



SUBMIT TO:
Registry of Charitable Trusts
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WEBSITE ADDRESS:
oag.ca.gov/charities

FORM PL-4: ANNUAL REPORT FOR CHARITABLE FUNDRAISING PLATFORMS OR PLATFORM CHARITIES FOR 20

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to electronically file Form PL-4 by July 15 of each calendar year may result in assessment of late fees and automatic suspension of registration status (Gov. Code, § 12586.1, Cal. Code Regs., tit. 11, § 341)

PART D

(For Registry Use Only)

To Be Completed by Peer-to-peer Charitable Fundraising Platforms

Complete if registrant was a peer-to-peer charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., commercial and/or coventuring charitable fundraising platform), limit the information in Part D to activities in the capacity of a peer-to-peer charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. When donations were made by donors using the registrant's charitable fundraising platform, the donations were made to (select all that apply):

- ☐ Registrant, and not directly to recipient charitable organization(s)
- ☐ Partner of registrant (e.g., platform charity), and not directly to recipient charitable organization(s)
- ☐ Directly to recipient charitable organization(s)
- ☐ Platform user(s) engaged in peer-to-peer charitable fundraising (because donations were sent to their bank accounts and not directly to recipient charitable organizations)

2. If in response to 1 "Directly to recipient charitable organization(s)" is selected, did the registrant or any other person receive and hold the donations made by donors before the donations were sent to recipient charitable organizations? Select all that apply and provide the supplemental information, if applicable.

- ☐ Registrant received and held donations
- ☐ Another person received and held donations (specify the official name(s) of the person(s) who received and held the donations: _____)
- ☐ No
- ☐ N/A

3. If in response to 1 "Platform user(s) engaged in peer-to-peer charitable fundraising" is selected, complete and submit Attachment 5, and use data from Attachment 5 to provide the following for donations sent to platform users engaged in peer-to-peer charitable fundraising.

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to platform users engaged in peer-to-peer charitable fundraising	
Total number of recipient charitable organizations that were notified donations were sent to platform users engaged in peer-to-peer charitable fundraising	

☐ Check box if Attachment 5 is attached



Repeal

4. If in response to 1 "Platform users engaged in peer-to-peer charitable fundraising" is selected, were all persons engaged in peer-to-peer charitable fundraising sent donations within 30 days of the donations being made, and sent the notification required by 11 Cal. Code Regs. section 320, subdivision (a)(5)?

- ☐ Yes, for entire prior year
- ☐ No
- ☐ N/A

5. Specify whether the registrant obtained prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes on the registrant's charitable fundraising platform. Select all that apply.

- ☐ Registrant obtained prior written consent
- ☐ Registrant did not have prior written consent

6. If in response to 5 "Registrant obtained prior written consent" is selected, did the registrant concurrently list or reference by name 100 or more consenting recipient charitable organizations for solicitation purposes on the registrant's charitable fundraising platform for the entire prior calendar year, or any part of the prior year? If "yes, for part of prior year" specify the timeframes when this occurred by month and day (e.g., January 1 to April 15).

- ☐ Yes, for entire prior year
- ☐ Yes, for part of prior year (specify: _____)
- ☐ No
- ☐ N/A

7. If in response to 5 "Registrant did not have prior written consent" is selected, did the registrant concurrently list or reference by name 100 or more non-consenting recipient charitable organizations for solicitation purposes on the registrant's charitable fundraising platform for the entire prior calendar year, or any part of the prior year? If "yes, for part of prior year" specify the timeframes when this occurred by month and day (e.g., January 1 to April 15).

- ☐ Yes, for entire prior year
- ☐ Yes, for part of prior year (specify: _____)
- ☐ No
- ☐ N/A

8. Did the registrant provide disclosures listed below in solicitations to donors before a donation can be completed? Write "Yes," "No," or "N/A." If "Yes," submit a PDF copy of the disclosure language as viewable by donors and by platform type (e.g., website, mobile device application). If the disclosure language changed in the prior calendar year, provide all versions of the language and specify the timeframes the version was used.

