



MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-6400

WEBSITE ADDRESS:
www.oag.ca.gov/charities

**INITIAL
REGISTRATION FORM
STATE OF CALIFORNIA
OFFICE OF THE ATTORNEY GENERAL
REGISTRY OF CHARITABLE TRUSTS**
(Government Code Sections 12580-12599.107)

(For Registry Use Only)

Part A - Identification of Organization

Name of Organization:

Mailing Address:

Telephone number:

City:

E-mail address:

State:

Fax number:

ZIP Code:

Website:

Federal Employer Identification Number (FEIN):

Corporation or Organization Number:

Part B - Registration Fee

A \$50 REGISTRATION FEE must accompany this registration form. Make check payable to DEPARTMENT OF JUSTICE.

Part C - List of Trustees or Directors and Officers

Names and addresses of ALL trustees or directors and officers (attach a list if necessary):

Name:	Position:		
Address:	City:	State:	ZIP Code:
Name:	Position:		
Address:	City:	State:	ZIP Code:
Name:	Position:		
Address:	City:	State:	ZIP Code:
Name:	Position:		
Address:	City:	State:	ZIP Code:

Part D - Organization Activities

Describe the primary activity of the organization (a copy of the material submitted with the application for federal or state tax exemption will normally provide this information). If the organization is based outside California, comment fully on the extent of activities in California and how the California activities relate to total activities. In addition, list all funds, property, and other assets held or expected to be held in California. Attach additional sheets if necessary.



Part E - Assets and Accounting Period

If assets (funds, property, etc.) have been received, enter the date first received.

Date assets first received in/from California:

Registration with the Attorney General is required within thirty days of receipt of assets.

What annual accounting period has the organization adopted? Fiscal Year Ending (Month/Day):

Part F - Founding Documents

Attach the organization's founding documents as follows:

- A) Corporations - a copy of the endorsed / certified articles of incorporation and all amendments and current bylaws. If incorporated outside California, enter the date the corporation qualified through the California Secretary of State's Office to conduct activities in California.
- B) Associations - a copy of the instrument creating the organization (bylaws, constitution, and/or articles of association / organization).
- C) Trusts - a copy of the trust instrument or will and decree of final distribution.
- D) Trustees for charitable purposes - a statement describing operations and charitable purpose.

Part G - Federal Tax Exempt Status

Has the organization applied for or been granted IRS tax-exempt status? ☐ Yes ☐ No

Date of application for Federal tax exemption:

Date of exemption letter:

Exempt under Internal Revenue Code section 501(c) ()

If known, are contributions to the organization tax-deductible? ☐ Yes ☐ No

Attach a copy of the Application for Recognition of Exemption (IRS Form 1023 or 1024) and the determination letter issued by the IRS.

Part H - Fundraising Professionals

Does the organization contract with or otherwise engage the services of any commercial fundraiser for charitable purposes, fundraising counsel, or commercial coventurer (as defined in Government Code sections 12599-12599.2)? If yes, provide the name(s), address(es), telephone number(s), and registration number(s) assigned by the Registry of Charitable Trusts of the provider(s). Attach additional sheets if necessary.

<input type="checkbox"/> Commercial Fundraiser (#)	<input type="checkbox"/> Fundraising Counsel (#)	<input type="checkbox"/> Commercial Coventurer (#)
Name:		Telephone Number:
Address:	City:	State: ZIP Code:
<input type="checkbox"/> Commercial Fundraiser (#)	<input type="checkbox"/> Fundraising Counsel (#)	<input type="checkbox"/> Commercial Coventurer (#)
Name:		Telephone Number:
Address:	City:	State: ZIP Code:
<input type="checkbox"/> Commercial Fundraiser (#)	<input type="checkbox"/> Fundraising Counsel (#)	<input type="checkbox"/> Commercial Coventurer (#)
Name:		Telephone Number:
Address:	City:	State: ZIP Code:



Part I - Please Respond to the following list of questions. For yes or no questions, write yes or no, and provide supplemental information if applicable.

1. List all DBAs and names of the organization uses or has used.
2. List all states in which you the organization solicits charitable donations or hasve registered to do so, or in which you the organization is are exempt from registration but operates.
3. Is the organization under common control, does it have a close connection with, or is it related to, any other nonprofit or for-profit organization or trust? If yes, identify by name, address, and telephone.
4. Has the organization's IRS tax-exempt status ever been denied, revoked, or modified? If yes, please explain circumstances on a separate sheet.
5. Has the organization's tax-exempt status ever been suspended or revoked by the Franchise Tax Board? If yes, please explain circumstances on a separate sheet.
6. Has the organization's corporation status ever been suspended or revoked by the Secretary of State? If yes, please explain circumstances on a separate sheet.
7. Are any officers, directors, trustees, or employees related by blood, marriage or adoption? If yes, identify by name, title and relationship.
8. Has the organization or any of its officers, directors, or trustees been the subject of a court or administrative proceeding in any state regarding any solicitation or registration? If yes, please explain on a separate sheet.
9. Have any of the organization's officers, directors, or trustees been convicted of any crime involving the misuse or misappropriation of funds, or any crime involving deception in the operation of a charity? If yes, identify by name and title.
10. Is the organization a platform charity (as defined in Government Code section 12599.9, subdivision (a)(5)) that partners with charitable fundraising platform(s) to facilitate donations for recipient charitable organizations on those platforms? If yes, on a separate sheet provide the following information: official legal name, registration number, mailing address, website address, and telephone number of each charitable fundraising platform.
11. Is the organization a charitable fundraising platform (as defined in Government Code section 12599.9, subdivision (a)(1))? If yes: (1) provide the organization's registration number as a charitable fundraising platform, or (2) if the organization has not registered as a charitable fundraising platform, submit with this form a completed Form PL-1 and the registration fee for Form PL-1.

Please note that the Form CT-1 is a public document which will be posted on the Registry's website. If you wish to maintain the confidentiality of any attachment to the Form CT-1, you must request that the attachment not be maintained in the Public File.

Part J - Signature

I declare under penalty of perjury that I have examined this registration form, including accompanying documents, and to the best of my knowledge and belief, the form and each document are true, correct, and complete, and I am authorized to sign.

Signature _____ Title _____ Date _____

The organization will be required to file financial reports annually on Form RRF-1 (Annual Registration/Renewal Fee Report) no later than four months and fifteen days after the end of the organization's accounting period. Organizations with \$50,000 or more in total revenue are also required to file the applicable IRS Form 990, with all attachments and schedules, as filed with the IRS. Organizations with less than \$50,000 in total revenue are generally required to file Form CT-TR-1. All Registry forms can be found on the Attorney General's website at www.oag.ca.gov/charities.

For additional information, please refer to the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Government Code sections 12580-12599.108) and the Administrative Rules and Regulations pursuant to the Act (California Code of Regulations, Title 11, Sections 300-312.1), and other resources available on the Attorney General's website at www.oag.ca.gov/charities.

Additional information is available on the Attorney General's website at www.oag.ca.gov/charities. You may also call the Attorney General's Registry of Charitable Trusts at (916) 210-6400 or fax at (916) 444-3651 or contact the Registry via email at Registration@doj.ca.gov.



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, §§ 300 ~~et seq.~~ ~~346~~). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

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**INSTRUCTIONS FOR FILING
INITIAL REGISTRATION FORM (CT-1)
ATTORNEY GENERAL OF CALIFORNIA
REGISTRY OF CHARITABLE TRUSTS**
(Government Code Sections 12580-12599.108)



WHO MUST FILE THE INITIAL REGISTRATION FORM CT-1?

Every charitable corporation, unincorporated association and trustee holding assets for charitable purposes or doing business in California, unless exempt, is required to register with the Attorney General within thirty days after receipt of assets (cash or other forms of property).

The initial registration requirement also applies to foreign charitable organizations (organizations formed under the laws of other states) doing business or holding property in California. Doing business in California includes soliciting donations in California by phone, mail, email, advertisements, or any other means from outside of California. Other examples include engaging in any of the following activities in California: holding meetings of the board of directors or corporate members here, maintaining an office here, having officers or employees who perform work here, and/or conducting charitable programs in California.

CLAIMING EXEMPTION FROM REGISTRATION

If the organization is claiming exemption as a hospital, educational institution, religious or mutual benefit corporation, you must submit the following:

- Founding documents, such as certified Articles of Incorporation, Articles of Association, Bylaws, or Trust Instrument (include any amendments);
- IRS Determination letter (if applicable); and
- IRS Form 1023 or 1024, application for tax exemption (if applicable).
- Mutual benefit organizations should also include a detailed description of the organization's funding activities. (i.e., does the organization solicit/receive contributions from the public and/or receive government grants in California to be used for charitable purposes or is the organization only funded by members).

LINE-BY-LINE INSTRUCTIONS FOR COMPLETING FORM CT-1

Part A

Name of Organization - Insert the legal name as stated in the organization's organizing instrument (i.e., articles of incorporation, articles of association, or trust instrument).

Official Mailing Address and Contact Information for Organization - Insert the mailing address of the organization. Insert the contact information of the organization.

Organization's website - If the organization has a website, insert the complete website address.

Federal Employer Identification Number (FEIN) - All organizations must apply for a FEIN from the Internal Revenue Service, including organizations that have a group exemption or file group returns.

Corporation or Organization Number - All California and foreign corporations that have qualified to do business in California are assigned a corporation number by the California Secretary of State. For corporate number information, visit www.sos.ca.gov/business-programs/business-entities.

Unincorporated organizations are assigned an organization number by the Franchise Tax Board upon application for California tax exemption. For unincorporated organization number information, visit www.ftb.ca.gov.

Part B

A registration fee of \$50 must accompany this registration form. The registration fee must be paid by check or money order, payable to "Department of Justice."

Part C

List the names and mailing addresses for all officers, directors, and trustees - Include the position or title (e.g., President, Vice President, Secretary, Treasurer, Trustee).

Part D

Describe the organization's primary activity - Describe the charitable purpose or mission of the organization in detail. (A copy of the material submitted with the application for federal or state tax exemption will normally provide this information).

If the organization is based outside California, comment fully on the extent of activities in California and how the California activities relate to total activities. In addition, list all funds, property, and other assets held or expected to be held in California. Attach additional sheets if necessary.

Part E

If assets (funds, property, etc.) have been received, enter the date first received - Date assets first received in/from California include -

- Holding property and having bank accounts in California
- Soliciting and receiving contributions (from California residents, foundations, corporations, and governmental agencies). Soliciting includes direct requests for charitable contributions, such as by phone, mail, email, advertisement, or grant requests.

What annual accounting period has the organization adopted? -

Enter the month in which the annual accounting period ends. For example, if the annual accounting period ends December 31, enter 12/31. If the annual accounting period ends June 30, enter 6/30. This information is usually found in the organization's bylaws, or other documents, such as the IRS Determination letter (if applicable), or the IRS Form 1023 or 1024 application for tax-exempt status (if applicable).

Part F

Attach the organization's founding documents -

A) Corporations - a copy of the articles of incorporation endorsed by the Secretary of State of California. They will include the corporate number and date of incorporation. Include any certified amendments and the current bylaws.

If incorporated outside California, enter the date the corporation qualified through the California Secretary of State's Office to conduct activities in California. Also include the certified articles of incorporation (and any amendments) that are stamped / fully executed by the state of domicile.

B) Associations - a copy of the instrument creating the organization (e.g., bylaws, constitution, and/or articles of association, articles of organization).

C) Trusts - a copy of the trust instrument or will and decree of final distribution. Include any amendments.

D) Trustees for charitable purposes - a statement describing operations and charitable purposes.

Part G

Federal Tax Exempt Status (if applicable)

- Has the organization applied for or been granted IRS tax-exempt status? Check "Yes" or "No".
- Date of application for Federal tax exemption: Enter the date when the organization submitted IRS Form 1023 / 1024 to the IRS.
- Date of exemption letter: Enter the date on the tax exemption determination letter the organization received from the IRS.
- Exempt under Internal Revenue Code section 501(c): Enter the applicable Internal Revenue Code section number, e.g., section 501(c)(3).
- If known, are contributions to the organization tax-deductible? Check "Yes" or "No."
- Attach a copy of the Application for Recognition of Exemption (IRS Form 1023 / 1024) and the determination letter issued by the IRS to the organization.

