

# LEGAL SERVICES PROVIDED TO THE COMMISSION ON TEACHER CREDENTIALING

ATTORNEY GENERAL'S FIRST BIANNUAL REPORT FOR FISCAL YEAR 2023-24

# **TABLE OF CONTENTS**

| EXECUTIVE SUMMARY1   |
|--|
| DISCUSSION2  |
| Volume of Cases 2  |
| Adverse Action Cases2  |
| Judicial Review Cases  |
| Further Investigations   |
| Adjudications 4  |
| Hearings5  |
| Average Hours and Fees5  |
| Quarterly Hours and Fees6                                      |
| CONCLUSION7  |
| APPENDICES   |
| APPENDIX A: FY 2023-24 Quarter Two—Published February 28, 2024 |
| APPENDIX B: FY 2023-24 QUARTER ONE—PUBLISHED FEBRUARY 28, 2024 |
| APPENDIX C: FY 2022-23 QUARTER FOUR—PUBLISHED AUGUST 30, 2023  |
| APPENDIX D: FY 2022-23 QUARTER THREE—PUBLISHED AUGUST 30, 2023 |
| APPENDIX E: FISCAL YEAR 2022-23                                |
| APPENDIX F: FISCAL YEAR 2021-22 14                             |
| APPENDIX G: FISCAL YEAR 2020-2115                              |
| APPENDIX H: FISCAL YEAR 2019-2016                              |
| APPENDIX I: Provision 6 Measures Reported                      |
| APPENDIX J: SUMMARY OF THE ADJUDICATION PROCESS                |



# ATTORNEY GENERAL'S FIRST BIANNUAL REPORT FOR FISCAL YEAR 2023-24 ON LEGAL SERVICES PROVIDED TO THE COMMISSION ON TEACHER CREDENTIALING

The Office of the Attorney General submits this first biannual report for Fiscal Year 2023-24 as required by the Budget Act of 2023, Item 6360-001-0407, Provision 6—For Support of Commission on Teacher Credentialing, payable from the Teacher Credentials Fund.

### **EXECUTIVE SUMMARY**

This biannual report provides information required under Provision 6 of the Budget Act of 2023 for the period of July 1 through December 31, 2023, concerning the caseload for the Commission on Teacher Credentialing. The Office of the Attorney General began quarterly reporting in Fiscal Year 2017-18 and biannual reporting in Fiscal Year 2021-22.

When reporting began, the initial goal of the Office of the Attorney General was to eliminate the backlog of adverse action cases, and thereafter to adjudicate most cases within one year. The backlog has now been eliminated. Until the advent of the COVID-19 pandemic in March 2020, the Office of the Attorney General was on track to adjudicate most cases within one year. It has done so for this reporting period.

Given the wide variety and low number of matters litigated, fluctuations from quarter to quarter are normal. However, over the past four quarters of reporting, improved metrics include the following:

- The number of adverse action cases adjudicated has increased each quarter, from 26 to 28, and then 32 to 38 (Figure 3a).
- The median days from referral to adjudication of adverse action cases has decreased from 450 to 341 days (Figure 3b).

The appendices to this report include summaries of all required metrics for the previous four quarters and annual summaries for four complete fiscal years, Provision 6 reporting requirements, and a summary of the adjudication process for the commission's cases.

## DISCUSSION

### **Volume of Cases**

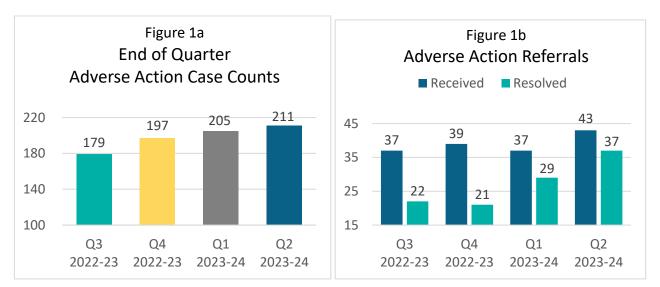
[Provision 6, Subdivisions (b)(1) and (b)(5)]

Protection of the public is the Office of the Attorney General's primary goal in representing the commission. The discipline caseload reported herein comprises two categories of cases: (1) adverse action cases and (2) judicial review cases. *Adverse actions* are the administrative disciplinary proceedings filed to deny, revoke, or suspend a credential. The action begins by filing a pleading and litigating it through an administrative hearing, prior to the commission's issuance of a final decision. *Judicial review* includes mandamus proceedings in superior and appellate courts to review the commission's administrative decisions or other civil actions against the commission related to the decisions it has issued. At the end of the second quarter of this fiscal year (December 31, 2023), 211 adverse action cases and 13 judicial review cases remained pending with the Office of the Attorney General. All data for the second quarter are summarized in Appendix A.

