



# Repeal

## FORM PL-3: NOTIFICATION FROM PLATFORM CHARITIES

SUBMIT TO:  
Registry of Charitable Trusts  
at [\[web address\]](#)

California Government Code section 12599.9  
California Code of Regulations, title 11, section 315

WEBSITE ADDRESS:  
[oag.ca.gov/charities](http://oag.ca.gov/charities)

(For Registry Use Only)

### Part A. Contact Information

1. Official name of applicant charitable fundraising platform (full legal name, e.g., exact name where legally formed)

2. Doing business as name(s) (used during the current or prior year)

3. Official address (principal executive office, do not use P.O. Box)

City or Town, State and Zip Code

4. Mailing address (if different from official address)

City or Town, State and Zip Code

5. Website address (principal executive office)

6. E-mail address (principal executive office)

7. Telephone number (principal executive office)

8. Federal employer identification number (FEIN)

9. Registration number (as a charitable corporation or trustee)

10. Contact person name and title (for Registry inquiries, does not have to be person who signs form)

11. Contact person e-mail address

12. Contact person telephone number

### Part B. Partnership Information

1. Provide the following information about the charitable fundraising platform that the platform charity entered into a partnership agreement with in the last 10 days: charitable fundraising platform's official name, registration number (if registered), FEIN, official address (do not use P.O. Box), mailing address (if different from official address), website address, telephone number, and actual or anticipated type of charitable fundraising platform (i.e., commercial, peer-to-peer, marketing, and/or coventuring charitable fundraising platform). Also, specify whether the partnership agreement is memorialized in a written contract, and the date the partnership agreement became effective.

2. For the charitable fundraising platform identified in response to 1 ("partnering platform"), are acts of solicitation already occurring on the charitable fundraising platform? If "No," specify the date the acts of solicitation are projected to start.

☐ Yes

☐ No (projected date: \_\_\_\_\_)

- ☐ Obtain consent from recipient charitable organizations to be listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Work with the partnering platform on the information provided to platform users about recipient charitable organizations
- ☐ Work with the partnering platform to facilitate removal requests from non-consenting recipient charitable organizations
- ☐ Work with the partnering platform on solicitation disclosures provided to platform users
- ☐ Determine whether recipient charitable organizations are eligible to be listed on the partnering platform for solicitation purposes
- ☐ Receive donations that are made to the platform charity, in lieu of donations being made to recipient charitable organizations listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Provide tax-deductibility of donations made on the partnering platform
- ☐ Work with the partnering platform on the tax donation receipts sent to donors who made donations on the partnering platform
- ☐ Hold donations made on the partnering platform
- ☐ Determine whether recipient charitable organizations are eligible to be granted or sent donations
- ☐ Communicate with donors or platform users regarding alternate recipient charitable organizations, when originally selected recipient charitable organizations on the partnering platform are deemed ineligible to be sent donations
- ☐ Send donations to recipient charitable organizations that are made by donors using the partnering platform who recommended their donations be granted to their selected recipient charitable organizations
- ☐ Send donations to recipient charitable organizations that are selected by platform users, when donation amounts are based on platform users purchases or other activity
- ☐ Notify donors or platform users when donations are sent to their selected recipient charitable organizations

☐ Yes

☐ No

A large, stylized letter 'A' is centered on a background of horizontal lines. The 'A' is formed by two gray triangles pointing towards each other, meeting at a central point. The background consists of a series of horizontal lines, with a thicker line at the bottom. The 'A' is positioned such that its base sits on the second line from the bottom, and its top reaches the top line.

I certify under penalty of perjury that I have examined this form, including accompanying information, and to the best of my knowledge and belief, the content is true, correct, and complete, and I am authorized to sign this form.

Date \_\_\_\_\_



# Repeal

## Office of the Attorney General

### Registry of Charitable Trusts

#### Privacy Notice

#### As Required by Civil Code § 1798.17

**Collection and Use of Personal Information.** The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at [www.oag.ca.gov/privacy-policy](http://www.oag.ca.gov/privacy-policy).

**Providing Personal Information.** All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

**Access to Your Information.** The completed form is a public filing that will be made available on the Attorney General's website at [www.oag.ca.gov/charities](http://www.oag.ca.gov/charities) pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

**Possible Disclosure of Personal Information.** In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

**Contact Information.** For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at [rct@doj.ca.gov](mailto:rct@doj.ca.gov) or (916) 210-6400.