Part H

Commercial Fundraiser, Fundraising Counsel, Commercial Coventurer - Does the organization contract with or otherwise engage the services of any commercial fundraiser for charitable purposes, fundraising counsel, or commercial coventurer (as defined in Government Code section 12599-12599.2)? If yes, provide the name(s), address(es), telephone number(s), and registration number assigned by the Registry of Charitable Trusts of the provider(s):

- Commercial Fundraiser - Any individual, corporation, or other legal entity who (for compensation) solicits funds, assets, or property in California for charitable purposes. (See Government Code section 12599 for complete definition).
- Fundraising Counsel - Any person who (for compensation) plans, manages, advises, counsels, consults, or prepares material for, or with respect to, the solicitation in this state of funds, assets or property for charitable purposes. (See Government Code section 12599.1 for complete definition.)
- Commercial Coventurer - Any person who, for profit, is regularly and primarily engaged in trade or commerce other than in connection with the raising of funds, assets, or property for charitable organizations or charitable purposes, and who represents to the public that the purchase or use of any goods, services, entertainment, or any other thing of value will benefit a charitable organization or will be used for a charitable purpose. (See Government Code Section 12599.2 for complete definition.)

Part I

Answer each question and provide supplemental information if applicable.

Question 3.

"Common control" means you and one or more other organizations or trusts have: (1) a majority of directors, officers, or trustees appointed or elected by the same organization(s) or individuals, (2) a majority of directors, officers, or trustees consisting of the same individuals. Common control also occurs when you and one or more organizations have a majority ownership interest in a corporation, partnership, or trust. "Ownership" means voting power in a corporation, profits interest in a partnership, or beneficial interest in a trust.

"Close connection" means any of the following relationships between organizations: (1) control of one organization by another through common directors, officers, or trustees or through authority to approve budgets or expenditures; (2) coordination or sharing of operations as to facilities, programs, employees, or other activities; or (3) common persons exercising substantial influence over the organizations.

"Related to" an organization means to control or be controlled by an organization. "Control" means (1) to have the power (by the organization or through one or more officers, directors, trustees or agents) to remove and replace (or to appoint, elect, or approve or veto the appointment or election of) the majority of another organization's directors or trustees, or a majority of members who elect a majority of another organization's directors or trustees; (2) to own more than 50% of a stock corporation; (3) to own more than 50% of the profits or capital interests or is managing partner, general partner, or managing member of a partnership or LLC; or (4) to own more than 50% of the beneficial interest in a trust.

Questions 10 and 11.

"Charitable fundraising platform" means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a), (c), (d), (g), and (i), for complete definitions.

"Commercial charitable fundraising platform" means a charitable fundraising platform that lists or references by name recipient charitable organizations to receive donations made by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (a), for complete definition.

"Consulting charitable fundraising platform" means a charitable fundraising platform that provides a customizable version of its fundraising platform to charitable organizations, who solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.

"Coventuring charitable fundraising platform" means a charitable fundraising platform that lists or reference by name recipient charitable organizations to receive donations made by the platform based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(D), and California Code of Regulations, title 11, section 314, subdivision (d), for complete definition.

"Marketing charitable fundraising platform" means a charitable fundraising platform that permits persons who use the platform to select recipient charitable organizations to receive donations made by the platform or others, based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(1)(C), and California Code of Regulations, title 11, section 314, subdivision (g), for complete definition.

"Peer-to-peer charitable fundraising platform" means a charitable fundraising platform that permits persons who use the platform to solicit donations for recipient charitable organizations made by other platform users. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

"Platform charity" means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A platform that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

"Recipient charitable organization" means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

Part J

Signature, Title, and Date - I declare under penalty of perjury that I have examined this registration form, including accompanying documents, and to the best of my knowledge and belief, the form and each document are true, correct, and complete.

- Must be signed by the organization's president or chief executive officer, treasurer or chief financial officer, (if a trust or unincorporated association) authorized trustee, or authorized agent.
- Include the date that Form CT-1 was signed by the organization's president, chief executive officer, treasurer or chief financial officer, or (if a trust or unincorporated association) authorized trustee.
- Signatures do not need to be original inked signature. Copies or electronic signatures are acceptable.

ADDITIONAL INSTRUCTIONS

Please note that the Form CT-1 is a public document which will be posted on the Registry's website. If you wish to maintain the confidentiality of any attachment to the Form CT-1, you must request that the attachment not be maintained in the Public File.

The organization will be required to file financial reports annually on Form RRF-1 (Annual Registration/Renewal Fee Report) within four months and fifteen days after the end of the organization's accounting period. Organizations with \$50,000 or more in total revenue are also required to file the applicable IRS Form 990, with all attachments and schedules, as filed with the IRS. Organizations with less than \$50,000 in total revenue are required to file Form CT-TR-1. All Registry forms can be found on the Attorney General's website at www.oag.ca.gov/charities.

For additional information, please refer to the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Government Code sections 12580-12599.8) and the Administrative Rules and Regulations pursuant to the Act (California Code of Regulations, Title 11, Sections 300-312.1), and other resources available on the Attorney General's website at www.oag.ca.gov/charities.

Additional information is available on the Attorney General's website at www.oag.ca.gov/charities. You may also call the Attorney General's Registry of Charitable Trusts at (916) 210-6400, fax at (916) 444-3651, or contact the Registry via email at Registration@doj.ca.gov.



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COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES

ANNUAL REGISTRATION FORM FOR 20____

~~Section 12599~~, California Government Code [section 12599](#)
~~11 Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

Failure to register by January 15th for each calendar year of solicitation may
result in assessment of late fees as defined in Government Code section 12586.1.

A CHECK IN THE AMOUNT OF \$500
PAYABLE TO THE REGISTRY OF CHARITABLE TRUSTS
MUST ACCOMPANY THIS REGISTRATION FORM

(For Registry Use Only)

Official Name and Address of Commercial Fundraiser for
Charitable Purposes:

Name of commercial fundraiser for charitable purposes

Address (Do Not Use P.O. Box)

City or Town, State and Zip Code

E-mail Address

Registration Number _____

Federal Employer I.D. Number _____

Telephone Number () _____

Mailing Address (if different from Official Address):

Mailing Address _____

City, State, and Zip Code _____

In addition to the OFFICIAL name, enter all other names and addresses under which this commercial fundraiser for charitable
purposes is known or operates:

Legal Form of Commercial Fundraiser for Charitable Purposes:

☐ Corporation

☐ LLC

☐ Partnership

☐ Sole Proprietorship ☐ Other

State in which organized _____ Date organized _____

Enter the name, address, title of each person who is responsible for directing and supervising the work of the commercial
fundraiser.

Name	Address	Title



Identify commercial fundraiser for charitable purposes' activities. Check all boxes that apply:

- | | | |
|--|--|--|
| <input type="checkbox"/> Auction | <input type="checkbox"/> Advertising Sales | <input type="checkbox"/> Direct Mail |
| <input type="checkbox"/> Beauty Pageant | <input type="checkbox"/> Car Donations | <input type="checkbox"/> Entertainment Event |
| <input type="checkbox"/> Concert | <input type="checkbox"/> Dinner | <input type="checkbox"/> Publication |
| <input type="checkbox"/> Discount Coupons | <input type="checkbox"/> Door-to-Door Solicitation | <input type="checkbox"/> Sports Event |
| <input type="checkbox"/> Honor Boxes | <input type="checkbox"/> Magazine Sales | <input type="checkbox"/> Theater |
| <input type="checkbox"/> Salvageable Personal Property | <input type="checkbox"/> Safety Products | <input type="checkbox"/> Vending Machines |
| <input type="checkbox"/> Telemarketing | <input type="checkbox"/> Telephone Solicitation | |
| <input type="checkbox"/> Thrift Store | <input type="checkbox"/> Trash Bags | |
| <input type="checkbox"/> Other (Specify): | | |

Is any director, officer, or employee of the commercial fundraiser for charitable purposes a director, officer, or employee of any charitable organization with which it has contracted to solicit?

- ☐ Yes ☐ No

If "yes," complete the following:

Name and address of director, officer or employee of commercial fundraiser for charitable purposes	Name and address of charitable organization	Relationship of officer, etc. to charitable organization

For each affiliation identified above, attach a copy of the contract between the commercial fundraiser for charitable purposes and the charity.

Has the commercial fundraiser for charitable purposes ever had any license, registration or permit denied, cancelled, suspended or revoked, or had any official disciplinary or legal action taken against it? Is any such action currently pending against the commercial fundraiser for charitable purposes or any of its representatives in relation to any fundraising activity?

- ☐ Yes ☐ No

If "yes," complete the following:

Name and address of government agency bringing action	Nature of action. Indicate against whom action was taken and disposition	Date

- ☐ Check box if attachments are included.

Does the commercial fundraiser for charitable purposes have a written contract with the charitable organization, in accordance with the provisions of Government Code sections 12599 and 12599.3?

- ☐ Yes ☐ No

I certify under penalty of perjury that I am authorized to sign this registration form and that the information provided herein, including attachments, is true and complete to the best of my knowledge and belief.

Signature	Printed Name	Title	Date
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Office of the Attorney General
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Privacy Notice
As Required by Civil Code § 1798.17

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Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

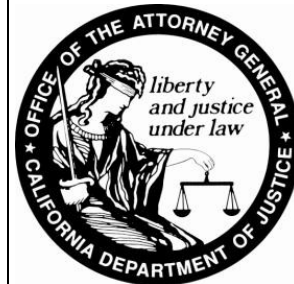
Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

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WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR REGISTRATION COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES (Form CT-1CF)



WHO MUST FILE

Every commercial fundraiser for charitable purposes in California must register with the Attorney General's Registry of Charitable Trusts prior to soliciting any funds in California for charitable purposes.

WHEN TO FILE

Commercial fundraisers for charitable purposes must register annually in California for each calendar year of solicitation. The deadline for filing the registration form is January 15 of the applicable year.

FEE MUST ACCOMPANY REGISTRATION

A registration fee of \$500 must accompany each registration form. Checks should be made payable to the ATTORNEY GENERAL'S REGISTRY OF CHARITABLE TRUSTS. Registration forms not accompanied by full payment will not be processed and will be returned.

BOND MUST ACCOMPANY REGISTRATION

Each application for registration or renewal of registration must be accompanied by a cash deposit or a bond issued by an admitted surety in favor of the State of California and in a form acceptable to the Attorney General. The cash deposit or bond shall be in the amount of twenty-five thousand dollars (\$25,000).

FEES MAY BE IMPOSED FOR DELINQUENT REGISTRATION

Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of the month for registrations not received by January 15. This code section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via e-mail at PF@doj.ca.gov.



MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES

ANNUAL FINANCIAL REPORT FOR 20 ____

(California Government Code section 12599)

~~11 Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

Failure to file annual financial report by January 30th for each calendar year of solicitation may result in assessment of late fees as defined in Government Code section 12586.1

An annual financial report must be filed for each event for each charity solicited for during the previous calendar year.

(For Registry Use Only)

Name and Address of Commercial Fundraiser for Charitable Purposes: Registration No. _____ Name of commercial fundraiser for charitable purposes Address of commercial fundraiser for charitable purposes City, State, and Zip Code of commercial fundraiser for charitable purposes E-mail Address _____	Name and Address of Charitable Organization: CT No. _____ FEIN _____ Name of charity Address of charity City, State, and Zip Code of charity
---	--

_____ held (on) (from) _____, 20 ____, to _____, 20 ____.
(Type of solicitation activity) (Date or dates must be shown)

Is the contract between the commercial fundraiser for charitable purposes and the charity based upon a fee or percentage of revenue? (Check one) Fee ☐ Percentage ☐ Other ☐

If other, provide brief explanation _____

1. REVENUE (from ALL sources nationwide)		
A. Cash contributions	_____	A.
B. Entertainment sales or admission charges	_____	B.
C. Sales from products	_____	C.
D. Advertisement Sales	_____	D.
E. Membership fees	_____	E.
F. Other sources: (Specify)		
a. _____	_____	Fa.
b. _____	_____	Fb.
c. _____	_____	Fc.
d. _____	_____	Fd.
G. TOTAL REVENUE		_____ G.
2. EXPENSES		
A. Fees or commissions	_____	A.
B. Salaries	_____	B.
C. Payroll taxes	_____	C.
D. Employee benefits	_____	D.
E. Cost of merchandise for resale	_____	E.
F. Cost of entertainment	_____	F.
G. Postage	_____	G.
H. Advertising	_____	H.
I. Telephone	_____	I.
J. Rental of equipment	_____	J.
K. Facilities charge	_____	K.
L. Permits	_____	L.
M. Other expenses: (Specify)		
a. _____	_____	Ma.
b. _____	_____	Mb.
c. _____	_____	Mc.
d. _____	_____	Md.
N. TOTAL EXPENSES		_____ N.