#### **Adverse Action Cases**

Adverse action cases include those not yet adjudicated (active), and those that have been adjudicated (inactive). *Adjudicated* means the work of the Office of the Attorney General is complete and the case goes to the commission for its final decision. An adverse action case is *resolved* when the commission's final decision becomes effective (Appendix J).

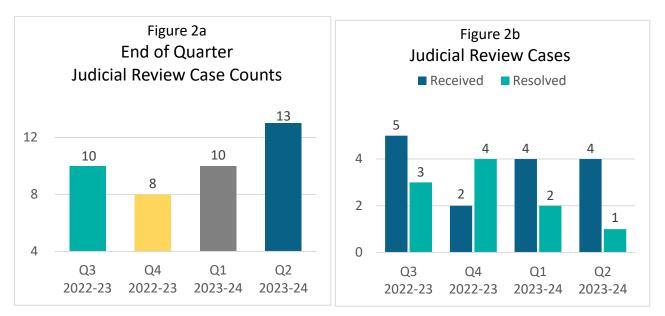
Figure 1a shows end-of-quarter adverse action case counts for the past four quarters. At the end of the second quarter, there remain 211 cases, a net increase of six adverse action cases from the previous quarter. Figure 1b depicts the number of adverse action referrals received and resolved for the past four quarters. It shows that during the second quarter of this fiscal year, the Office of the Attorney General received 43 adverse action referrals and resolved 37.



#### **Judicial Review Cases**

A new judicial review case is opened when a respondent seeks to challenge the commission's decision and petitions for judicial review in superior court.<sup>1</sup> At the end of the second quarter of this fiscal year, 13 judicial review cases being handled by the Office of the Attorney General were pending resolution (Figure 2a).

Figure 2b illustrates the number of judicial review cases received and adjudicated during the most recent four quarters. During the second quarter of this fiscal year, four judicial review cases were referred to the Office of the Attorney General and one was resolved.



### **Further Investigations**

[Provision 6, Subdivisions (b)(2) and (b)(3)]

When evidence in an adverse action case is insufficient to sustain the necessary burden of proof to impose discipline, the assigned deputy attorney general may request further investigation by the commission. Eight requests for further investigation were made during the second quarter of this fiscal year and 13 supplemental investigations were received (Appendix A).

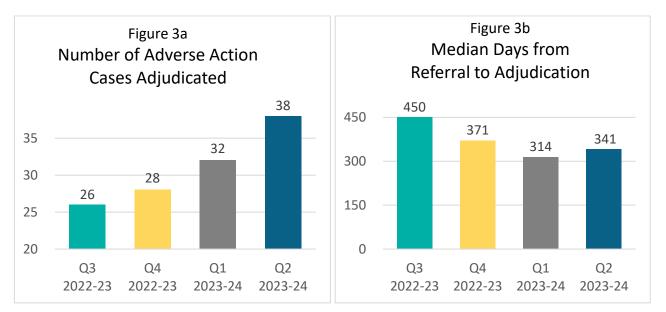
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<sup>&</sup>lt;sup>1</sup> Occasionally, a civil lawsuit is filed against the commission instead of a mandamus proceeding.

## Adjudications

[Provision 6, Subdivision (b)(4)]

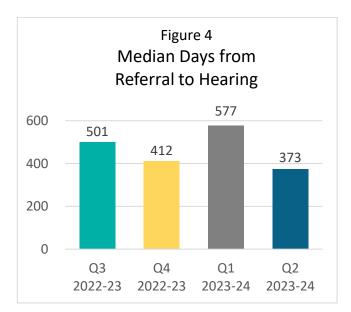
In the second reporting quarter of this fiscal year, 38 adverse action cases were adjudicated. The average number of days for adjudication was 405 and the median number of days was 341. The quickest adjudication this quarter was completed in 94 days and the longest took 1,933 days (Appendix A). Figures 3a and 3b show the number of cases adjudicated and median days to adjudication.



### Hearings

[Provision 6, Subdivision (b)(6)]

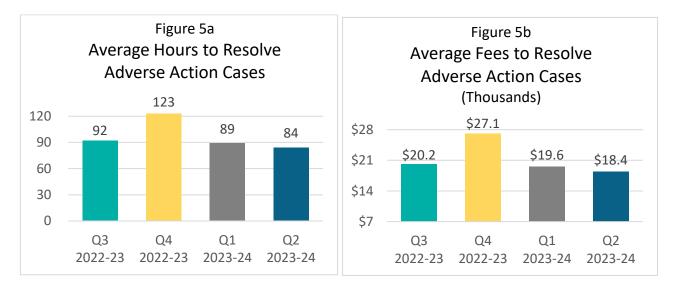
Figure 4 displays median days from receipt of referral to hearing for adverse action cases for the most recent four quarters. Ten hearings commenced in the second quarter of this fiscal year, with a median time to commence hearings of 373 days (Appendix A).



