vested right in the holder to either a renewal of the interim license or to the granting of a subsequent regular license.*
4. *Issuance of an interim license has no bearing on the question of whether the holder will qualify from issuance of any Commission permit, registration, or license. The interim license will be cancelled in the event that the Commission subsequently determines the applicant does not qualify for a regular license.*
5. *If, during the term of an interim license, it is determined that the holder is disqualified pursuant to Section 12493, the Executive Director shall prepare an order to show cause why that interim license should not be cancelled. The holder of the interim license shall be given at least 30 days, but not more than 90 days, to respond in writing. After receipt of the holder's response, or if the holder fails to respond in the time specified, the matter shall be set for consideration at a noticed Commission meeting. The holder may address the Commission by way of an oral statement at the Commission meeting and, either in writing not less than ten days prior to the meeting or at the meeting itself, may request an evidentiary hearing.*

Signature	Date
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*You must provide your residence address to the Bureau of Gambling Control (Bureau). Unless a separate mailing address is provided, the Bureau will mail all correspondence to your residence address. Your residence address will not be displayed on the Bureau's website and will not be provided to the Public as a result of a request pursuant to the Public Records Act (Government Code section 6250 et seq.) or Business and Professions Code section 19821(b).

**Disclosure of your U.S. social security number is mandatory. Business and Professions Code section 30 and Public Law 94-455 (42 USC section 405(c)(2)(C)) authorize collection of your social security number. Your social security number will be used exclusively for tax enforcement purposes, for purposes of compliance with any judgment or order for family support in accordance with Family Code section 17520 or for verification of licensure. If you fail to disclose your social security number, your application will not be processed and you will be reported to the Franchise Tax Board, which may assess a \$100 penalty against you.