A statement that donations are provided to recipient charitable organizations on an unrestricted basis, or that recipient charitable organizations are not bound by designations or solicitations that may restrict how donations may be used	
If registrant did not obtain prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes, a statement that non-consenting recipient charitable organizations did not provide consent or permission for the solicitation, and did not review or approve the content generated by platform users engaged in peer-to-peer charitable fundraising	
When donors made donations, a statement as to who donations were made to	
If there were circumstances under which a recipient charitable organization may not receive a donation made by a donor, a statement that a recipient charitable organization may not receive the donation, and an explanation identifying the most pertinent reasons for these circumstances	
The maximum length of time it takes to send a donation made by a donor, with an explanation as to the length of time, unless the donation is sent contemporaneously to a recipient charitable organization after the donation is made	
The fees, if any, deducted from or added to a donation made by a donor, other than digital payment processing fees	
A statement as to the tax deductibility of the donation	

- ☐ Check box if disclosure language is attached



Repeal

9. Was a tax donation receipt sent to donors in accordance with Business and Professions Code sections 17510.3 and 17510.4 within 5 business days of donations being made? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

10. For each recipient charitable organization sent donations made by donors through the registrant's charitable fundraising platform, complete Attachment 3 by providing the total number and amount of donations made, fee information, total amount of donations sent, and whether donations were sent within the required number of days under California Code Regulations, title 11, section 320. Also, specify in Attachment 3, whether recipient charitable organizations were ineligible to be sent donations, and if so, the total number and amount of donations not sent due to ineligibility. Do not include donations sent to platform users engaged in peer-to-peer charitable fundraising.

- ☐ Check box if Attachment 3 is attached

11. Were any recipient charitable organizations deemed ineligible to be sent donations made through the registrant's charitable fundraising platform, and the donations were not sent to alternate recipient charitable organizations? If "Yes," explain why in Attachment 1.

- ☐ Yes, and answer specified in Attachment 1
- ☐ No

12. Were any recipient charitable organizations deemed ineligible to be sent donations made through the registrant's charitable fundraising platform, and the donations were instead sent to alternate charitable organizations? If "Yes," complete and submit Attachment 4.

- ☐ Yes, and Attachment 4 is attached
- ☐ No

13. Provide the following for donations made through the registrant's charitable fundraising platform. Use data from Attachments 3 and 4 to provide information. Do not include donations sent to platform users engaged in peer-to-peer charitable fundraising.

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total number of recipient charitable organizations that were sent donations	
Total number of recipient charitable organizations that were sent donations within the maximum number of days required (see 11 Cal. Code Regs., tit. 11, § 320)	
Total number of recipient charitable organizations that were deemed ineligible, and not sent donations (say 0 if no recipient charitable organizations were deemed ineligible)	
Total number of donations that were not sent to recipient charitable organizations because they were deemed ineligible (say 0 if no recipient charitable organizations were deemed ineligible)	
Total \$ amount of donations that were not sent to recipient charitable organizations because they were deemed ineligible (say 0 if no recipient charitable organizations were deemed ineligible)	
Total number of alternate recipient charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	



Repeal

14. When donations were sent to recipient charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to the recipient charitable organizations? Write "Yes," "No," or "N/A."

Name of registrant charitable fundraising platform through which the donation was made	
Date each donation was made by the donor	
Date donations were sent to the recipient charitable organization	
Each donation amount before fees were imposed, the fees imposed and by whom, and each donation amount after fees were imposed	
Name and contact information of donors, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
Name and contact information of platform users engaged in peer-to-peer charitable fundraising, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
When donors or platform users engaged in peer-to-peer charitable fundraising designate how donations may be used by a recipient charitable organization, information on how the funds may be used	

15. When donations made through the registrant's charitable fundraising platform were sent to recipient charitable organizations or platform users engaged in peer-to-peer charitable fundraising, were donors notified of this within 15 days, unless donors declined to be notified? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1