### **Average Hours and Fees**

[Provision 6, Subdivision (c)]

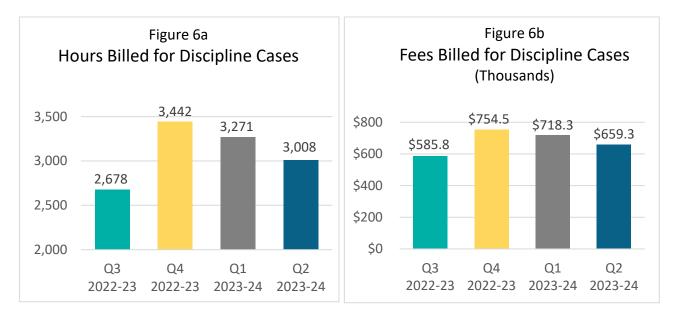
In the second quarter of this fiscal year, each adverse action case took an average of 84 hours to resolve, and the average fee to resolve matters was \$18,431.



### **Quarterly Hours and Fees**

[Provision 6, Subdivision (d)]

In the second quarter of this fiscal year, the Attorney General's staff provided a total of 3,008 hours of legal work related to teacher discipline, including both adverse action and judicial review cases. During this same period, the Office of the Attorney General billed a total of \$659,325 to the commission related to the teacher discipline caseload. Figures 6a and 6b below summarize hours and fees for the most recent four quarters.



Total hours and fees unrelated to teacher discipline matters (other cases) were 480 hours and \$105,088 for the quarter (Appendix A).

# CONCLUSION

This biannual report for Fiscal Year 2023-24 provides information regarding the volume, time to adjudication, and cost of the legal work performed by the Office of the Attorney General for the Commission on Teacher Credentialing. The California Department of Justice will continue to provide representation for the commission that conforms to the highest standards of the Office of the Attorney General.

This report is also available on the Attorney General's website at: <u>https://oag.ca.gov/publications</u>

If you have questions regarding this report or would like additional information, please contact Senior Assistant Attorney General Carl Sonne at <u>carl.sonne@doj.ca.gov</u> or (619) 738-9423.

# **APPENDICES**

| APPENDIX A: FY 2023-24 QUARTER TWO—PUBLISHED FEBRUARY 28, 20249  |
|--|
| APPENDIX B: FY 2023-24 QUARTER ONE—PUBLISHED FEBRUARY 28, 202410 |
| APPENDIX C: FY 2022-23 QUARTER FOUR—PUBLISHED AUGUST 30, 2023    |
| APPENDIX D: FY 2022-23 QUARTER THREE—PUBLISHED AUGUST 30, 202312 |
| APPENDIX E: FISCAL YEAR 2022-2313                                |
| APPENDIX F: FISCAL YEAR 2021-2214                                |
| APPENDIX G: FISCAL YEAR 2020-2115                                |
| APPENDIX H: FISCAL YEAR 2019-2016                                |
| APPENDIX I: PROVISION 6 MEASURES REPORTED17                      |
| APPENDIX J: SUMMARY OF THE ADJUDICATION PROCESS                  |

# APPENDIX A: FY 2023-24 Quarter Two—Published February 28, 2024

| Discipline Cases at the Office of the Attorney General<br>Provision 6, subdivisions (b)(1) and (b)(5) |     |     |     |  |  |
|---|-----|-----|-----|--|--|
| Total Referrals, Active and Inactive Adverse Judicial   Action Review Total                           |     |     |     |  |  |
| Referrals start of quarter, subdivision (b)(1)  | 205 | 10  | 215 |  |  |
| Referrals received during the quarter   | 43  | 4   | 47  |  |  |
| Referrals resolved during the quarter   | 37  | 1   | 38  |  |  |
| Referrals end of the quarter, subdivision (b)(5)  | 13  | 224 |     |  |  |

| Further Investigation Requested and Received<br>Provision 6, subdivisions (b)(2) and (b)(3) |    |  |  |
|---|----|--|--|
| Further investigation requests, subdivision (b)(2)  | 8  |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 13 |  |  |

| Number of Days for Adverse Action Adjudications<br>Provision 6, subdivision (b)(4) |  |  |  |  |       |    |
|--|--|--|--|--|-------|----|
| Minimum Maximum Mean Goal Above Goal Median Count                                  |  |  |  |  | Count |    |
| 94 1,933 405 365 40 341 38   |  |  |  |  |       | 38 |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 6, subdivision (b)(6) |                                 |  |  |  |  |  |  |
|---|---------------------------------|--|--|--|--|--|--|
| Minimum   | Minimum Maximum Mean Median Cou |  |  |  |  |  |  |
| 204 549 379 373 10  |                                 |  |  |  |  |  |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 6, subdivisions (c)(1), (c)(2) and (c)(3) |           |           |  |  |  |
|---|-----------|-----------|--|--|--|
| Mean Median   |           |           |  |  |  |
| Hours per case, subdivision (c)(1)  | 84        | 53        |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 18,431 | \$ 11,653 |  |  |  |
| Costs per case, subdivision (c)(3)\$ 169\$0   |           |           |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 6, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |       |            |  |  |  |
|---|-------|------------|--|--|--|
| Type of CaseTotal HoursTotal Fees   |       |            |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 3,008 | \$ 659,325 |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 480   | \$ 105,088 |  |  |  |
| All cases combined  | 3,488 | \$ 764,413 |  |  |  |