3. Amount to charity (subtract line 2N from line 1G) _____ 3.
4. Less additional fundraising expenses paid by charity, including fee paid to commercial fundraiser for charitable purposes (to be completed by charity). _____ 4.
5. Less fair market value of goods and/or services used for the event which were paid by sponsor(s) _____ 5.
6. Net proceeds realized by charity from the campaign (subtract lines 4 and 5 from line 3) _____ 6.
7. (a) Is any director, officer, or employee of the commercial fundraiser for charitable purposes a director, officer, or employee of the charitable organization listed in this report?
- ☐ No ☐ Yes If "yes" complete the following:

Name and address of director, officer, or employee of commercial fundraiser for charitable purposes	Name and address of charitable organization	Relationship of officer, etc. to charitable organization

(b) For each affiliation identified in 7(a), attach copy of the contract between the commercial fundraiser for charitable purposes and the charity.

Under penalties of perjury, I declare that I have examined this report, including accompanying documents, schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.

Signature of authorized officer (commercial fundraiser for charitable purposes)	Printed name	Title	Date
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This report must be signed by two officers or directors of the charitable organization for verification.

Signature of authorized officer/director (charity)	Printed name	Title	Date
--	--------------	-------	------

Signature of authorized officer/director (charity)	Printed name	Title	Date
--	--------------	-------	------



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, §§ 300-~~316~~ [et seq.](#)). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR ANNUAL FINANCIAL REPORT COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES (Form CT-2CF)



WHO MUST FILE?

Every commercial fundraiser for charitable purposes registered in California must file an annual financial report with the Attorney General's Registry of Charitable Trusts on behalf of each charitable organization for which donations were solicited during the preceding calendar year.

WHEN TO FILE?

Commercial fundraisers for charitable purposes must file annual financial reports no later than 30 days after the close of the preceding calendar year. The deadline for filing annual financial reports is January 30 of each year.

WHO MUST SIGN THE ANNUAL FINANCIAL REPORT?

To be accepted, Form CT-2CF must be signed by an authorized officer of the commercial fundraiser for charitable purposes and two officers or directors of the charitable organization.

FEES MAY BE IMPOSED FOR DELINQUENT FINANCIAL REPORTING

Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of a month for annual financial reports not received by January 30. This Code section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via e-mail at: PF@doj.ca.gov.

GENERAL INSTRUCTIONS

Enter the commercial fundraiser for charitable purposes registration number and name and address of the commercial fundraiser for charitable purposes.

Enter the charitable trust registration number (CT number), federal employer ID number (FEIN), and name and address of the charitable organization.

Line 1G-Report the TOTAL (gross) revenue generated from the campaign (nationwide, not just California). Exclude costs of donated goods and/or services used for the event which are reported on line 5 (see line 5 instructions).

Line 2N-Enter the total expenses reported on lines 2A thru 2M.

Line 3-Enter actual amount to charity from the campaign (Exclude net profit or loss to commercial fundraiser for charitable purposes).

Line 4-This line is to be completed by the charitable organization. Enter the total additional fundraising expenses incurred by the charitable organization relating to the campaign that are not reported on lines 1A thru 1F or 2A thru 2M.

Line 5-Enter the total cost of goods and/or services used for the event which were paid by sponsors of the event. For example, if a sponsor guarantees payments up to \$500 to subsidize expenses for a charity event and \$400 is subsequently used to pay for dinners as part of the fundraising event, include \$400 in the total for line 5.

Line 6-Subtract line 4 and 5 from line 3 and enter amount on this line.

NOTE 1: If the commercial fundraiser for charitable purposes does not have control of the funds solicited, it will be necessary for the officers or directors of the charitable organization to complete the Revenue and Distribution portions of Form CT-2CF, and to circle and initial those figures supplied by the charitable organization.



MAIL TO:
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Registry of Charitable Trusts
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Sacramento, CA 94203-4470

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WEBSITE ADDRESS:
www.oag.ca.gov/charities

**COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES
THRIFT STORE OPERATIONS
ANNUAL FINANCIAL REPORT FOR 20**

(California Government Code section 12599)
~~(11 Cal. Code Regs. section 308)~~ [California Code of Regulations, title 11, section 313](#)

Failure to file annual financial report by January 30th for each
calendar year of solicitation may result in assessment of late fees as defined in
Government Code section 12586.1

An annual financial report must be filed for each event for each charity
solicited for during the previous calendar year.

(For Registry Use Only)

Name and Address of Commercial Fundraiser for Charitable Purposes:	Name and Address of Charitable Organization:
Registration No. _____	CT No. _____ FEIN _____
Name of commercial fundraiser for charitable purposes _____	Name of charity _____
Address of commercial fundraiser for charitable purposes _____	Address of charity _____
City, State, and Zip Code of commercial fundraiser for charitable purposes _____	City, State, and Zip code of charity _____
E-mail Address _____	

_____ held (on) (from) _____, 20____, to _____, 20____
(Date or dates must be shown)

1. REVENUE (Type of activity)		
A. Store Sales	_____	A. _____
B. Rag Sales	_____	B. _____
C. Miscellaneous Income	_____	C. _____
D. TOTAL REVENUE		_____ D. _____
2. EXPENSES		
A. Salaries - Store Management	_____	A. _____
B. Salaries - Employees	_____	B. _____
C. Payroll taxes	_____	C. _____
D. Employee benefits	_____	D. _____
E. Transportation Expense	_____	E. _____
F. Advertising	_____	F. _____
G. Insurance	_____	G. _____
H. Telephone	_____	H. _____
I. Rent	_____	I. _____
J. Utilities	_____	J. _____
K. Sales Tax	_____	K. _____
L. Office Expense	_____	L. _____
M. Depreciation	_____	M. _____
N. Leasehold Improvements (Amortized)	_____	N. _____
O. Solicitation Expense	_____	O. _____
P. Other Expenses. (Attach a Schedule)	_____	P. _____
Q. TOTAL EXPENSES		_____ Q. _____

NOTE: BASED ON YOUR CONTRACT/AGREEMENT, PLEASE COMPLETE EITHER LINES 3 AND 4 OR LINES 5, 6, AND 7.

3. COST OF GOODS PURCHASED FROM CHARITY (Distribution or net to charitable organization)	_____ 3.
Volume of soft goods purchased in 100 cubic feet increments: _____	
4. AMOUNT RETAINED BY COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES (Line 1D minus Line 2Q minus Line 3)	_____ 4.
5. NET INCOME (lines 1D minus 2Q)	_____ 5.
6. MANAGEMENT FEES/COMMISSION TO COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES	_____ 6.
A. Volume of soft goods received from charitable solicitation and pick up in 100 cubic feet increments: _____	
7. NET DISTRIBUTION TO CHARITY (Line 5 minus 6)	_____ 7.



8. a. Is any director, officer, or employee of the commercial fundraiser for charitable purposes a director, officer, or employee of the charitable organization listed in this report?

☐ Yes If "yes" complete the following: ☐ No

Name and address of director, officer, or employee of commercial fundraiser for charitable purposes	Name and address of charitable organization	Relationship of director, officer, or employee to charitable organization

(b) For each affiliation identified in 8(a), attach copy of the contract between the commercial fundraiser for charitable purposes and the charity.

Under penalties of perjury, I declare that I have examined this report, including accompanying documents, schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.

Signature of authorized officer
(commercial fundraiser for charitable purposes)

Printed name

Title

Date

This report must be signed by two officers or directors of the charitable organization for verification.

Signature of authorized officer/director (charity)

Printed name

Title

Date

Signature of authorized officer/director (charity)

Printed name

Title

Date



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, §§ 300-~~346~~ [et seq.](#)). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES ANNUAL FINANCIAL REPORT FOR THRIFT STORES (FORM CT-2TCF)



WHO MUST FILE

Every commercial fundraiser for charitable purposes registered in California must file an annual financial report with the Attorney General's Registry of Charitable Trusts on behalf of each charitable organization for which donations were solicited during the preceding calendar year. Form CT-2TCF should be completed by commercial fundraisers for charitable purposes that obtain a majority of their inventory for sale by purchase, receipt or control for resale to the general public, or salvageable personal property solicited by an organization qualified to solicit donations pursuant to Section 148.3 of the Welfare and Institutions Code.

WHEN TO FILE

Commercial fundraisers for charitable purposes must file annual financial reports no later than 30 days after the close of the preceding calendar year. The deadline for filing annual reports is January 30 of each year.

WHO MUST SIGN THE ANNUAL FINANCIAL REPORT

To be accepted, Form CT-2TCF must be signed by an authorized officer of the commercial fundraiser for charitable purposes and two officers or directors of the charitable organization.

FEES MAY BE IMPOSED FOR DELINQUENT FINANCIAL REPORTING

Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of a month for annual financial reports not received by January 30. This code section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via e-mail at PF@doj.ca.gov.

GENERAL INSTRUCTIONS

Enter the commercial fundraiser for charitable purposes registration number and name and address of commercial fundraiser for charitable purposes.

Enter the charitable trust registration number (CT number), federal employer ID number (FEIN), and name and address of the charitable organization.

NOTE 1: Submit a report for each store location.

NOTE 2: Item 6 (A) - Enter volume of soft goods purchased in 100 cubic foot increments.

[Thrift Store operators purchase goods from charities based on a cart price for soft goods (clothing, cloth goods, shoes, purses, belts, jewelry, hats). Furniture, electrical items, books, records, toys, Christmas trees and other types of hard goods are excluded from the measurement.]



MAIL TO:
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(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

**COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES
VEHICLE DONATION PROGRAM
ANNUAL FINANCIAL REPORT FOR 20 ____**

(California Government Code section 12599)

~~11 Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

Failure to file annual financial report by January 30th for each calendar year of solicitation may result in assessment of late fees as defined in Government Code section 12586.1

An annual financial report must be filed for each event for each charity solicited for during the previous calendar year.

(For Registry Use Only)

Name and Address of Commercial Fundraiser for Charitable Purposes:	Name and Address of Charitable Organization:
CF No. _____	CT No. _____ F.E.I.N. _____
Name of commercial fundraiser for charitable purposes	Name of charity
Address of commercial fundraiser for charitable purposes	Address of charity
City, State, and Zip Code of commercial fundraiser for charitable purposes	City, State, and Zip code of charity
E-mail Address	

_____ held (on) (from) _____, 20 ____, to _____, 20 ____
(Type of activity) (Date or dates must be shown)

1. REVENUE (from ALL sources, nationwide)

A. Car/Truck Sales	_____	A.
B. Boat Sales	_____	B.
C. Other sources: (Specify)	_____	
a. _____	_____	Ca.
b. _____	_____	Cb.
c. _____	_____	Cc.
d. _____	_____	Cd.

D. TOTAL REVENUE _____ D.

2. EXPENSES

A. Fees or commissions paid by commercial fundraiser for charitable purposes	_____	A.
B. Salaries	_____	B.
C. Payroll taxes	_____	C.
D. Employee benefits	_____	D.
E. Towing	_____	E.
F. Vehicle Repairs	_____	F.
G. Parts	_____	G.
H. DMV Fees	_____	H.
I. Appraisals	_____	I.
J. Detailing	_____	J.
K. Advertising	_____	K.
L. Telephone	_____	L.
M. Other expenses: (Specify)	_____	
a. _____	_____	Ma.
b. _____	_____	Mb.
c. _____	_____	Mc.
d. _____	_____	Md.

N. TOTAL EXPENSES _____ N.



3. Distribution or net to charitable organization _____ 3.
4. Less additional expenses relating to operation of vehicle donation program paid by charity _____ 4.
5. Net proceeds to charity from vehicle donation program _____ 5.
6. (a) Is any director, officer, or employee of the commercial fundraiser for charitable purposes a director, officer, or employee of the charitable organization listed in this report?

☐ Yes ☐ No If "yes" complete the following:

Name and address of director, officer, or employee of commercial fundraiser for charitable purposes	Name and address of charitable organization	Relationship of director, officer, or employee to charitable organization

(b) For each affiliation identified in 6(a), attach copy of the contract between the commercial fundraiser for charitable purposes and the charity.

Under penalties of perjury, I declare that I have examined this report, including accompanying documents, schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.

Signature of authorized officer (commercial fundraiser for charitable purposes)	Printed name	Title	Date
--	--------------	-------	------

This report must be signed by two officers or directors of the charitable organization for verification.

Signature of authorized officer/director (charity)	Printed name	Title	Date
--	--------------	-------	------

Signature of authorized officer/director (charity)	Printed name	Title	Date
--	--------------	-------	------



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

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Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
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P.O. Box 903447
Sacramento, CA 94203-4470

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(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES ANNUAL FINANCIAL REPORT FOR VEHICLE DONATION PROGRAM (FORM CT-2VCF)



WHO MUST FILE

Every commercial fundraiser for charitable purposes registered in California must file an annual financial report with the Attorney General's Registry of Charitable Trusts on behalf of each charitable organization for which donations were solicited during the preceding calendar year. Form CT-2VCF should be completed by commercial fundraisers for charitable purposes that operate vehicle donation programs for charities or charitable purposes.