# Repeal

SUBMIT TO:  
Registry of Charitable Trusts  
at [\[web address\]](#)

WEBSITE ADDRESS:  
[oag.ca.gov/charities](http://oag.ca.gov/charities)

## INSTRUCTIONS FOR NOTIFICATION FROM PLATFORM CHARITIES (FORM PL-3)



### Who must file a Form PL-3?

Every platform charity registered as a trustee in California must file a Form PL-3 with the Attorney General's Registry of Charitable Trusts, when the platform charity enters into a partnership with a charitable fundraising platform to facilitate acts of solicitation on that charitable fundraising platform, unless: notification has previously occurred through registration of the platform charity as a trustee, or through registration of the charitable fundraising platform. See below definitions for charitable fundraising platform and platform charity for what constitutes acts of solicitation on a charitable fundraising platform, and how acts of solicitations are facilitated by a platform charity.

Charitable fundraising platforms do not file a Form PL-3. A platform that meets the definition of both a charitable fundraising platform and platform charity is deemed a charitable fundraising platform.

### When must a Form PL-3 be filed?

Form PL-3 must be filed within 10 days of entering into a partnership with a charitable fundraising platform, unless notification has previously occurred through registration of the platform charity as a trustee or the registration of the charitable fundraising platform. For example, if a partnership with a charitable fundraising platform to facilitate acts of solicitation on that charitable fundraising platform is entered into on January 1, a Form PL-3 must be filed by January 10, unless notification of the partnership already occurred through registration of the platform charity or charitable fundraising platform before January 10. The partnership triggers the filing of a Form PL-3, and not whether any acts of solicitation are publicly accessible on the partnering charitable fundraising platform.

If a charitable fundraising platform is not already registered, do not wait to provide notification through the filing of a Form PL-3, if it takes longer than 10 days after a partnership is entered into for the charitable fundraising platform to initiate registration (through the filing of a [Form PL-1](#)).

### General Instructions

Instructions for each question are specified with the question.

If a platform charity entered into partnership with more than one charitable fundraising platform within the last 10 days, submit a Form PL-3 as to each charitable fundraising platform.

For the terms defined below, they have the meaning reflected in the below definitions.

To file a Form PL-3, submit the completed and signed form at [\[web address\]](#). Electronic signatures are accepted.

### Definitions for Form PL-3

**“Charitable fundraising platform”** means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a), (c), (d), (g), and (i), for complete definitions.

**“Commercial charitable fundraising platform”** means a charitable fundraising platform that lists or references by name recipient charitable organizations to receive donations made by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (a), for complete definition.

**“Consulting charitable fundraising platform”** means a charitable fundraising platform that provides a customizable version of its fundraising platform to charitable organizations, who solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.

# Repeal



**“Coventuring charitable fundraising platform”** means a charitable fundraising platform that lists or reference by name recipient charitable organizations to receive donations made by the platform based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(D), and California Code of Regulations, title 11, section 314, subdivision (d), for complete definition.

**“Donation”** means a donation or recommended donation, when a donation is made by a donor. When a donation is sent to a recipient charitable organization or other charitable organization, “donation” means a donation or grant of a recommended donation.

**“Donor(s)”** means for commercial or peer-to-peer charitable fundraising platforms, a donor is the platform user who makes a donation to a recipient charitable organization listed or referenced by name on the platform for solicitation purposes; for marketing or coventuring charitable fundraising platforms, a donor is the person who makes a donation based on purchases made or other activity performed by persons who use the platform; for consulting charitable fundraising platforms, a donor is the platform user who makes a donation to a charitable organization that uses the platform to solicit or receive donations.

**“Marketing charitable fundraising platform”** means a charitable fundraising platform that permits persons who use the platform to select recipient charitable organizations to receive donations made by the platform or others, based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(1)(C), and California Code of Regulations, title 11, section 314, subdivision (g), for complete definition.

**“Peer-to-peer charitable fundraising platform”** means a charitable fundraising platform that permits persons who use the platform to solicit donations for recipient charitable organizations made by other platform users. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

**“Platform charity”** means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A platform that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

**“Platform user”** means a person who uses a charitable fundraising platform.

**“Recipient charitable organization”** means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

## For Additional Information

Additional information is available on the Attorney General’s website at [oag.ca.gov/charities](https://oag.ca.gov/charities). You may also contact the Attorney General’s Registry of Charitable Trusts at (916) 210-7613 or [PF@doj.ca.gov](mailto:PF@doj.ca.gov). The street address of the Registry of Charitable Trusts is 1300 I Street, Sacramento, CA 95814, and mailing address is Office of the Attorney General, Registry of Charitable Trusts, P.O. Box 903447, Sacramento, CA 94203-4470. Do not mail Form PL-3. It must be filed electronically.