# APPENDIX B: FY 2023-24 Quarter One—Published February 28, 2024

| Discipline Cases at the Office of the Attorney General<br>Provision 6, subdivisions (b)(1) and (b)(5) |     |    |     |  |  |  |  |
|---|-----|----|-----|--|--|--|--|
| Total Referrals, Active and InactiveAdverseJudicialActionReviewTotal                                  |     |    |     |  |  |  |  |
| Referrals start of quarter, subdivision (b)(1)  | 197 | 8  | 205 |  |  |  |  |
| Referrals received during the quarter   | 37  | 4  | 41  |  |  |  |  |
| Referrals resolved during the quarter   | 29  | 2  | 31  |  |  |  |  |
| Referrals end of the quarter, subdivision (b)(5)  | 205 | 10 | 215 |  |  |  |  |

| Further Investigation Requested and Received<br>Provision 6, subdivisions (b)(2) and (b)(3) |    |  |  |
|---|----|--|--|
| Further investigation requests, subdivision (b)(2)  |    |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 10 |  |  |

| Number of Days for Adverse Action Adjudications<br>Provision 6, subdivision (b)(4) |  |  |  |  |       |  |
|--|--|--|--|--|-------|--|
| Minimum Maximum Mean Goal Above Goal Median Count                                  |  |  |  |  | Count |  |
| 19     858     347     365     (18)     314     32                                 |  |  |  |  |       |  |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 6, subdivision (b)(6) |                                |  |  |  |  |  |
|---|--------------------------------|--|--|--|--|--|
| Minimum   | Minimum Maximum Mean Median Co |  |  |  |  |  |
| 164 839 533 577 8   |                                |  |  |  |  |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 6, subdivisions (c)(1), (c)(2) and (c)(3) |           |          |  |  |  |
|---|-----------|----------|--|--|--|
|   | Mean      | Median   |  |  |  |
| Hours per case, subdivision (c)(1)  | 89        | 69       |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 19,582 | \$15,133 |  |  |  |
| Costs per case, subdivision (c)(3)  | \$ 136    | \$ O     |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 6, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |            |  |  |  |  |
|---|-------------|------------|--|--|--|--|
| Type of Case  | Total Hours | Total Fees |  |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 3,274       | \$ 718,313 |  |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 714         | \$ 154,830 |  |  |  |  |
| All cases combined  | 3,988       | \$ 873,143 |  |  |  |  |

# APPENDIX C: FY 2022-23 Quarter Four—Published August 30, 2023

| Discipline Cases at the Office of the Attorney General<br>Provision 6, subdivisions (b)(1) and (b)(5) |                   |                    |       |  |  |  |
|---|-------------------|--------------------|-------|--|--|--|
| Total Referrals, Active and Inactive  | Adverse<br>Action | Judicial<br>Review | Total |  |  |  |
| Referrals start of quarter, subdivision (b)(1)  | 179               | 10                 | 189   |  |  |  |
| Referrals received during the quarter   | 39                | 2                  | 41    |  |  |  |
| Referrals resolved during the quarter   | 21                | 4                  | 25    |  |  |  |
| Referrals end of the quarter, subdivision (b)(5)  | 197               | 8                  | 205   |  |  |  |

| Further Investigation Requested and Received<br>Provision 6, subdivisions (b)(2) and (b)(3) |    |  |  |  |
|---|----|--|--|--|
| Further investigation requests, subdivision (b)(2)  | 11 |  |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 12 |  |  |  |

| Number of Days for Adverse Action Adjudications<br>Provision 6, subdivision (b)(4) |         |      |      |            |        |       |
|--|---------|------|------|------------|--------|-------|
| Minimum  | Maximum | Mean | Goal | Above Goal | Median | Count |
| 34     894     422     365     57     371     28                                   |         |      |      |            |        | 28    |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 6, subdivision (b)(6) |         |      |        |       |  |  |
|---|---------|------|--------|-------|--|--|
| Minimum   | Maximum | Mean | Median | Count |  |  |
| 170   | 830     | 437  | 412    | 10    |  |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 6, subdivisions (c)(1), (c)(2) and (c)(3) |           |           |  |  |  |
|---|-----------|-----------|--|--|--|
|   | Mean      | Median    |  |  |  |
| Hours per case, subdivision (c)(1)  | 123       | 70        |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 27,091 | \$ 15,313 |  |  |  |
| Costs per case, subdivision (c)(3)  | \$401     | \$ O      |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 6, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |            |  |  |  |  |
|---|-------------|------------|--|--|--|--|
| Type of Case  | Total Hours | Total Fees |  |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 3,442       | \$ 754,479 |  |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 496         | \$ 108,399 |  |  |  |  |
| All cases combined  | 3,938       | \$ 862,878 |  |  |  |  |