WHEN TO FILE

Commercial fundraisers for charitable purposes must file annual financial reports no later than 30 days after the close of the preceding calendar year. The deadline for filing annual financial reports is January 30 of each year.

WHO MUST SIGN THE ANNUAL FINANCIAL REPORT

To be accepted, Form CT-2VCF must be signed by an authorized officer of the commercial fundraiser for charitable purposes and two officers or directors of the charitable organization.

FEES MAY BE IMPOSED FOR DELINQUENT FINANCIAL REPORTING

Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of a month for annual financial reports not received by January 30. This code section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via e-mail at PF@doj.ca.gov.

GENERAL INSTRUCTIONS

Enter the commercial fundraiser for charitable purposes registration number and name and address of commercial fundraiser for charitable purposes.

Enter the charitable trust registration number (CT number), federal employer ID number (FEIN), name and address of charitable organization.

Section 1, lines A-C: Enter the total (gross) revenue generated from the campaign nationwide, not just in California.

Section 2, lines A-M: Enter each category of expenses.

Section 2, line N: Enter total expenses.

Section 3, Enter the amount of money distributed to the charitable organization.

NOTE 1: Section 1D minus section 2N should equal line 3. If it does not, attach a complete explanation.

NOTE 2: If the commercial fundraiser for charitable purposes does not have control of the funds solicited, it will be necessary for the officers or directors of the charitable organization to complete the Revenue and Distribution portions of Form CT-2VCF, and to circle and initial those figures which are supplied by the charitable organization.



MAIL TO:
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Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

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Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

**FUNDRAISING COUNSEL FOR CHARITABLE PURPOSES
ANNUAL REGISTRATION FORM FOR 20__**

~~Section 12599~~, California Government Code [section 12599.1](#)
~~11 Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

Failure to register by January 15th for each calendar year of solicitation may
result in assessment of late fees as defined in Government Code section 12586.1.

A CHECK IN THE AMOUNT OF \$500
PAYABLE TO THE REGISTRY OF CHARITABLE TRUSTS
MUST ACCOMPANY THIS REGISTRATION FORM

(For Registry Use Only)

Official Name and Address of Fundraising Counsel for Charitable
Purposes:

Name of fundraising counsel for charitable purposes

Address (Do not use P.O. Box)

City or Town, State and Zip Code

E-mail Address

Registration Number

F.E.I.N.

Telephone Number ()

Mailing Address (if different from official address):

Mailing address

City, State, and Zip Code

In addition to the OFFICIAL name, enter all other names and addresses under which this fundraising counsel for charitable
purposes is known or operates:

Legal form of fundraising counsel for charitable purposes:

☐ Corporation

☐ LLC

☐ Partnership

☐ Sole Proprietorship ☐ Other

State in which organized _____ Date organized _____

Enter the name, address, title of each person who is responsible for directing and supervising the work of the Fundraising
Counsel.

Name	Address	Title



Provide the name and address of each "individual, corporation, unincorporated association, or other legal entity" for whom the fundraising counsel for charitable purposes has performed any services described in Paragraph (1) of subdivision (a) of section 12599.1 during the preceding calendar year.

Name	Address

Is any director, officer, or employee of the fundraising counsel for charitable purposes a director, officer, or employee of any charitable organization with which it has contracted to provide services?

☐ Yes ☐ No

If "Yes," complete the following:

Name and address of director, officer, or employee of fundraising counsel for charitable purposes	Name and address of charitable organization	Relationship of officer, etc. to charitable organization

For each affiliation identified above, attach a copy of the contract between the fundraising counsel for charitable purposes and the charity.

Has the fundraising counsel for charitable purposes ever had any license, registration or permit denied, cancelled, suspended or revoked, or had any official disciplinary or legal action taken against it? Is any such action currently pending against the fundraising counsel for charitable purposes or any of its representatives in relation to any fundraising activity?

☐ Yes ☐ No

If "yes," attach information stating the name and address of the government agency bringing the action, the status or disposition of the action, and the date of the action.

Does the fundraising counsel for charitable purposes have a written contract with each individual, corporation, unincorporated association, or other legal entity listed above, in accordance with the provisions of Government Code section 12599.1?

☐ Yes ☐ No

I certify under penalty of perjury that I am authorized to sign this registration form and that the information provided herein, including attachments, is true and complete to the best of my knowledge and belief.

Signature

Printed Name

Title

Date



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, §§ 300-~~346~~ [et seq.](#)). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR REGISTRATION FUNDRAISING COUNSEL FOR CHARITABLE PURPOSES (FORM CT-3CF)



WHO MUST FILE

Every fundraising counsel for charitable purposes in California must register with the Attorney General's Registry of Charitable Trusts prior to planning, managing, advising, counseling, consulting or preparing material for, or with respect to, the solicitation in this state of funds, assets or property for charitable purposes. "Fundraising counsel for charitable purposes" is defined in California Government Code section 12599.1, subdivisions (a) and (b).

WHEN TO FILE

Fundraising counsel for charitable purposes must register annually in California for each calendar year of activity. The deadline for filing the registration form is January 15 of the applicable year.

FEE MUST ACCOMPANY REGISTRATION

A registration fee of \$500 must accompany each annual registration form. Checks should be made payable to the ATTORNEY GENERAL'S REGISTRY OF CHARITABLE TRUSTS. Registration forms not accompanied by full payment will not be processed and will be returned.

PENALTY FOR FAILURE TO REGISTER

Failure by a fundraising counsel to register with and report each person, corporation, or other legal entity for whom the fundraising counsel has performed any services to the Registry of Charitable Trusts is unlawful. A fundraising counsel for charitable purposes is subject to the Attorney General's supervision and enforcement to the same extent as a trustee for charitable purposes. Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of the month for registrations not received annually by January 15. This code section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via e-mail at PF@doj.ca.gov.



COMMERCIAL COVENTURER

ANNUAL REGISTRATION FORM FOR 20____

~~Section 12599.2~~, California Government Code [section 12599.2](#)
~~11-Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

Failure to register by January 15th for each calendar year of solicitation may
result in assessment of late fees as defined in Government Code section 12586.1.

A CHECK IN THE AMOUNT OF \$500
PAYABLE TO THE REGISTRY OF CHARITABLE TRUSTS
MUST ACCOMPANY THIS REGISTRATION FORM

(For Registry Use Only)

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

Official Name and Address of Commercial Coventurer:

Name of commercial coventurer

Address (Do Not Use P.O. Box)

City or Town, State and Zip Code

E-mail Address

Registration Number

FEIN

Telephone Number ()

Mailing Address (if different from official address):

Mailing Address

City, State, and Zip Code

In addition to the OFFICIAL name, enter all other names and addresses under which this commercial coventurer for charitable purposes is known or operates:

Legal form of commercial coventurer:

☐ Corporation

☐ LLC

☐ Partnership

☐ Sole Proprietorship ☐ Other

State in which organized _____ Date organized _____

Enter name, individual home address, and relationship to the commercial coventurer of each officer and director of corporation or unincorporated association; each partner in the partnership; or the owner of the sole proprietorship.

Name	Home Address	Title/Relationship to Commercial Coventurer



Provide a brief explanation of commercial coventure activities conducted on behalf of charitable organization:

Is any director, officer, or employee of the commercial coventurer a director, officer, or employee of any charitable organization with which it has contracted to solicit?

☐ Yes ☐ No

If "yes," complete the following:

Name and address of director, officer or employee of commercial coventurer	Name and address of charitable organization	Relationship of officer, etc. to charitable organization

For each affiliation identified above, attach a copy of the contract between the commercial coventurer and the charity.

Has the commercial coventurer ever had any license, registration or permit denied, cancelled, suspended or revoked, or had any official disciplinary or legal action taken against it? Is any such action currently pending against the commercial coventurer or any of its representatives in relation to any fundraising activity?

☐ Yes ☐ No

If "yes," complete the following:

Name and address of government agency bringing action	Nature of action. Indicate against whom action was taken and disposition	Date

☐ "X" box if attachments are included

I certify under penalty of perjury that I am authorized to sign this registration form and that the information provided herein, including attachments, is true and complete to the best of my knowledge and belief.

Signature

Printed Name

Title

Date



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

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Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

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- To another government agency consistent with state or federal law.

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Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR REGISTRATION COMMERCIAL COVENTURER FOR CHARITABLE PURPOSES (Form CT - 5CF)



WHO MUST FILE?

Commercial coventurers must register with the Attorney General's Registry of Charitable Trusts prior to engaging in any coventure activities in California unless exempt pursuant to Government Code section 12599.2(c).

WHEN TO FILE?

Commercial coventurers, as set forth above, must register annually in California for each calendar year in which the coventure activity occurs, unless exempt. The deadline for filing the registration form is January 15 of the applicable year.

FEE MUST ACCOMPANY REGISTRATION

A registration fee of \$500 must accompany each annual registration form. Checks should be made payable to the ATTORNEY GENERAL'S REGISTRY OF CHARITABLE TRUSTS. Registration forms not accompanied by full payment will not be processed and will be returned.

FEES MAY BE IMPOSED FOR DELINQUENT FINANCIAL REGISTRATION

Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of a month for registrations not received by January 15. This section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via email at PF@doj.ca.gov.



MAIL TO:
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(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

COMMERCIAL COVENTURER

ANNUAL FINANCIAL REPORT FOR 20 ____

(California Government Code section 12599.2

~~11 Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

Failure to file annual financial report by January 30th for each calendar year
may result in assessment of late fees as defined in Government Code section 12586.1

(For Registry Use Only)

Name and Address of Commercial Coventurer:	Name and Address of Charitable Organization:
Registration Number _____	CT No. _____ FEIN _____
Name of commercial coventurer	Name of charity
Address of commercial coventurer	Address of charity
City, State, and Zip Code of commercial coventurer	City, State, and Zip code of charity
E-mail Address	

_____ held (on) (from) _____, 20____, to _____, 20____.
(Type of activity) (Date or dates must be shown)

Is the contract between the commercial coventurer and charity based upon a fee or percentage of revenue? Fee ☐ Percentage ☐ Other ☐

If other, provide brief explanation _____

1. REVENUE [from all sources, nationwide]

A. Cash contributions	_____	A.
B. Entertainment sales or admission charges	_____	B.
C. Sales from products	_____	C.
D. Advertisement Sales	_____	D.
E. Membership fees	_____	E.
F. Other sources: (Specify)		
a. _____	_____	Fa.
b. _____	_____	Fb.
c. _____	_____	Fc.
d. _____	_____	Fd.

G. TOTAL REVENUE

_____ G.

2. EXPENSES

A. Fees or commissions	_____	A.
B. Salaries	_____	B.
C. Payroll taxes	_____	C.
D. Employee benefits	_____	D.
E. Cost of merchandise for resale	_____	E.
F. Cost of entertainment	_____	F.
G. Postage	_____	G.
H. Advertising	_____	H.
I. Telephone	_____	I.
J. Rental of equipment	_____	J.
K. Facilities charge	_____	K.
L. Permits	_____	L.
M. Other expenses: (Specify)		
a. _____	_____	Ma.
b. _____	_____	Mb.
c. _____	_____	Mc.
d. _____	_____	Md.

N. TOTAL EXPENSES

_____ N.



3. Amount to charity (subtract line 2N from line 1G) _____ 3.
- 4 Less additional fundraising expenses paid by charity including fee paid to commercial coventurer (to be completed by charity) _____ 4.
- 5 Less fair market value of goods and/or services used for the event which were paid by sponsor(s) _____ 5.
- 6 Net proceeds realized by charity from the campaign (subtract lines 4 and 5 from line 3) _____ 6.
- 7 (a) Is any director, officer, or employee of the commercial coventurer a director, officer, or employee of the charitable organization listed in this report?

☐ Yes ☐ No If "yes" complete the following:

Name and address of director, officer, or employee of commercial coventurer	Name and address of charitable organization	Relationship of officer, etc. to charitable organization
_____	_____	_____
_____	_____	_____
_____	_____	_____

(b) For each affiliation identified in 7(a), attach copy of the contract between the commercial coventurer and the charity.

Under penalties of perjury, each of the below signors declares that he/she has examined this report, including accompanying documents, schedules, and statements, and to the best of his/her knowledge and belief, it is true, correct and complete.

Signature of authorized officer (commercial coventurer)	Printed name	Title	Date
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This report must be signed by two officers or directors of the charitable organization for verification.

Signature of authorized officer (charity)	Printed name	Title	Date
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Signature of authorized officer (charity)	Printed name	Title	Date
---	--------------	-------	------



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
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Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTIONS FOR ANNUAL FINANCIAL REPORT COMMERCIAL COVENTURER (Form CT - 6CF)



WHO MUST FILE?

