



**MAJOR LEAGUE SPORTS RAFFLE  
UNPAID VOLUNTEER or INDIVIDUAL AFFILIATED PERSON  
ANNUAL REGISTRATION FORM**

MAIL TO:  
Bureau of Gambling Control  
P. O. Box 168024  
Sacramento, CA 95816-8024  
Phone: (916) 227-3584; Fax (916) 227-0170

**SECTION 1: APPLICANT INFORMATION**

Applicant's First Name		Last Name			Middle Initial
Government Issued Identification Information				Date of Birth (mm/dd/yyyy)	
Home Address (no. and street name)	City	State <input type="text"/>	Zip Code	Daytime Telephone Number	
Mailing Address (no. and street name)	City	State <input type="text"/>	Zip Code	Alternative Contact Telephone #	

**SECTION 2: ELIGIBLE ORGANIZATION AFFILIATION INFORMATION**

Name of Eligible Organization					
Eligible Organization Address (no. and street name)			City	State <input type="text"/>	Zip Code
Federal Tax ID	E-Mail Address (if applicable)			Telephone Number	

**SECTION 3: ELIGIBLE RECIPIENT ORGANIZATION INFORMATION**

Name of Eligible Recipient Organization					
Eligible Recipient Organization Address (no. and street name)			City	State <input type="text"/>	Zip Code
Federal Tax ID	E-Mail Address (if applicable)			Telephone Number	

**SECTION 4: CERTIFICATION**

I declare under penalty of perjury under the laws of the State of California that the foregoing information, and all information submitted with this application, is true, correct, and complete. I understand that the information I have provided on this form will be verified with either the eligible organization or the eligible recipient organization as part of the registration process. Additionally, I agree to comply with all applicable laws, local ordinances, and administrative regulations concerning major league sports raffles in the State of California.

Printed Name: \_\_\_\_\_  
 Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**MAJOR LEAGUE SPORTS RAFFLE  
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ANNUAL REGISTRATION FORM**

**Privacy Notice on Data Collection Forms**

As Required by Civil Code § 1798.17

**Collection and Use of Personal Information**

The Division of Law Enforcement, Bureau of Gambling Control in the Department of Justice collects the information requested on this form as authorized by California Penal Code section 320.6. The Bureau uses this information to establish grounds for the license, permit or other approval indicated on this application form. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at <http://oag.ca.gov/privacy-policy>.

**Providing Personal Information**

All the personal information requested in this form must be provided.

**Access to Your Information**

You may review the records maintained by the Division of Law Enforcement, Bureau of Gambling Control in the Department of Justice that contain your personal information as permitted by the Information Practices Act. (See below for contact information.)

**Possible Disclosure of Personal Information**

In order to process your application, we may need to share the information you give us with law enforcement or regulatory agencies.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency as required by state or federal law.

**Contact Information**

For questions about this notice or access to your records, you may contact the Special Agent Supervisor of Special Programs at the Department of Justice, Bureau of Gambling Control, at P. O. Box 168024, Sacramento, CA 95816-8024, (916) 227-3584 or e-mail at [GamblingControl@doj.ca.gov](mailto:GamblingControl@doj.ca.gov)



**REPEALED**

**MAJOR LEAGUE SPORTS RAFFLE  
MANUFACTURER AND DISTRIBUTOR OF PRODUCTS OR SERVICES  
ANNUAL REGISTRATION FORM  
FOR CALENDAR YEAR 20\_\_**



MAIL TO:  
Bureau of Gambling Control  
P. O. Box 168024  
Sacramento, CA 95816-8024  
Phone: (916) 227-3584; Fax (916) 227-0170

Pursuant to California Penal Code section 320.6, requires manufacturers and distributors, who provide raffle-related products or services to eligible organizations that conduct major league sports raffle(s) to register annually with the Department of Justice. This form shall be used by manufacturers and distributors who provide raffle-related products or services to apply for registration with the Department of Justice.