# APPENDIX D: FY 2022-23 Quarter Three—Published August 30, 2023

| Discipline Cases at the Office of the Attorney General<br>Provision 6, subdivisions (b)(1) and (b)(5) |                   |                    |       |  |  |  |
|---|-------------------|--------------------|-------|--|--|--|
| Total Referrals, Active and Inactive  | Adverse<br>Action | Judicial<br>Review | Total |  |  |  |
| Referrals start of quarter, subdivision (b)(1)  | 164               | 8                  | 172   |  |  |  |
| Referrals received during the quarter   | 37                | 5                  | 42    |  |  |  |
| Referrals resolved during the quarter   | 22                | 3                  | 25    |  |  |  |
| Referrals end of the quarter, subdivision (b)(5)  | 179               | 10                 | 189   |  |  |  |

| Further Investigation Requested and Received<br>Provision 6, subdivisions (b)(2) and (b)(3) |    |  |  |  |
|---|----|--|--|--|
| Further investigation requests, subdivision (b)(2)  | 14 |  |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 13 |  |  |  |

| Number of Days for Adverse Action Adjudications Provision 6, subdivision (b)(4) |         |      |      |            |        |       |
|---|---------|------|------|------------|--------|-------|
| Minimum   | Maximum | Mean | Goal | Above Goal | Median | Count |
| 6   | 847     | 447  | 365  | 82         | 450    | 26    |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 6, subdivision (b)(6) |         |      |        |       |  |  |
|---|---------|------|--------|-------|--|--|
| Minimum   | Maximum | Mean | Median | Count |  |  |
| 336   | 704     | 527  | 501    | 5     |  |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 6, subdivisions (c)(1), (c)(2) and (c)(3) |           |           |  |  |  |
|---|-----------|-----------|--|--|--|
| Mean Median   |           |           |  |  |  |
| Hours per case, subdivision (c)(1)  | 92        | 60        |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 20,158 | \$ 13,201 |  |  |  |
| Costs per case, subdivision (c)(3)  | \$ 15     | \$ O      |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 6, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |            |  |  |  |  |
|---|-------------|------------|--|--|--|--|
| Type of Case  | Total Hours | Total Fees |  |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 2,678       | \$ 585,813 |  |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 391         | \$84,400   |  |  |  |  |
| All cases combined  | 3,069       | \$ 670,213 |  |  |  |  |

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### **APPENDIX E: FISCAL YEAR 2022-23**

| Discipline Cases at the Office of the Attorney General<br>Provision 7, subdivisions (b)(1) and (b)(5) |                   |                    |       |  |  |  |
|---|-------------------|--------------------|-------|--|--|--|
| Total Referrals, Active and Inactive  | Adverse<br>Action | Judicial<br>Review | Total |  |  |  |
| Referrals start of the year, subdivision (b)(1)   | 184               | 12                 | 196   |  |  |  |
| Referrals received during the year  | 135               | 12                 | 147   |  |  |  |
| Referrals resolved during the year  | 122               | 16                 | 138   |  |  |  |
| Referrals end of the year, subdivision (b)(5)   | 197               | 8                  | 205   |  |  |  |

| Further Investigation Requested and Received<br>Provision 7, subdivisions (b)(2) and (b)(3) |    |  |  |
|---|----|--|--|
| Further investigation requests, subdivision (b)(2)  | 46 |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 37 |  |  |

|         | Number of Days for Adverse Action Adjudications<br>Provision 7, subdivision (b)(4) |      |      |            |        |       |  |
|---------|--|------|------|------------|--------|-------|--|
| Minimum | Maximum  | Mean | Goal | Above Goal | Median | Count |  |
| 6       | 1,162  | 429  | 365  | 64         | 403    | 120   |  |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 7, subdivision (b)(6) |         |      |        |       |  |
|---|---------|------|--------|-------|--|
| Minimum   | Maximum | Mean | Median | Count |  |
| 170   | 1,028   | 483  | 465    | 36    |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 7, subdivisions (c)(1), (c)(2) and (c)(3) |           |           |  |  |  |
|---|-----------|-----------|--|--|--|
| For 236 adverse action cases resolved:  | Mean      | Median    |  |  |  |
| Hours per case, subdivision (c)(1)  | 90        | 59        |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 19,679 | \$ 12,952 |  |  |  |
| Costs per case, subdivision (c)(3)  | \$ 187    | \$ O      |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 7, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |              |  |  |  |  |
|---|-------------|--------------|--|--|--|--|
| Type of Case  | Total Hours | Total Fees   |  |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 12,307      | \$ 2,697,036 |  |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 1,616       | \$ 351,378   |  |  |  |  |
| All cases combined  | 13,923      | \$ 3,048,414 |  |  |  |  |