Commercial coventurers registered in California must file an annual financial report with the Attorney General's Registry of Charitable Trusts for each coventure activity conducted on behalf of each charitable organization for which funds, assets, or property were received during the preceding calendar year (See Government Code section 12599.2 (c)).

WHEN TO FILE?

Commercial coventurers must file annual financial reports no later than 30 days after the close of the preceding calendar year. The deadline for filing annual financial reports is January 30 of each year.

WHO MUST SIGN THE ANNUAL FINANCIAL REPORT?

To be accepted, Form CT-6CF must be signed by an authorized officer of the commercial coventurer and two officers or directors of the charitable organization.

FEES MAY BE IMPOSED FOR DELINQUENT FINANCIAL REPORTING

Government Code section 12586.1 authorizes the Attorney General to charge late fees for each month or part of a month for annual financial reports not received by January 30. This section can be found on the Charitable Trusts Section website at www.oag.ca.gov/charities under "Laws & Regulations."

FOR ADDITIONAL INFORMATION

Additional information is available on the Charitable Trusts Section website at www.oag.ca.gov/charities. You may also contact the California Attorney General's Registry of Charitable Trusts at (916) 210-7613 or via email at PF@doj.ca.gov.

GENERAL INSTRUCTIONS

Enter the commercial coventurer registration number and name and address of the commercial coventurer.

Enter the charitable trust registration number and name and address of the charitable organization.

Line 1G-Report the total revenue generated by the campaign.

Line 2N - Enter the total expenses reported on lines 2A thru 2M.

Line 3 - Enter actual amount to charity from the campaign. (Exclude net profit or loss to commercial coventurer.)

NOTE 1: Under circumstances in which the commercial coventurer does not have control of the funds, it will be necessary for the officers or directors of the charitable organization to complete the revenue and distribution portions of Form CT-6CF. Circle and initial those figures which are supplied by the charitable organization.



MAIL TO:
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Registry of Charitable Trusts
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Sacramento, CA 94203-4470

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Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
www.oag.ca.gov/charities

NOTICE OF INTENT TO SOLICIT FOR CHARITABLE PURPOSES COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES

~~Section 12599, California Government Code~~ [section 12599](#)
~~11 Cal. Code Regs. section 308~~ [California Code of Regulations, title 11, section 313](#)

(For Registry Use Only)

Official Name and Address of Commercial Fundraiser for Charitable Purposes:

Registration Number _____

Name of commercial fundraiser for charitable purposes _____

Federal Employer I.D. Number _____

Address (Do Not Use P.O. Box) _____

Telephone Number () _____

City or Town, State and Zip Code _____

E-mail Address _____

Official Name and Address of Charitable Organization:

CT Registration Number _____

Name of charitable organization _____

Federal Employee I.D. Number _____

Address (Do Not Use P.O. Box) _____

Telephone Number () _____

City or Town, State and Zip Code _____

E-mail Address _____

Identify fundraising methods to be used. Check all that apply:

- | | | |
|--|--|--|
| <input type="checkbox"/> Auction | <input type="checkbox"/> Concert | <input type="checkbox"/> Advertising Sales |
| <input type="checkbox"/> Direct Mail | <input type="checkbox"/> Discount Coupons | <input type="checkbox"/> Car Donations |
| <input type="checkbox"/> Entertainment Event | <input type="checkbox"/> Honor Boxes | <input type="checkbox"/> Dinner |
| <input type="checkbox"/> Publication | <input type="checkbox"/> Salvageable Personal Property | <input type="checkbox"/> Door-to-Door Solicitation |
| <input type="checkbox"/> Sports Event | <input type="checkbox"/> Telemarketing | <input type="checkbox"/> Magazine Sales |
| <input type="checkbox"/> Theater | <input type="checkbox"/> Thrift Store | <input type="checkbox"/> Safety Products |
| <input type="checkbox"/> Vending | <input type="checkbox"/> Other (Specify): _____ | <input type="checkbox"/> Telephone Solicitation |
| | | <input type="checkbox"/> Trash Bags |

Projected dates when performance under the contract will commence and terminate:

Fundraising Activity

Beginning Date

Ending Date

Please indicate the name, address, and telephone number of the person responsible for directing and supervising the work of the commercial fundraiser for charitable purposes under the contract:

Name _____

Address _____

Telephone Number _____

I certify under penalty of perjury that I am authorized to sign this form and that the information provided herein, including attachments, is true and complete to the best of my knowledge and belief.

Signature of Commercial Fundraiser
for Charitable Purposes

Printed Name

Title

Date



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

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Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

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NOTICE OF INTENT TO PROVIDE SERVICES RELATED TO CHARITABLE SOLICITATION FUNDRAISING COUNSEL FOR CHARITABLE PURPOSES

~~Section 12599.1, California Government Code section 12599.1~~
~~11 Ca . Code Regs. section 308~~ California Code of Regulations, title 11, Section 313

(For Registry Use Only)

Official Name and Address of Fundraising Counsel for Charitable Purposes:

Registration Number _____

Name of fundraising counsel for charitable purposes _____

Federal Employer I.D. Number _____

Address (Do Not Use P.O. Box) _____

Telephone Number () _____

City or Town, State and Zip Code _____

E-mail Address _____

Official Name and Address of Charitable Organization:

CT Registration Number _____

Name of charitable organization _____

Federal Employee I.D. Number _____

Address (Do Not Use P.O. Box) _____

Telephone Number () _____

City or Town, State and Zip Code _____

E-mail Address _____

Projected commencement and termination dates of services provided to charitable organization:

Service Provided	Beginning Date	Ending Date

Please indicate the name, address, and telephone number of the person responsible for directing and supervising the work of the fundraising counsel for charitable purposes under the contract:

Name _____

Address _____

Telephone Number _____

I certify under penalty of perjury that I am authorized to sign this form and that the information provided herein, including attachments, is true and complete to the best of my knowledge and belief.

Signature of Fundraising Counsel for Charitable Purposes

Printed Name

Title

Date



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Registry of Charitable Trusts
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Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, §§ 300-~~316~~ [et seq.](#)). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.



MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
oag.ca.gov/charities

**FORM PL-1: INITIAL REGISTRATION
FOR CHARTIABLE FUNDRAISING PLATFORMS**

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

**A CHECK IN THE AMOUNT OF \$625
PAYABLE TO DEPARTMENT OF JUSTICE
MUST ACCOMPANY THIS FORM**

(For Registry Use Only)

Part A. Contact Information

1. Official name of applicant charitable fundraising platform (full legal name, e.g., exact name where legally formed)

2. Doing business as name(s) (used during the current or prior year)

3. Official address (principal executive office, do not use P.O. Box)

City or Town, State and Zip Code

4. Mailing address (if different from official address)

City or Town, State and Zip Code

5. Website address (principal executive office)

6. E-mail address (principal executive office)

7. Telephone number (principal executive office)

8. Federal employer identification number (FEIN)

9. Contact person name and title (for Registry inquiries, does not have to be person who signs form)

10. Contact person e-mail address

11. Contact person telephone number

Part B. Registration Information

1. Legal form of applicant charitable fundraising platform:

- ☐ For-profit corporation (including for-profit social purpose or benefit corporations)
☐ Charitable or nonprofit corporation
☐ Limited liability company

- ☐ Partnership, limited partnerships, or limited liability partnership
☐ Sole proprietorship
☐ Other (specify _____)

2. Jurisdiction in which legally formed (e.g., state, foreign country): _____

3. Jurisdiction entity identification number (assigned by the jurisdiction): _____

4. If also registered as a charitable corporation or trustee, registration number as a charitable corporation or trustee: _____

5. Specify the type(s) of charitable fundraising platform that the applicant anticipates to function as. Check all that apply.

- ☐ Commercial charitable fundraising platform
☐ Peer-to-peer charitable fundraising platform
☐ Marketing charitable fundraising platform
☐ Coventuring charitable fundraising platform
☐ Consulting charitable fundraising platform

6. If commercial, peer-to-peer, marketing, or coventuring charitable fundraising platform is selected in response to 5, what platforms or other internet-based user interfaces are anticipated to be used to perform, permit, or enable solicitations? Check all that apply and provide the supplemental information on Attachment 1.

- ☐ Website(s) (specify address of home or landing web page for each domain name)
☐ Mobile device application(s) (specify name(s) for each application)
☐ Computer software application(s) (specify name(s) for each application)
☐ Other (describe the platform(s) and how platform users would access and use the platform)
☐ N/A



7. Has the applicant partnered with other charitable fundraising platform(s) to facilitate solicitations on the other platform(s) (“partnering platforms”)? This includes the applicant receiving, holding, or controlling donations to be made on or through the partnering platform and to be sent to recipient charitable organizations listed or referenced by name on the partnering platform, or the applicant sending donations to recipient charitable organizations based on purchases to be made or other activity to be performed by persons who use the partnering platform. If “Yes,” provide in Attachment 1 the following information for each partnering platform: whether the partnership agreement is memorialized in a written contract, the effective date of each partnership agreement, the official name of each partnering platform, the registration number of each partnering platform, and the anticipated charitable fundraising platform type(s) of each partnering platform (i.e., commercial, peer-to-peer, marketing, and/or coventuring charitable fundraising platform).

☐ Yes, and answer specified in Attachment 1 ☐ No

8. Has the applicant partnered with a platform charity or another charitable fundraising platform (“partner”), under which the partner will assist the applicant in performing, permitting, or otherwise enabling solicitations on the applicant’s charitable fundraising platform? This includes the partner receiving, holding, or controlling donations to be made on or through the applicant’s platform and to be sent to recipient charitable organizations listed or referenced by name on the applicant’s platform, or the partner sending donations to recipient charitable organizations based on purchases to be made or other activity to be performed by persons who use the applicant’s platform. If “Yes,” provide in Attachment 1 the following information for each partner: whether the partner is a platform charity or another charitable fundraising platform, whether the partnership agreement is memorialized in a written contract, the effective date of each partnership agreement, the official name of each partner, and the registration number of each partner.

☐ Yes, and answer specified in Attachment 1 ☐ No

9. Has the applicant been the subject of a government enforcement action? Answer “Yes” if the any of the following apply:

- a. The applicant had a license, registration, or permit denied, cancelled, suspended or revoked, was assessed a fine or penalty, or had any official disciplinary or legal action taken against it by a government agency involving charitable fundraising or the use of charitable funds; or
- b. The applicant is the subject of a pending government enforcement action involving charitable fundraising or the use of charitable funds.

If “Yes,” provide in Attachment 1 the name and website address of the government agency that brought each action, an explanation of each action and the status or resolution, the date each action was taken, and the date of resolution, if any. Provide a copy of the document initiating each action, the resolution, and any other document needed to understand each action or current status.

☐ Yes, and answer specified in Attachment 1 ☐ No

Part C. Signature

I certify under penalty of perjury that I have examined this form, including accompanying information, and to the best of my knowledge and belief, the content is true, correct, and complete, and I am authorized to sign this form.

Signature of Authorized Agent

Name

Date

Official name of applicant charitable fundraising platform:

This image shows a blank sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
oag.ca.gov/charities

INSTRUCTIONS FOR INITIAL REGISTRATION FOR CHARTIABLE FUNDRAISING PLATFORMS (FORM PL-1)



Who must file a Form PL-1?

Every charitable fundraising platform must register with the Attorney General's Registry of Charitable Trusts before performing, permitting, or otherwise enabling any online acts of solicitation for charitable purposes in California. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. For instance, registration is required for a commercial charitable fundraising platform that solicits donations from its platform users in California, with donations intended for recipient charitable organizations referenced on the platform. Other examples include: a peer-to-peer charitable fundraising platform that permits platform users to solicit donations from other platform users intended for recipient charitable organizations, a marketing or coventuring charitable fundraising platform that markets or promotes that donations will be made to recipient charitable organizations based on platform user purchases or other activity, and consulting charitable fundraising platforms that provide their fundraising platform software to charitable organizations, who can then solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a) to (e), for complete definitions.

Filing a complete Form PL-1 with the Registry of Charitable Trusts starts the registration process, and results in a registration number being assigned by the Registry of Charitable Trusts to each registered charitable fundraising platform.

When must a Form PL-1 be filed?

Every charitable fundraising platform must submit a Form PL-1, and receive a registration number, before performing, permitting, or otherwise enabling solicitations for charitable purposes in California. For instance, a charitable fundraising platform that solicits donations from its platform users in California for recipient charitable organizations listed on the platform, cannot do so without first filing a Form PL-1 and being assigned a registration number. If registration occurs after a charitable fundraising platform performs, permits, or otherwise enables solicitations for charitable purposes in California, the charitable fundraising platform is in violation of Government Code section 12599.9, subdivision (b).

Are exclusions available so that a Form PL-1 need not be filed?