Please type or print (in ink) all information requested on this form. **A \$5,000 Annual Registration Fee must accompany this form. Make check or money order payable to the California Department of Justice.**

**SECTION 1: ENTITY INFORMATION**

Applicant's First Name		Last Name		Middle Initial	Title
Business Name			Doing Business As (if different than Business Name)		
Business Address (no. and street name)		City	State	Zip Code	Business Telephone Number
Mailing Address (no. and street name)		City	State	Zip Code	Business Fax Number
City or County of Business License		Business License or Tax Certificate Number		Business License Expiration Date	
Federal Tax ID	E-Mail Address (if applicable)		Website Address (if applicable)		

**SECTION 2: RAFFLE-RELATED PRODUCTS AND SERVICES**

Please indicate the products and/or services that the business provides:

- |  |   |
|--|---|
| <input type="checkbox"/> Electronic Accounting & Reporting System Software | <input type="checkbox"/> Electronic Raffle Ticket Sales Printer |
| <input type="checkbox"/> Electronic Accounting & Reporting System          | <input type="checkbox"/> Electronic Raffle Ticket Paper         |
| <input type="checkbox"/> Electronic Raffle System Security Component       | <input type="checkbox"/> Counterfoil Container                  |
| <input type="checkbox"/> Electronic Raffle System Server                   | <input type="checkbox"/> Admission-style Raffle Tickets         |
| <input type="checkbox"/> Electronic Raffle System Server Software          | <input type="checkbox"/> Wide Area Network Component            |
| <input type="checkbox"/> Electronic Raffle Ticket Sales Device             |   |

Other

**REPEALED**

**MAJOR LEAGUE SPORTS RAFFLE  
MANUFACTURER AND DISTRIBUTOR OF PRODUCTS OR SERVICES  
ANNUAL REGISTRATION FORM**



**SECTION 3: MANUFACTURER LICENSE INFORMATION**

**If additional space is needed, please attach a listing of the requested information on a separate sheet of paper.**

Has the equipment being registered been licensed with any gaming agency, including any other state, city, county, federal, or country agency?

No

Yes. Please list the gaming agency and license information in the following table

Gaming Agency Name	License Number from that Agency	Expiration Date

I declare under penalty of perjury under the laws of the State of California that the foregoing information, and all information submitted with this application is true, correct, and complete. Additionally, our business and its representatives agree to comply with all applicable laws, local ordinances, and administrative regulations concerning major league sports raffles in the State of California.

First Name

Last Name

Middle Initial

Printed Name

\_\_\_\_\_

Signature:

\_\_\_\_\_

Date:

\_\_\_\_\_



**MAJOR LEAGUE SPORTS RAFFLE  
MANUFACTURER AND DISTRIBUTOR OF PRODUCTS OR SERVICES  
ANNUAL REGISTRATION FORM**

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As Required by Civil Code § 1798.17

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The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency as required by state or federal law.

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## MAJOR LEAGUE SPORTS RAFFLE AFFILIATED PERSON ANNUAL REGISTRATION FORM

### SECTION 3: CERTIFICATION

I declare under penalty of perjury under the laws of the State of California that the foregoing information, and all information submitted with this application is true, correct, and complete. I understand that the information I have provided on this form will be verified with the eligible organization as part of the registration process. I agree to comply with all applicable laws, local ordinances, and administrative regulations concerning major league sports raffles in the State of California.

First Name

Last Name

Middle Initial

Fiduciary's Printed Name

Fiduciary's Signature:

Date:

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**REPEALED**

**MAJOR LEAGUE SPORTS RAFFLE  
ELIGIBLE ORGANIZATION ANNUAL REPORT**



PLEASE SEND COMPLETED REPORT TO:  
Bureau of Gambling Control  
P. O. Box 168024  
Sacramento, CA 95816-8024  
Phone: 916-227-3584; Fax (916) 227-0170

Annual Report Period: \_\_\_\_\_

Eligible Organization's Name: \_\_\_\_\_

Eligible Organization's Registration Number: \_\_\_\_\_

Pursuant to Penal Code section 320.6, subdivision (o)(12)(A), once registered, an eligible organization shall file annually thereafter with the Department of Justice a report that includes all of the following information for each of the eligible organization's last three fiscal years. Pursuant to Penal Code section 320.6, subdivision (o)(12)(B), failure to submit the annual report shall be grounds for denial of an annual registration.

REGULATION/STATUTE	Year 1	Year 2	Year 3
(i) The aggregate gross receipts from the operation of raffles.	to	to	to
(ii) The aggregate direct costs incurred by the eligible organization from the operation of raffles.			
(iii) The charitable or beneficial purposes for which proceeds of the raffles were used.			
(iv) The aggregate distributions of proceeds from the operation of raffles made to directly support beneficial or charitable purposes, other than beneficial or charitable purposes undertaken by the eligible organization, or eligible recipient organizations, under Penal Code section 320.5, subdivision (c).			
(v) The aggregate distributions of proceeds from the operation of raffles made to raffle winners.			
(vi) The aggregate distributions of proceeds from the operation of raffles made to any other organizations, or for any other purposes, other than those included in items (ii), (iv), and (v) above.			