### **APPENDIX F: FISCAL YEAR 2021-22**

| Discipline Cases at the Office of the Attorney General<br>Provision 7, subdivisions (b)(1) and (b)(5) |                   |                    |       |  |  |  |
|---|-------------------|--------------------|-------|--|--|--|
| Total Referrals, Active and Inactive  | Adverse<br>Action | Judicial<br>Review | Total |  |  |  |
| Referrals start of the year, subdivision (b)(1)   | 184               | 8                  | 192   |  |  |  |
| Referrals received during the year  | 114               | 6                  | 120   |  |  |  |
| Referrals resolved during the year  | 114               | 2                  | 116   |  |  |  |
| Referrals end of the year, subdivision (b)(5)   | 184               | 12                 | 196   |  |  |  |

| Further Investigation Requested and Received<br>Provision 7, subdivisions (b)(2) and (b)(3) |    |  |  |
|---|----|--|--|
| Further investigation requests, subdivision (b)(2)  | 38 |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 26 |  |  |

| Number of Days for Adverse Action Adjudications<br>Provision 7, subdivision (b)(4) |         |      |      |            |        |       |
|--|---------|------|------|------------|--------|-------|
| Minimum  | Maximum | Mean | Goal | Above Goal | Median | Count |
| 9  | 1,592   | 395  | 365  | 30         | 358    | 132   |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 7, subdivision (b)(6) |         |      |        |       |  |
|---|---------|------|--------|-------|--|
| Minimum   | Maximum | Mean | Median | Count |  |
| 245   | 1,054   | 488  | 391    | 31    |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 7, subdivisions (c)(1), (c)(2) and (c)(3) |           |           |  |  |  |
|---|-----------|-----------|--|--|--|
| For 236 adverse action cases resolved:  | Mean      | Median    |  |  |  |
| Hours per case, subdivision (c)(1)  | 78        | 56        |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 16,799 | \$ 12,128 |  |  |  |
| Costs per case, subdivision (c)(3)  | \$ 79     | \$ O      |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 7, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |              |  |  |  |  |
|---|-------------|--------------|--|--|--|--|
| Type of Case  | Total Hours | Total Fees   |  |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 11,775      | \$ 2,575,420 |  |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 1,034       | \$ 226,564   |  |  |  |  |
| All cases combined  | 12,809      | \$ 2,801,984 |  |  |  |  |

### **APPENDIX G: FISCAL YEAR 2020-21**

| Discipline Cases at the Office of the Attorney General<br>Provision 7, subdivisions (b)(1) and (b)(5) |                   |                    |       |  |  |  |
|---|-------------------|--------------------|-------|--|--|--|
| Total Referrals, Active and Inactive  | Adverse<br>Action | Judicial<br>Review | Total |  |  |  |
| Referrals start of the year, subdivision (b)(1)   | 186               | 14                 | 200   |  |  |  |
| Referrals received during the year  | 143               | 6                  | 149   |  |  |  |
| Referrals resolved during the year  | 145               | 12                 | 157   |  |  |  |
| Referrals end of the year, subdivision (b)(5)   | 184               | 8                  | 192   |  |  |  |

| Further Investigation Requested and Received<br>Provision 7, subdivisions (b)(2) and (b)(3) |    |  |  |
|---|----|--|--|
| Further investigation requests, subdivision (b)(2)  | 32 |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 19 |  |  |

| Number of Days for Adverse Action Adjudications<br>Provision 7, subdivision (b)(4) |         |      |      |            |        |       |
|--|---------|------|------|------------|--------|-------|
| Minimum  | Maximum | Mean | Goal | Above Goal | Median | Count |
| 22   | 1,483   | 403  | 365  | 38         | 408    | 130   |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 7, subdivision (b)(6) |         |      |        |       |  |
|---|---------|------|--------|-------|--|
| Minimum   | Maximum | Mean | Median | Count |  |
| 111   | 859     | 462  | 446    | 24    |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 7, subdivisions (c)(1), (c)(2) and (c)(3) |           |          |  |  |  |
|---|-----------|----------|--|--|--|
| For 236 adverse action cases resolved:  | Mean      | Median   |  |  |  |
| Hours per case, subdivision (c)(1)  | 69        | 45       |  |  |  |
| Fees per case, subdivision (c)(2)   | \$ 14,332 | \$ 9,798 |  |  |  |
| Costs per case, subdivision (c)(3)  | \$ 179    | \$ O     |  |  |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 7, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |              |  |  |  |  |
|---|-------------|--------------|--|--|--|--|
| Type of Case  | Total Hours | Total Fees   |  |  |  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 11,051      | \$ 2,420,022 |  |  |  |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 786         | \$ 171,129   |  |  |  |  |
| All cases combined  | 11,837      | \$ 2,591,151 |  |  |  |  |