See Government Code section 12599.9, subdivision (a)(2), for the exclusions. A person or entity excluded from the definition of a charitable fundraising platform is not a charitable fundraising platform and should not file a Form PL-1.

For example, a person or entity is excluded from being considered a charitable fundraising platform when the entity is only deemed a commercial fundraiser for charitable purposes because the entity, for compensation, also performs solicitations via telephone or direct mail (excluding electronic mail or messages), solicits donations through in-person fundraising events, solicits noncash donations, solicits through print, radio, or television on more than a non-incidental basis, or performs solicitations involving a chance to win something of value. In these instances, the person or entity must register as a commercial fundraiser for charitable purposes (if not already registered as such), and comply with other requirements applicable to a commercial fundraiser for charitable purposes.

Another example would be an entity that is only deemed a commercial coventurer because it complies with Government Code section 12599.2, subdivision (b), and, in the context of a charitable fundraising platform, solely functions as a coventuring charitable fundraising platform for six or less recipient charitable organizations in a calendar year. When this exclusion applies, the entity is a commercial coventurer instead of a charitable fundraising platform, and is exempt from registration and reporting. However, if an entity solicits for more than six recipient charitable organizations in a calendar year as a coventuring charitable fundraising platform, solicits for six or less recipient charitable organizations and does not fully comply with Government Code section 12599.2, subdivision (b), or is another type of charitable fundraising platform (like a commercial charitable fundraising platform), then the entity is a charitable fundraising platform, and must register as a charitable fundraising platform and file a Form PL-1. When in doubt as to whether the entity is a commercial coventurer or charitable fundraising platform, the entity should register as a charitable fundraising platform using Form PL-1.

General Instructions

A \$625 fee must be paid via check, made payable to the Department of Justice. A Form PL-1 not accompanied by full payment will be returned, and not processed.



Instructions for each question are specified with the question. For the terms defined below, they have the meaning reflected in the below definitions.

Use Attachment 1 to provide narrative answers to questions that specifically permit use of Attachment 1. If more than one page is needed for the answers, make copies of Attachment 1 as needed. When providing narrative answers to questions, do not incorporate by reference any documents or hyperlinks (unless specifically instructed to provide hyperlinks). Narrative answers must include all information required by a question.

Mail the completed and signed Form PL-1 for filing to Office of the Attorney General, Registry of Charitable Trusts, P.O. Box 903447, Sacramento, CA 94203-4470. Include a \$625 check payable to the Department of Justice. Also, submit Attachment 1, and any requested documents, when applicable.

Definitions for Form PL-1

“Charitable fundraising platform” means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a), (c), (d), (g), and (i), for complete definitions.

“Commercial charitable fundraising platform” means a charitable fundraising platform that lists or references by name recipient charitable organizations to receive donations made by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (a), for complete definition.

“Consulting charitable fundraising platform” means a charitable fundraising platform that provides a customizable version of its fundraising platform to charitable organizations, who solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.

“Coventuring charitable fundraising platform” means a charitable fundraising platform that lists or reference by name recipient charitable organizations to receive donations made by the platform based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(D), and California Code of Regulations, title 11, section 314, subdivision (d), for complete definition.

“Donation” means a donation or recommended donation, when a donation is made by a donor. When a donation is sent to a recipient charitable organization or other charitable organization, “donation” means a donation or grant of a recommended donation.

“Marketing charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to select recipient charitable organizations to receive donations made by the platform or others, based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(1)(C), and California Code of Regulations, title 11, section 314, subdivision (g), for complete definition.

“Peer-to-peer charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to solicit donations for recipient charitable organizations made by other platform users. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

“Platform charity” means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A platform that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

“Platform user” means a person who uses a charitable fundraising platform.

“Recipient charitable organization” means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

For Additional Information

Additional information is available on the Attorney General’s website at oag.ca.gov/charities. You may also contact the Attorney General’s Registry of Charitable Trusts at (916) 210-7613 or PF@doj.ca.gov.



MAIL TO:
Office of the Attorney General
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-7613

WEBSITE ADDRESS:
oag.ca.gov/charities

**FORM PL-2: REGISTRATION RENEWAL FOR CHARITABLE
FUNDRAISING PLATFORMS FOR 20 ____**

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to file Form PL-2 by January 15 of each calendar year may
result in assessment of late fees and automatic suspension of registration status
(Gov. Code § 12586.1, Cal. Code Regs., tit. 11, § 341)

**A CHECK IN THE AMOUNT OF \$625
PAYABLE TO DEPARTMENT OF JUSTICE
MUST ACCOMPANY THIS FORM**

(For Registry Use Only)

Part A. Contact Information

1. Official name of registrant (full legal name, e.g., exact name where legally formed)

2. Doing business as name(s) (used during the current or prior year)

3. Official address (principal executive office, do not use P.O. Box)

City or Town, State and Zip Code

4. Mailing address (if different from official address)

City or Town, State and Zip Code

5. Website address (principal executive office)

Check if:

☐ Name Change

☐ Address/contact information change

6. E-mail address (principal executive office)

7. Telephone number (principal executive office)

8. Federal employer identification number (FEIN)

9. Contact person name and title (for Registry inquiries, does not have to be person who signs form)

10. Contact person e-mail address

11. Contact person telephone number

Part B. Registration Renewal Information

1. Registration number as a charitable fundraising platform: _____

2. Legal form of registrant:

☐ For-profit corporation (including for-profit social purpose or benefit corporations)

☐ Charitable or nonprofit corporation

☐ Limited liability company

☐ Partnership, limited partnerships, or limited liability partnership

☐ Sole proprietorship

☐ Other (specify _____)

3. Jurisdiction in which legally formed (e.g., state, foreign country): _____

4. Jurisdiction entity identification number (assigned by the jurisdiction): _____

5. If also registered as a charitable corporation or trustee, registration number as a charitable corporation or trustee: _____

6. Specify current or anticipated type(s) of charitable fundraising platform for the current year. Check all that apply.

☐ Commercial charitable fundraising platform

☐ Peer-to-peer charitable fundraising platform

☐ Marketing charitable fundraising platform

☐ Coventuring charitable fundraising platform

☐ Consulting charitable fundraising platform



7. If commercial, peer-to-peer, marketing, or coventuring charitable fundraising platform is selected in response to 6, what platforms or other internet-based user interfaces are used to perform, permit, or enable solicitations? Check all that apply and provide the supplemental information on Attachment 1.

- ☐ Website(s) (specify address of home or landing web page for each domain name)
- ☐ Mobile device application(s) (specify name(s) for each application)
- ☐ Computer software application(s) (specify name(s) for each application)
- ☐ Other (describe the platform(s) and how platform users would access and use the platform)
- ☐ N/A

8. Has the applicant been the subject of a government enforcement action? Answer "Yes" if the any of the following apply, unless previously reported in a prior filing and there was no change to the information previously reported:

- a. The applicant had a license, registration, or permit denied, cancelled, suspended or revoked, was assessed a fine or penalty, or had any official disciplinary or legal action taken against it by a government agency involving charitable fundraising or the use of charitable funds; or
- b. The applicant is the subject of a pending government enforcement action involving charitable fundraising or the use of charitable funds.

If "Yes," provide in Attachment 1 the name and website address of the government agency that brought each action, an explanation of each action and the status or resolution, the date each action was taken, and the date of resolution, if any. Provide a copy of the document initiating each action, the resolution, and any other document needed to understand each action or current status.

- ☐ Yes, and answer specified in Attachment 1 ☐ No

Part C. Prior Year Registration Information

1. Check box if not registered during the prior calendar year, and skip Part C. ☐

2. Specify the type(s) of charitable fundraising platform for the prior year. Check all that apply.

- ☐ Commercial charitable fundraising platform ☐ Coventuring charitable fundraising platform
- ☐ Peer-to-peer charitable fundraising platform ☐ Consulting charitable fundraising platform
- ☐ Marketing charitable fundraising platform

3. In the prior calendar year, did the registrant partner with other charitable fundraising platform(s) to facilitate solicitations on the other platform(s) ("partnering platforms")? This includes the registrant receiving, holding, or controlling donations made on or through the partnering platform that were sent to recipient charitable organizations listed or referenced by name on the partnering platform, or the registrant sending donations to recipient charitable organizations based on purchases made or other activity performed by persons who use the partnering platform. If "Yes," on Attachment 1, provide the following information for each partnering platform: whether the partnership agreement is memorialized in a written contract, the effective date of each partnership agreement, the official name of each partnering platform, the registration number of each partnering platform, and the charitable fundraising platform type(s) of each partnering platform (i.e., commercial, peer-to-peer, marketing, and/or coventuring charitable fundraising platform).

- ☐ Yes, and answer specified in Attachment 1 ☐ No

4. In the prior calendar year, did the registrant partner with a platform charity or another charitable fundraising platform ("partner"), under which the partner assisted the registrant in performing, permitting, or otherwise enabling solicitations on the registrant's charitable fundraising platform? This includes the partner receiving, holding, or controlling donations made on or through the registrant's platform that were sent to recipient charitable organizations listed or referenced by name on the registrant's platform, or the partner sending donations to recipient charitable organizations based on purchases made or other activity performed by persons who use the registrant's platform. If "Yes," specify below the total number of partners, and on Attachment 1, provide the following information for each partner: whether the partner is a platform charity or another charitable fundraising platform, whether the partnership agreement is memorialized in a written contract, the effective date of each partnership agreement, the official name of each partner, and the registration number of each partner.

- ☐ Yes, and answer specified in Attachment 1, and specify total number of partners: _____
- ☐ No



5. Each registrant charitable fundraising platform must file a Form PL-4 by July 15 of the current year to report on fundraising activities that occurred during the prior calendar year. When eligible, a registrant charitable fundraising platform's Form PL-4, may instead be filed by the registrant's partner (those identified in response to 4, if answered "Yes"), on the registrant's behalf. To be eligible, all of the following criteria must be met:

- a. Registrant did not answer "consulting charitable fundraising platform" in response to 2.
- b. Registrant answered "No" to 3.
- c. Registrant answered "Yes" to 4 and only specified one partner.
- d. Registrant provides authorization in 6 below for the partner to file the Form PL-4 on the registrant's behalf.
- e. Registrant agrees to examine and sign the Form PL-4, under oath, before it is filed by the registrant's partner.

Is the registrant eligible to have a Form PL-4 filed by its partner? ☐ Yes ☐ No

6. If "Yes" to 5, is the registrant authorizing its partner to file a Form PL-4 due by July 15 on behalf of the registrant?

☐ Yes ☐ No ☐ N/A

Part D. Signature

I certify under penalty of perjury that I have examined this form, including accompanying information, and to the best of my knowledge and belief, the content is true, correct, and complete, and I am authorized to sign this form.

Signature of Authorized Agent

Name

Date



Complete to provide narrative answers for specific questions on Form PL-2, when the questions permit use of Attachment 1. Specify the Part and Question number the response is for (e.g., Part B, Question 7). If additional sheets are needed, attach additional copies of Attachment 1.



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

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Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

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- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
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Sacramento, CA 94203-4470

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(916) 210-7613

WEBSITE ADDRESS:
oag.ca.gov/charities

INSTRUCTIONS FOR REGISTRATION RENEWAL FOR CHARITABLE FUNDRAISING PLATFORMS (FORM PL-2)



Who must file a Form PL-2?

Every charitable fundraising platform registered as a charitable fundraising platform in California must file a Form PL-2 with the Attorney General's Registry of Charitable Trusts to renew registration for the current calendar year.

Registration renewal is required when, during the current calendar year, a charitable fundraising platform has, is, or will be performing, permitting, or enabling any acts of solicitation of donations, or has, is, or will be receiving, holding, controlling, or sending donations. The registration renewal requirement applies to a charitable fundraising platform that partners with a platform charity or another charitable fundraising platform to assist the registrant with these activities.

When must a Form PL-2 be filed?

The deadline for filing a Form PL-2 is January 15 of the year in which the charitable fundraising platform seeks to renew registration.

General Instructions

To renew registration, a \$625 fee must be paid via check, made payable to the Department of Justice. A Form PL-2 not accompanied by full payment will be returned, and not processed.

Instructions for each question are specified with the question. For the terms defined below, they have the meaning reflected in the below definitions.

Use Attachment 1 to provide narrative answers to questions that specifically permit use of Attachment 1. If more than one page is needed for the answers, make copies of Attachment 1 as needed. When providing narrative answers to questions, do not incorporate by reference any documents or hyperlinks (unless specifically instructed to provide hyperlinks). Narrative answers must include all information required by a question.

Mail the completed and signed Form PL-2 for filing to Office of the Attorney General, Registry of Charitable Trusts, P.O. Box 903447, Sacramento, CA 94203-4470. Include a \$625 check payable to the Department of Justice. Also, submit Attachment 1, and any requested documents, when applicable.