**REPEALED**

### MAJOR LEAGUE SPORTS RAFFLE ELIGIBLE ORGANIZATION ANNUAL REPORT

REGULATION/STATUTE	Year 1	Year 2	Year 3
	to	to	to
(vii) A schedule of distributions of proceeds from the operation of raffles, by individual raffle, made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are not affiliated with the eligible organization.			
(viii) A schedule of distributions of proceeds from the operation of raffles, by individual raffle, made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are affiliated with the eligible organization.			
(ix) A schedule of distributions of proceeds from the operation of raffles, by individual raffle, made to any other organization not included under items (vii) or (viii) above, or for beneficial or charitable purposes undertaken by the eligible organization.			
(x) The aggregate gross receipts from activities other than the operation of raffles.			
(xi) The aggregate costs incurred by the eligible organization from activities other than the operation of raffles.			
(xii) The aggregate distributions of funds other than proceeds from the operation of raffles made to directly support beneficial or charitable purposes or eligible recipient organizations under Penal Code section 320.5, subdivision (c).			
(xiii) The aggregate distributions of funds other than proceeds from the operation of raffles for purposes other than those listed in items (xi) and (xii) above.			

**REPEALED**

**MAJOR LEAGUE SPORTS RAFFLE  
ELIGIBLE ORGANIZATION ANNUAL REPORT**

REGULATION/STATUTE	Year 1	Year 2	Year 3
	to	to	to
(xiv) A schedule of distributions of funds other than proceeds from the operation of raffles made to eligible recipient organizations under Penal Code section 320.5, subdivision (c), that are not affiliated with the eligible organization.			
(xv) A schedule of distributions of funds other than proceeds from the operation of raffles made to any other organization not included under item (xiv) above, or for beneficial or charitable purposes undertaken by the eligible organization.			

NOTE: The department shall make the reports required by this paragraph available to the public via the online search portal of the Attorney General's Registry of Charitable Trusts maintained pursuant to Section 12584 of the Government Code.

**CERTIFICATION**

I declare under penalty of perjury under the laws of the State of California that the foregoing information is true, correct, and complete.

Fiduciary's Printed Name: \_\_\_\_\_

First Name
Last Name
Middle Initial

Fiduciary's Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**REPEALED**

## MAJOR LEAGUE SPORTS RAFFLE ELIGIBLE ORGANIZATION ANNUAL REPORT

### Privacy Notice on Data Collection Forms

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**MAJOR LEAGUE SPORTS RAFFLE  
ELIGIBLE ORGANIZATION RAFFLE REGISTRATION FORM**

**SECTION 3: CERTIFICATION**

I declare under penalty of perjury under the laws of the State of California that the foregoing information, and all information submitted with this application is true, correct, and complete. I understand that the information that I have provided on this form will be verified with the eligible organization as part of the registration process. I agree to comply with all applicable laws, local ordinances, and administrative regulations concerning major league sports raffles in the State of California.

First Name

Last Name

Middle Initial

Fiduciary's Printed Name

Fiduciary's Signature:

Date:

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# MAJOR LEAGUE SPORTS RAFFLE ELIGIBLE ORGANIZATION - EQUIPMENT REGISTRATION FORM



BUREAU OF GAMBLING CONTROL  
P. O. Box 168024  
Sacramento, CA 95816-8024  
Phone: (916) 227-3584; Fax: (916) 227-0170

Pursuant to California Penal Code section 320.6, subdivision (o)(3), an eligible organization shall register the equipment used in the sale and distribution of raffle tickets, and shall have the equipment tested by an independent gaming test laboratory. This form is used for the eligible organization to register the equipment used in the sale and distribution of raffle tickets.

## SECTION 1 - Eligible Organization Information

Eligible Organization/Team or Association Affiliation Name:

Address (Numbers/Street)

City

State

Zip Code

Mailing Address (if different than above)

City

State

Zip Code

Phone Number

Fax Number

Web Address

Fiduciary Name

Title

Phone Number

Fax Number

E-Mail Address

## SECTION 2 - Manufacturer License Information

If additional space is needed, please attach a listing of the requested information on a separate sheet of paper.

Has the equipment being registered been licensed with any gaming agency, including any other state, city, county, federal, or country agency?

No

Yes. Please list the gaming agency and license information in the following table

Gaming Agency Name	License Number from that Agency	Expiration Date



# MAJOR LEAGUE SPORTS RAFFLE ELIGIBLE ORGANIZATION - EQUIPMENT REGISTRATION FORM

## SECTION 3 - Equipment Information

Complete the information below for each equipment model and its designated software version you are registering pursuant to Penal Code section 320.6, subdivision (a)(3). If you are requesting approval for more than three pieces of equipment, please make an additional copy of this page and attach to the application.