### **APPENDIX H: FISCAL YEAR 2019-20**

| Discipline Cases at the Office of the Attorney General<br>Provision 7, subdivisions (b)(1) and (b)(5) |                   |                    |       |  |  |  |
|---|-------------------|--------------------|-------|--|--|--|
| Total Referrals, Active and Inactive  | Adverse<br>Action | Judicial<br>Review | Total |  |  |  |
| Referrals start of the year, subdivision (b)(1)   | 152               | 10                 | 162   |  |  |  |
| Referrals received during the year  | 147               | 15                 | 162   |  |  |  |
| Referrals resolved during the year  | 113               | 11                 | 124   |  |  |  |
| Referrals end of the year, subdivision (b)(5)   | 186               | 14                 | 200   |  |  |  |

| Further Investigation Requested and Received<br>Provision 7, subdivisions (b)(2) and (b)(3) |    |  |  |
|---|----|--|--|
| Further investigation requests, subdivision (b)(2)  | 33 |  |  |
| Supplemental investigations received, subdivision (b)(3)                                    | 16 |  |  |

|         | Number of Days for Adverse Action Adjudications<br>Provision 7, subdivision (b)(4) |      |      |            |        |       |  |
|---------|--|------|------|------------|--------|-------|--|
| Minimum | Maximum  | Mean | Goal | Above Goal | Median | Count |  |
| 13      | 1,954  | 369  | 365  | 4          | 297    | 133   |  |

| Number of Days from Receipt of Referral to Hearing Commenced<br>Provision 7, subdivision (b)(6) |         |      |        |       |  |
|---|---------|------|--------|-------|--|
| Minimum   | Maximum | Mean | Median | Count |  |
| 119   | 1,146   | 451  | 369    | 23    |  |

| Hours, Fees and Costs for Adverse Action Cases<br>Provision 7, subdivisions (c)(1), (c)(2) and (c)(3) |          |         |  |
|---|----------|---------|--|
| For 236 adverse action cases resolved:  | Mean     | Median  |  |
| Hours per case, subdivision (c)(1)  | 85       | 47      |  |
| Fees per case, subdivision (c)(2)   | \$15,384 | \$8,999 |  |
| Costs per case, subdivision (c)(3)  | \$ 382   | \$ O    |  |

| Total Hours and Fees – Discipline and General Litigation Cases<br>Provision 7, subdivisions (d)(1), (d)(2), (d)(3) and (d)(4) |             |             |  |
|---|-------------|-------------|--|
| Type of Case  | Total Hours | Total Fees  |  |
| Discipline cases, subdivisions (d)(1) and (d)(2)  | 11,909      | \$2,511,291 |  |
| Other cases, subdivisions (d)(3) and (d)(4)   | 385         | \$ 81,278   |  |
| All cases combined  | 12,294      | \$2,592,569 |  |

### **APPENDIX I: Provision 6 Measures Reported**

The Budget Act of 2022, Item 6360-001-0407, Provision 6 states:

- (a) The Attorney General shall submit a biannual report to the chairpersons and vice chairpersons of the budget committees of each house of the Legislature, the Legislative Analyst's Office, and the Department of Finance concerning the status of the teacher misconduct discipline caseload and other cases being handled by the Attorney General for the Commission on Teacher Credentialing. The biannual report shall be submitted by August 30 and February 28 of each year for the previous corresponding fiscal quarters.
- (b) Each report shall include, at a minimum, all of the following for teacher discipline matters:
  - (1) The number of matters with the Attorney General at the beginning of the reporting period.
  - (2) The number of matters for which further investigation was requested by the Attorney General.
  - (3) The number of matters for which further investigation was received by the Attorney General.
  - (4) The number of matters adjudicated by the Attorney General.
  - (5) The number of matters with the Attorney General at the end of the reporting period.
  - (6) The minimum, maximum, and median number of days from the date the Attorney General receives an accusation or statement of issues referral from the commission to the commencement of a hearing at the Office of Administrative Hearings for cases adjudicated during this period.
- (c) To determine the average cost of the Attorney General to adjudicate a case representing the commission, each report shall provide the following information for cases adjudicated in the reporting period specified in subdivision (a):
  - (1) The average and median number of hours worked by the staff of the Attorney General to adjudicate accusation and statement of issues matters.
  - (2) The average and median fees charged by the Attorney General to the commission to adjudicate accusation and statement of issues matters.
  - (3) The average and median litigation costs to adjudicate accusation and statement of issues matters.