Definitions for Form PL-2

"Charitable fundraising platform" means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a), (c), (d), (g), and (i), for complete definitions.

"Commercial charitable fundraising platform" means a charitable fundraising platform that lists or references by name recipient charitable organizations to receive donations made by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (a), for complete definition.

"Consulting charitable fundraising platform" means a charitable fundraising platform that provides a customizable version of its fundraising platform to charitable organizations, who solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.



“Coventuring charitable fundraising platform” means a charitable fundraising platform that lists or reference by name recipient charitable organizations to receive donations made by the platform based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(D), and California Code of Regulations, title 11, section 314, subdivision (d), for complete definition.

“Donation” means a donation or recommended donation, when a donation is made by a donor. When a donation is sent to a recipient charitable organization or other charitable organization, “donation” means a donation or grant of a recommended donation.

“Marketing charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to select recipient charitable organizations to receive donations made by the platform or others, based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(1)(C), and California Code of Regulations, title 11, section 314, subdivision (g), for complete definition.

“Peer-to-peer charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to solicit donations for recipient charitable organizations made by other platform users. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

“Platform charity” means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A platform that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

“Platform user” means a person who uses a charitable fundraising platform.

“Recipient charitable organization” means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

For Additional Information

Additional information is available on the Attorney General's website at oag.ca.gov/charities. You may also contact the Attorney General's Registry of Charitable Trusts at (916) 210-7613 or PF@doj.ca.gov.



SUBMIT TO:
Registry of Charitable Trusts
at [web address](#)

FORM PL-3: NOTIFICATION FROM PLATFORM CHARITIES

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

WEBSITE ADDRESS:
oag.ca.gov/charities

(For Registry Use Only)

Part A. Contact Information

1. Official name of applicant charitable fundraising platform (full legal name, e.g., exact name where legally formed)

2. Doing business as name(s) (used during the current or prior year)

3. Official address (principal executive office, do not use P.O. Box)

City or Town, State and Zip Code

4. Mailing address (if different from official address)

City or Town, State and Zip Code

5. Website address (principal executive office)

6. E-mail address (principal executive office)

7. Telephone number (principal executive office)

8. Federal employer identification number (FEIN)

9. Registration number (as a charitable corporation or trustee)

10. Contact person name and title (for Registry inquiries, does not have to be person who signs form)

11. Contact person e-mail address

12. Contact person telephone number

Part B. Partnership Information

1. Provide the following information about the charitable fundraising platform that the platform charity entered into a partnership agreement with in the last 10 days: charitable fundraising platform's official name, registration number (if registered), FEIN, official address (do not use P.O. Box), mailing address (if different from official address), website address, telephone number, and actual or anticipated type of charitable fundraising platform (i.e., commercial, peer-to-peer, marketing, and/or coventuring charitable fundraising platform). Also, specify whether the partnership agreement is memorialized in a written contract, and the date the partnership agreement became effective.

2. For the charitable fundraising platform identified in response to 1 ("partnering platform"), are acts of solicitation already occurring on the charitable fundraising platform? If "No," specify the date the acts of solicitation are projected to start.

☐ Yes

☐ No (projected date: _____)



3. For the partnering platform identified in response to 1, which of the following acts is the platform charity responsible for under the partnership? Check all that apply.

- ☐ Obtain consent from recipient charitable organizations to be listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Work with the partnering platform on the information provided to platform users about recipient charitable organizations
- ☐ Work with the partnering platform to facilitate removal requests from non-consenting recipient charitable organizations
- ☐ Work with the partnering platform on solicitation disclosures provided to platform users
- ☐ Determine whether recipient charitable organizations are eligible to be listed on the partnering platform for solicitation purposes
- ☐ Receive donations that are made to the platform charity, in lieu of donations being made to recipient charitable organizations listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Provide tax-deductibility of donations made on the partnering platform
- ☐ Work with the partnering platform on the tax donation receipts sent to donors who made donations on the partnering platform
- ☐ Hold donations made on the partnering platform
- ☐ Determine whether recipient charitable organizations are eligible to be granted or sent donations
- ☐ Communicate with donors or platform users regarding alternate recipient charitable organizations, when originally selected recipient charitable organizations on the partnering platform are deemed ineligible to be sent donations
- ☐ Send donations to recipient charitable organizations that are made by donors using the partnering platform who recommended their donations be granted to their selected recipient charitable organizations
- ☐ Send donations to recipient charitable organizations that are selected by platform users, when donation amounts are based on platform users purchases or other activity
- ☐ Notify donors or platform users when donations are sent to their selected recipient charitable organizations

4. Other than the charitable fundraising platform identified in response to 1, does the registrant platform charity facilitate acts of solicitation on any other charitable fundraising platform(s)? If "Yes," provide below the official name and registration number for each charitable fundraising platform.

- ☐ Yes
- ☐ No

Part C. Signature

I certify under penalty of perjury that I have examined this form, including accompanying information, and to the best of my knowledge and belief, the content is true, correct, and complete, and I am authorized to sign this form.

_____ Signature of Authorized Agent	_____ Name	_____ Date
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Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

SUBMIT TO:
Registry of Charitable Trusts
at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

INSTRUCTIONS FOR NOTIFICATION FROM PLATFORM CHARITIES (FORM PL-3)



Who must file a Form PL-3?

Every platform charity registered as a trustee in California must file a Form PL-3 with the Attorney General's Registry of Charitable Trusts, when the platform charity enters into a partnership with a charitable fundraising platform to facilitate acts of solicitation on that charitable fundraising platform, unless: notification has previously occurred through registration of the platform charity as a trustee, or through registration of the charitable fundraising platform. See below definitions for charitable fundraising platform and platform charity for what constitutes acts of solicitation on a charitable fundraising platform, and how acts of solicitations are facilitated by a platform charity.

Charitable fundraising platforms do not file a Form PL-3. A platform that meets the definition of both a charitable fundraising platform and platform charity is deemed a charitable fundraising platform.

When must a Form PL-3 be filed?

Form PL-3 must be filed within 10 days of entering into a partnership with a charitable fundraising platform, unless notification has previously occurred through registration of the platform charity as a trustee or the registration of the charitable fundraising platform. For example, if a partnership with a charitable fundraising platform to facilitate acts of solicitation on that charitable fundraising platform is entered into on January 1, a Form PL-3 must be filed by January 10, unless notification of the partnership already occurred through registration of the platform charity or charitable fundraising platform before January 10. The partnership triggers the filing of a Form PL-3, and not whether any acts of solicitation are publicly accessible on the partnering charitable fundraising platform.

If a charitable fundraising platform is not already registered, do not wait to provide notification through the filing of a Form PL-3, if it takes longer than 10 days after a partnership is entered into for the charitable fundraising platform to initiate registration (through the filing of a [Form PL-1](#)).

General Instructions

Instructions for each question are specified with the question.

If a platform charity entered into partnership with more than one charitable fundraising platform within the last 10 days, submit a Form PL-3 as to each charitable fundraising platform.

For the terms defined below, they have the meaning reflected in the below definitions.

To file a Form PL-3, submit the completed and signed form at [\[web address\]](#). Electronic signatures are accepted.

Definitions for Form PL-3

"Charitable fundraising platform" means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a), (c), (d), (g), and (i), for complete definitions.

"Commercial charitable fundraising platform" means a charitable fundraising platform that lists or references by name recipient charitable organizations to receive donations made by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (a), for complete definition.

"Consulting charitable fundraising platform" means a charitable fundraising platform that provides a customizable version of its fundraising platform to charitable organizations, who solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.



“Coventuring charitable fundraising platform” means a charitable fundraising platform that lists or reference by name recipient charitable organizations to receive donations made by the platform based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(D), and California Code of Regulations, title 11, section 314, subdivision (d), for complete definition.

“Donation” means a donation or recommended donation, when a donation is made by a donor. When a donation is sent to a recipient charitable organization or other charitable organization, “donation” means a donation or grant of a recommended donation.

“Donor(s)” means for commercial or peer-to-peer charitable fundraising platforms, a donor is the platform user who makes a donation to a recipient charitable organization listed or referenced by name on the platform for solicitation purposes; for marketing or coventuring charitable fundraising platforms, a donor is the person who makes a donation based on purchases made or other activity performed by persons who use the platform; for consulting charitable fundraising platforms, a donor is the platform user who makes a donation to a charitable organization that uses the platform to solicit or receive donations.

“Marketing charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to select recipient charitable organizations to receive donations made by the platform or others, based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(1)(C), and California Code of Regulations, title 11, section 314, subdivision (g), for complete definition.

“Peer-to-peer charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to solicit donations for recipient charitable organizations made by other platform users. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

“Platform charity” means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A platform that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

“Platform user” means a person who uses a charitable fundraising platform.

“Recipient charitable organization” means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

For Additional Information

Additional information is available on the Attorney General's website at oag.ca.gov/charities. You may also contact the Attorney General's Registry of Charitable Trusts at (916) 210-7613 or PF@doj.ca.gov. The street address of the Registry of Charitable Trusts is 1300 I Street, Sacramento, CA 95814, and mailing address is Office of the Attorney General, Registry of Charitable Trusts, P.O. Box 903447, Sacramento, CA 94203-4470. Do not mail Form PL-3. It must be filed electronically.



SUBMIT TO:
Registry of Charitable Trusts
at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

**FORM PL-4: ANNUAL REPORT FOR CHARITABLE FUNDRAISING
PLATFORMS OR PLATFORM CHARITIES FOR 20____**

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to electronically file Form PL-4 by July 15 of each calendar year may result in assessment of late fees and automatic suspension of registration status (Gov. Code, § 12586.1, Cal. Code Regs., tit. 11, § 341)

PART A

(For Registry Use Only)

Contact Information

1. Official name of registrant (full legal name, e.g., exact name where legally formed)

2. Doing business as name(s) (used currently or during the reporting period)

3. Official address (principal executive office, do not use P.O. Box)

City or Town, State and Zip Code

4. Mailing address (if different from official address)

City or Town, State and Zip Code

5. Website address (principal executive office)

Check if:

- ☐ Amended report
☐ Name Change
☐ Address/contact information change

6. E-mail address (principal executive office)

7. Telephone number (principal executive office)

8. Federal employer identification number (FEIN)

9. Contact person name and title (for Registry inquiries, does not have to be person who signs form)

10. Contact person e-mail address

11. Contact person telephone number

Registration Information and Types of Platform Fundraising

12. Registrant type (if registrant meets the definition of both a charitable fundraising platform and platform charity, only select charitable fundraising platform):

- ☐ Charitable fundraising platform (must be registered as a charitable fundraising platform)
☐ Platform charity (must be registered as a charitable corporation or trustee)

13. Registration number as a charitable fundraising platform: _____

14. Registration number as a charitable corporation or trustee: _____

15. If registrant is a charitable fundraising platform, is this Form PL-4 being submitted directly by the registrant charitable fundraising platform, or its partnering platform charity or another charitable fundraising platform retained to facilitate solicitations on the registrant's platform ("partner")? If partner, specify the partner's official name and registration number. If partner is selected and the registrant previously was not deemed eligible and did not provide authority, the Form PL-4 filing will be rejected.

- ☐ This form is submitted directly by the registrant charitable fundraising platform
☐ This form is submitted by partner, on registrant's behalf (specify name and registration number of partner: _____)
☐ N/A because registrant is a platform charity



16. If registrant is a charitable fundraising platform, specify the type(s) of charitable fundraising platform for the prior calendar year. Check all that apply. If “commercial charitable fundraising platform,” complete Parts B and C. If “peer-to-peer charitable fundraising platform,” complete Parts B and D. If “marketing charitable fundraising platform,” complete Parts B and E. If “coventuring charitable fundraising platform,” complete Parts B and F. If “consulting charitable fundraising platform,” complete Part G.

☐ Commercial charitable fundraising platform

☐ Coventuring charitable fundraising platform

☐ Peer-to-peer charitable fundraising platform

☐ Consulting charitable fundraising platform

☐ Marketing charitable fundraising platform

☐ N/A

17. In the prior calendar year, did the registrant partner with other charitable fundraising platform(s) to facilitate solicitations on the other platform(s) (“partnering platforms”)? This includes the registrant receiving, holding, or controlling donations made on or through the partnering platform that were sent to recipient charitable organizations listed or referenced by name on the partnering platform, or the registrant sending donations to recipient charitable organizations based on purchases made or other activity performed by persons who use the partnering platform. If “Yes,” complete Part H.

☐ Yes

☐ No

Signature

I certify under penalty of perjury that I have examined this form, including accompanying information, and to the best of my knowledge and belief, the content is true, correct, and complete, and I am authorized to sign this form for the registrant.