Model Name	Model Number
Software (Firmware) Version	Software (Firmware Build Date)

Answer the following questions regarding the equipment model and its designated software version (if applicable) listed above.

1. Description of equipment:	
2. Has the model and software version been previously tested or certified by an independent gaming test laboratory? <b>If yes, attach copies of certification documents.</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Is this equipment model leased by your organization?	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. Is this equipment model owned by your organization?	<input type="checkbox"/> YES <input type="checkbox"/> NO

Model Name	Model Number
Software (Firmware) Version	Software (Firmware Build Date)

Answer the following questions regarding the equipment model and its designated software version (if applicable) listed above.

1. Description of equipment:	
2. Has the model and software version been previously tested or certified by an independent gaming test laboratory? <b>If yes, attach copies of certification documents.</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Is this equipment model leased by your organization?	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. Is this equipment model owned by your organization?	<input type="checkbox"/> YES <input type="checkbox"/> NO

Model Name	Model Number
Software (Firmware) Version	Software (Firmware Build Date)

Answer the following questions regarding the equipment model and its designated software version (if applicable) listed above.

1. Description of equipment:	
2. Has the model and software version been previously tested or certified by an independent gaming test laboratory? <b>If yes, attach copies of certification documents.</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Is this equipment model leased by your organization?	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. Is this equipment model owned by your organization?	<input type="checkbox"/> YES <input type="checkbox"/> NO

**MAJOR LEAGUE SPORTS RAFFLE  
ELIGIBLE ORGANIZATION - EQUIPMENT REGISTRATION FORM**

**SECTION 4 - CERTIFICATION / SIGNATURE**

A fiduciary of the eligible organization must sign on behalf of the eligible organization.

*I certify under penalty of perjury under the laws of the State of California that I have personally completed this form and know that the contents thereof, the information contained herein and in any attachments, is true, accurate, and complete. I also certify that any equipment and its designated software version indicated on this form meets the requirements of the Major League Sports Raffle Program regulations and understand that the approval is subject to the following conditions:*

- (1) Any equipment used in the sale and distribution of raffle tickets must be from a manufacturer or distributor registered with the Department of Justice, pursuant to Penal Code section 320.6.*
- (2) Registration of equipment and any associated software has no bearing on the question of whether the manufacturer or distributor of the device, or any person affiliated with the manufacturer or distributor of the device, will qualify for issuance of any registration. Any approval will be cancelled in the event that the manufacturer or distributor of the device is subsequently determined to be ineligible for registration.*

Fiduciary's Signature

Fiduciary's Printed Name

Date



**MAJOR LEAGUE SPORTS RAFFLE  
ELIGIBLE ORGANIZATION - EQUIPMENT REGISTRATION FORM**

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# Major League Sports Raffle Electronic Raffle System and Equipment Checklist and Test Draw

BUREAU OF GAMBLING CONTROL  
P.O. Box 168024  
Sacramento, CA 95816-8024  
Phone: (916) 227-3584; Fax: (916) 227-0170

Before using an electronic raffle system (system) to conduct a raffle, the eligible organization must test the system. (Cal. Code. Regs., tit. 11, § 2109.) Use this checklist before each registered event to document that the system is configured correctly, functioning properly, and fully operational. You may attach additional pages to this form, if additional space is needed to document the test. Every eligible organization must keep the completed form, and any information attached, including all photographs taken, for a period of three years.

Event/Venue:

Registered Event Number

Date:

Name of Fiduciary or Designated Affiliated Person

### Equipment Information

a. Raffle Sales Unit (RSU): Make/Model and Quantity

b. Raffle ticket printers: Make/Model

c. Stand Alone Kiosk: Make/Model and Quantity

d. Counterfoil Printers: Make/Model and Quantity

### Equipment Location

e. Dedicated Server

f. Secure Server Location

### Passwords and Access

Verified Password Access to Server

Deny Remote Access During a Game

Remote Access Restrictions

RSU Access Controls

Firewall Configuration

Non-routable IP address

### Miscellaneous

Wireless Encryption

RSU Physical Security

Uninterruptible Power Supply Support

RSU Communication with Server

Backup and Recovery Procedures



**Major League Sports Raffle  
Electronic Raffle System and Equipment  
Checklist and Test Draw**

**Miscellaneous (continued)**

- Photograph Counterfoil Printer(s) and Counterfoil Container Layout in Venue
  - RSU locations (include a map identifying locations sales will occur and location where RSUs not in use are stored)
- Identify personnel with designated responsibility of any part of the system


**Test Draw**

- a. Enroll the RSU (one kiosk and two portable devices) for a test draw\*:
- i. 2 portable devices configured for WiFi. (If applicable)
  - ii. 1 kiosk connected to central system. (If applicable)
  - iii. Counterfoil printers online with sufficient paper.