- (d) To determine the total activities conducted by the Attorney General to represent the commission for each period, the Attorney General shall report the following:
  - (1) The total hours worked during the period by staff of the Attorney General for representation of the commission in teacher discipline matters.
  - (2) The total fees charged during the period by the Attorney General to the commission for representation in teacher discipline matters.
  - (3) The total hours worked during the period by staff of the Attorney General for representation of the commission unrelated to teacher discipline matters.
  - (4) The total fees charged during the period by the Attorney General to the commission for representation unrelated to teacher discipline matters.
- (e) This information shall be provided with the intent that recipients shall be able to determine the caseload input and output of the Attorney General in relation to representation of the commission in teacher discipline cases, especially as it relates to determining the average case processing time for accusation and statement of issues representation and adjudication, and proper funding level for handling the teacher discipline caseload and other legal work for the commission. Staff from the Attorney General shall provide timely followup information to staff from the offices identified in subdivision (a) upon request if further explanation or information is required.

### **APPENDIX J: Summary of the Adjudication Process**

The Commission on Teacher Credentialing Committee of Credentials reviews acts or omissions of a credential holder or applicant to determine whether probable cause exists for discipline. If the committee finds probable cause and recommends discipline, the credential holder or applicant has the right to appeal the recommendation, which initiates an adjudicatory hearing under Chapter 5 (commencing with Section 11500) of Division 3 of Title 2 of the Government Code (Administrative Procedure Act). The appeal is referred to the Office of the Attorney General to be prepared for hearing.

The deputy attorney general assigned to the case reviews the evidence supplied by the Commission on Teacher Credentialing to determine its sufficiency to meet the requisite burden of proof. If the evidence is insufficient and circumstances suggest additional avenues for evidentiary development, the deputy may request further investigation from the commission's investigators and the file remains open pending its receipt. When evidence is insufficient and further investigation is not recommended, or when legal issues prevent prosecution, the Office of the Attorney General declines prosecution and the case is closed.

When sufficient evidentiary support is identified, an accusation is prepared to initiate the adjudicatory hearing against a credential holder, or a statement of issues to set forth the grounds for denial of an application. The pleading is sent to the Commission on Teacher Credentialing for signature by the executive director, who is the complainant. The pleading is "filed" when the executive director signs it, and it is then returned to the Office of the Attorney General for service on the credential holder or applicant. Once served with an accusation, the credential holder must file a notice of defense within fifteen days or they will be in default. Once the notice of defense has been received in an accusation case, or the statement of issues has been served in an application denial case, a hearing is scheduled with the Office of Administrative Hearings. Many cases are resolved through settlement before hearing.

The deputy attorney general prosecutes the disciplinary case before the Office of Administrative Hearings. Upon conclusion of the hearing, the case is submitted to the administrative law judge who presides over the hearing, issues a proposed decision, and sends it to the Commission on Teacher Credentialing for its final decision. Subdivision (b)(4) of Provision 6 requests the number of cases adjudicated by the Office of the Attorney General. "Adjudicated" means the work of the Office of the Attorney General is complete to bring the case back before the commission for its final decision.<sup>2</sup> Adjudication can occur in five ways:

- 1. Prosecution declined. Occasionally, the deputy attorney general determines that the evidence is insufficient and does not recommend further investigation, whereby prosecution is declined and the case is closed.
- 2. Withdrawal of request for hearing by respondent. Before a pleading is filed, the credential holder or applicant may withdraw the request for a hearing, thereby accepting the recommendation of the Committee of Credentials. The Commission on Teacher Credentialing then receives the recommendation of the Committee of Credentials for its ultimate decision.
- **3.** Default. If a credential holder does not submit a timely notice of defense, the deputy attorney general prepares a default decision, which is sent to the commission for its final decision.
- **4.** Settlement. The executive director may authorize a consent determination based on terms sufficient to provide for protection of the public, schoolchildren, and the profession, which will be presented to the Committee of Credentials for its recommendation and then to the commission for its final decision.
- 5. Hearing submitted. Upon completion of the adjudicatory hearing, the case is submitted to the administrative law judge, who prepares a proposed decision and sends it to the Commission on Teacher Credentialing for its final decision.

Even after the commission's decision is issued, it may not be final. The respondent may exercise the right to petition for reconsideration, and if granted by the commission the decision is reconsidered. This can also happen if the commission decides a case based on the default of the respondent who fails to file a notice of defense or appear at a duly noticed hearing. The commission can vacate the default decision and additional proceedings are then conducted to ultimately decide the case. Each of these "post-submission" events will lengthen case processing time and require further adjudication of the case.

Cases that have been adjudicated remain open at the Office of the Attorney General until the final decision is issued by the commission and the decision becomes effective. This concludes the case, except for any judicial review in superior court and ensuing appeal seeking to overturn the commission's discipline decision. The commission generally meets six times per year, during which discipline cases are considered and final decisions made. It can therefore take two to three months after adjudication before final resolution of a discipline case. The following diagram outlines the adjudication process.

CALIFORNIA DEPARTMENT OF JUSTICE

<sup>&</sup>lt;sup>2</sup> When prosecution is declined, the case is not submitted to the commission for decision, and is closed.

# Flowchart – Adjudication Process