\*One RSU will be required to have SD card removed during backup/restore testing process

- b. Sell 100 tickets from portable devices (if in use) and/or kiosk (if in use)
- c. Void 15 tickets.
- d. Close sales on RSU devices and close the test draw on the central server.
- e. Select a winning number from the counterfoil container and document.
- i. Winner number selected

f. Complete winning number verification process.

g. Announce winning number (public address, scoreboard, or other method used at the venue)

h. Generate, save and collect Raffle Bearer Ticket Report and Voided Draw Number Report in Excel format (pursuant to Cal. Code. Regs., tit. 11, § 2102)

i. Collect all test raffle tickets.

j. Collect all counterfoil tickets from the counterfoil container.

- k. Printed information on bearer ticket must meet Cal. Code. Regs., tit. 11, § 2100 requirements:
- 1) The name of the eligible organization conducting the raffle;
  - 2) The Bureau-issued registration identification number for the eligible organization registration;
  - 3) The location, date and time of the corresponding raffle and manual draw;
  - 4) The unique number of the raffle ticket that must not be generated by a random number generator;
  - 5) If different than the eligible organization conducting the raffle, the name of the eligible recipient organization;
  - 6) The statement: "Ticket holders need not be present to win," and the contact information, including the name, phone number, and electronic mail address, of the eligible organization conducting the raffle;
  - 7) The toll-free telephone number approved by the Office of Problem Gambling (or its successors) that provides information and referral services for problem gamblers, currently "1-800-GAMBLER," and
  - 8) The time limit for the raffle player to claim the prize, as provided in Penal Code section 320.6.

**Major League Sports Raffle  
 Electronic Raffle System and Equipment  
 Checklist and Test Draw**

**Test Draw (continued)**

l. Use barcode scanner to scan counterfoil tickets (if applicable).	<input type="checkbox"/>
m. Verify printed counterfoil ticket numbers match with Raffle Bearer Ticket Report.	<input type="checkbox"/>
n. Remove raffle ticket paper from counterfoil printer or replace with low paper cartridge if available.	<input type="checkbox"/>
o. Sell additional tickets until paper runs out, or attempt to sell tickets with no paper. Replace paper. Document observations.	<input type="checkbox"/>
p. If available, change battery for low power battery and sell until battery runs out of power. Document observations.	<input type="checkbox"/>
q. Test low/no paper condition in counterfoil printer. Manually disable all counterfoil printers except one:	<input type="checkbox"/>
i. Insert paper roll that is low on paper into the remaining active printer. Check for low paper signal from printer.	<input type="checkbox"/>
ii. Sell tickets normally from a RSU until printer is low on paper. Observe printer behavior and document any issues	<input type="checkbox"/>
r. If low paper is not possible, disable all printers.	<input type="checkbox"/>
i. Check for paper out signal from printer (Paper end/red LED) and server.	<input type="checkbox"/>
s. Replace paper when it has run out. Verify printer restarts on paper replacement.	<input type="checkbox"/>
t. Test RSU devices for non-WiFi operation.	<input type="checkbox"/>
i. Close sales on RSUs and close the test draw on central server.	<input type="checkbox"/>
ii. Verify that all sales have been uploaded. Photograph resulting screens.	<input type="checkbox"/>
iii. Select a winning number from the counterfoil container and complete the winning number verification process.	<input type="checkbox"/>
iv. Generate, save and collect Raffle Bearer Ticket Report in Excel format: (pursuant to Cal. Code. Regs., tit. 11, § 2102)	<input type="checkbox"/>
v. Collect all test raffle tickets.	<input type="checkbox"/>
vi. Collect all counterfoil tickets from the counterfoil container.	<input type="checkbox"/>
vi. Verify printed information on bearer ticket must meet Cal. Code. Regs., tit. 11, § 2100 requirements.	<input type="checkbox"/>

**CERTIFICATION**

*I certify under penalty of perjury under the laws of the State of California that I have personally completed this form and know that the contents thereof, the information contained herein and in any attachments, is true, accurate, and complete.*

Name of Person Completing this Check List	
Title	
Signature	Date
Fiduciary's Signature	
Fiduciary's Printed Name	Date