Signature of Registrant’s Authorized Agent

Name and Title

Date

Only provide this signature, if an authorized partner is submitting this form on the registrant's behalf (see Part A, Question 15).

I certify under penalty of perjury that I have examined this form, including accompanying information, and to the best of my knowledge and belief, the content is true, correct, and complete.

Signature of Partner’s Authorized Agent

Name and Title of Agent

Name of Partner

Date



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

SUBMIT TO:
Registry of Charitable Trusts
at [web address](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

INSTRUCTIONS FOR ANNUAL REPORT FOR CHARITABLE FUNDRAISING PLATFORMS OR PLATFORM CHARITIES (FORM PL-4)



Who must file a Form PL-4?

Every charitable fundraising platform operating in California must file a Form PL-4 with the Attorney General's Registry of Charitable Trusts to report on fundraising activities that occurred during the prior calendar year.

Every platform charity operating in California must file a Form PL-4 with the Attorney General's Registry of Charitable Trusts to report on fundraising activities of the prior calendar year.

A charitable fundraising platform may have a Form PL-4 filed on its behalf by the registrant's partner (a partnering platform charity or another charitable fundraising platform retained to facilitate solicitations on the registrant's platform, "partner"). This is **only** permitted when the registrant was deemed eligible and provided authority for the partner to file on its behalf in the registrant's Form PL-2 (for registration renewal) on file for the current calendar year. See [Form PL-2](#) for instructions on determining eligibility and providing authority.

When must a Form PL-4 be filed?

The deadline for filing a Form PL-4 is July 15 of the current calendar year to report on fundraising activities that occurred during the prior calendar year.

General Instructions

The Form PL-4 is used to report on fundraising activities of charitable fundraising platforms or platform charities that occurred during the prior calendar year. Other than contact and registration information, questions are limited to the timeframe of the prior calendar year. Do not provide answers that cover other timeframes.

The header of Form PL-4, including the headers in all parts and attachments, contains a blank field for entering the prior calendar year. Specify the prior calendar year in this field.

Instructions for each question are specified with the question. For the terms defined below, they have the meaning reflected in the below definitions.

The Form PL-4 contains attachments to be filed with the Form PL-4 as applicable. See below chart for additional information on the attachments.

Use Attachment 1 to provide narrative answers to questions that specifically permit use of Attachment 1. If additional sheets are needed, provide answers on an additional PDF with the registrant's name and registration number, and file the PDF as instructed on [web address](#).

Use Attachment 2 to provide narrative answers to questions that specifically permit use of Attachment 2. Attachment 2 is only used to provide narrative answers that in good faith are reasonably deemed confidential or trade secret information by the registrant (this is the only portion of Form PL-4 not made public).

When providing narrative answers to questions in Attachments 1 or 2, do not incorporate by reference any documents or hyperlinks (unless specifically instructed to provide hyperlinks). Narrative answers must include all information required by a question.

When required to submit Attachments 3 to 9, file the attachment as a CSV file. The column headers or other data elements in the templates for Attachments 3 to 9 must not be modified when filing. Do not add or remove any columns to Attachments 3 to 9. Before filing, although not required, please name each attachment as follows: [Attachment Number]-[Reporting Year]-[Registration Number] (e.g., 3-2023-FP0000001).

To file a Form PL-4, submit the completed and signed form at [web address](#). Electronic signatures are accepted. Only complete and file the Parts a registrant is required to answer. For example, a charitable fundraising platform that was only a commercial charitable fundraising platform in the prior calendar year would complete Parts A to C with corresponding attachments, and a charitable fundraising platform that was a commercial, marketing, and coventuring charitable fundraising platform in the prior calendar year would complete Parts A to C, E, and F with corresponding attachments. Before filing, although not required, please name each attachment as follows: [Part Letter]-[Prior Calendar Year]-[Registration Number] (e.g., A-2023-FP0000001). Follow the instructions at [web address](#) for filing each required Part or attachment.



When to complete and file Attachments to Form PL-4

Number	File Type	Purpose and When to Attach to Form PL-4
1	PDF	To answer questions requiring narrative answers
2	PDF	To answer questions requiring narrative answers that in good faith are reasonably deemed confidential or trade secret information by the registrant (this Attachment is not made available to the public)
3	CSV	To submit data on commercial or peer-to-peer charitable fundraising platforms, and recipient charitable organizations that were sent or not sent donations
4	CSV	To submit data on commercial, peer-to-peer, marketing, or coventuring charitable fundraising platforms, and alternate recipient charitable organizations that were sent donations
5	CSV	To submit data on peer-to-peer charitable fundraising platforms, and donations sent to platform users engaging in peer-to-peer charitable fundraising for recipient charitable organizations
6	CSV	To submit data on marketing charitable fundraising platforms, and recipient charitable organizations that were sent donations
7	CSV	To submit data on coventuring charitable fundraising platforms, and recipient charitable organizations that were sent donations
8	CSV	To submit data on consulting charitable fundraising platforms, and charitable organizations that were sent donations
9	CSV	To submit data on consulting charitable fundraising platforms, and alternate charitable organizations that were sent donations

Definitions for Form PL-4

“Charitable fundraising platform” means any person or entity that uses the internet to provide a website, service, or other platform to persons in California, and performs, permits, or otherwise enables acts of solicitation to occur. Acts of solicitation include solicitations performed as a commercial, consulting, coventuring, marketing, or peer-to-peer charitable fundraising platform. See Government Code section 12599.9, subdivision (a)(1), and California Code of Regulations, title 11, section 314, subdivisions (a), (c), (d), (g), and (i), for complete definitions.

“Commercial charitable fundraising platform” means a charitable fundraising platform that lists or references by name recipient charitable organizations to receive donations made by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(A), and California Code of Regulations, title 11, section 314, subdivision (a), for complete definition.

“Consulting charitable fundraising platform” means a charitable fundraising platform that provides a customizable version of its fundraising platform to charitable organizations, who solicit or receive donations through the platform. See Government Code section 12599.9, subdivision (a)(1)(E), and California Code of Regulations, title 11, section 314, subdivision (c), for complete definition.

“Coventuring charitable fundraising platform” means a charitable fundraising platform that lists or reference by name recipient charitable organizations to receive donations made by the platform based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(1)(D), and California Code of Regulations, title 11, section 314, subdivision (d), for complete definition.

“Digital payment processing fee” means a fee incurred by a charitable fundraising platform or platform charity from a payment processor, credit card network, or a bank to accept and process payments of donations made online via credit card or debit card, or bank accounts See California Code of Regulations, title 11, section 314, subdivision (e), for complete definition.

“Donor(s)” means for commercial or peer-to-peer charitable fundraising platforms, a donor is the platform user who makes a donation to a recipient charitable organization listed or referenced by name on the platform for solicitation purposes; for marketing or coventuring charitable fundraising platforms, a donor is the person who makes a donation based on purchases made or other activity performed by persons who use the platform; for consulting charitable fundraising platforms, a donor is the platform user who makes a donation to a charitable organization that uses the platform to solicit or receive donations.

“Donation” means a donation or recommended donation, when a donation is made by a donor. When a donation is sent to a recipient charitable organization or other charitable organization, “donation” means a donation or grant of a recommended donation.

“Fee” means any amount that is charged or asked by a charitable fundraising platform, platform charity, or a vendor that provides services to a charitable fundraising platform or platform charity, involving donations made pursuant to solicitations on a charitable fundraising platform. A fee includes amounts deducted from or added to donations for recipient charitable organizations or other charitable organizations. When donations are made and any amount paid is not passed on to recipient charitable organizations or other charitable organizations, the amount not passed on is a fee. Examples of a fee include distribution, platform, service, technology, or transaction fees to receive or process a donation, digital payment processing fees, and tips requested to cover



expenses incurred by a charitable fundraising platform. See California Code of Regulations, title 11, section 314, subdivision (f), for complete definition.

“Good standing” means that a platform charity, recipient charitable organization, or other charitable organization’s tax-exempt status has not been revoked by the Internal Revenue Service, or the Franchise Tax Board, or is not prohibited from soliciting or operating in California by the Attorney General. See Government Code section 12599.9, subdivision (a)(1)(3), for complete definition.

“Marketing charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to select recipient charitable organizations to receive donations made by the platform or others, based on purchases made or other activity performed by platform users. See Government Code section 12599.9, subdivision (a)(1)(C), and California Code of Regulations, title 11, section 314, subdivision (g), for complete definition.

“Misuse” means the failure to send the full amount of donations made by donors, but for fees, to recipient charitable organizations or alternate recipient charitable organizations (or charitable organizations for donations made through consulting charitable fundraising platforms), or incorrectly holding or spending donations prior to the donations being sent. This includes commingling the donations with other funds belonging to a charitable fundraising platform or platform charity, or borrowing from the donations and paying them back.

“Peer-to-peer charitable fundraising” means a solicitation campaign created by a platform user to support a recipient charitable organization, through or with other assistance provided by a charitable fundraising platform or platform charity. See Government Code section 12599.9, subdivision (a)(1)(4), for complete definition.

“Peer-to-peer charitable fundraising platform” means a charitable fundraising platform that permits persons who use the platform to solicit donations for recipient charitable organizations made by other platform users. See Government Code section 12599.9, subdivision (a)(1)(B), and California Code of Regulations, title 11, section 314, subdivision (i), for complete definition.

“Platform charity” means a charitable corporation or trustee that facilitates acts of solicitation on a charitable fundraising platform, including by soliciting donations for itself through the platform with the implied or express representation that the platform charity may grant donations to recipient charitable organizations, or granting funds to recipient charitable organizations based on purchases made or other activity performed by persons who use the platform. See Government Code section 12599.9, subdivision (a)(5) for complete definition. A platform that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

“Platform user” means a person who uses a charitable fundraising platform.

“Prior calendar year” means January 1 to December 31 of the immediately preceding year for which the Form PL-4 is filed. For example, if the current calendar year is 2024 and the Form PL-4 is timely filed by July 15, 2024, the prior calendar year is 2023. When a Form PL-4 is not timely filed, or for amended filings of Form PL-4, prior calendar year means January 1 to December 31 for the year intended to be covered by the filing. For example, if the registrant seeks to amend its original filing for 2023 in 2025, the prior calendar year is 2023. A Form PL-4 filing shall only cover one prior calendar year.

“Recipient charitable organization” means a charitable corporation or trustee that is listed or referenced by name on a charitable fundraising platform for solicitation purposes. See Government Code section 12599.9, subdivision (a)(7), for complete definition.

For Additional Information

Additional information is available on the Attorney General's website at oag.ca.gov/charities. You may also contact the Attorney General's Registry of Charitable Trusts at (916) 210-7613 or PF@doj.ca.gov. The street address of the Registry of Charitable Trusts is 1300 I Street, Sacramento, CA 95814, and mailing address is Office of the Attorney General, Registry of Charitable Trusts, P.O. Box 903447, Sacramento, CA 94203-4470. Do not mail Form PL-4. It must be filed electronically.



SUBMIT TO:
Registry of Charitable Trusts
at [web address](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

**FORM PL-4: ANNUAL REPORT FOR CHARITABLE FUNDRAISING
PLATFORMS OR PLATFORM CHARITIES FOR 20**

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to electronically file Form PL-4 by July 15 of each calendar year may result in assessment
of late fees and automatic suspension of registration status (Gov. Code, § 12586.1, Cal. Code
Regs., tit. 11, § 341)

PART B

(For Registry Use Only)

To Be Completed by Commercial, Peer-to-peer, Marketing, and/or Coventuring Charitable Fundraising Platforms

Complete if registrant was a commercial, peer-to-peer, marketing, and/or coventuring charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). Provide all information requested in Part B for the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. What internet-based platforms or other internet-based user interfaces were used to perform, permit, or enable solicitations? Check all that apply and provide the supplemental information.

- ☐ Website(s) (specify address of home or landing web page for each domain name)
- ☐ Mobile device application(s) (specify name(s) for each application)
- ☐ Computer software application(s) (specify name(s) for each application)
- ☐ Other (describe the platform(s) and how platform users would access and use the platform)

2. Was the good standing status of recipient charitable organizations with the Internal Revenue Service (IRS) determined before listing or referencing their names on the registrant's charitable fundraising platform for solicitation purposes, and before sending donations to recipient charitable organizations? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

3. Was the good standing status of recipient charitable organizations with the California Franchise Tax Board (FTB) determined before listing or referencing their names on the registrant's charitable fundraising platform for solicitation purposes, and before sending donations to recipient charitable organizations? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

4. Was the good standing status of recipient charitable organizations with the Attorney General's Registry of Charitable Trusts determined before listing or referencing their names on the registrant's charitable fundraising platform for solicitation purposes, and before sending donations to recipient charitable organizations? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

☐ No



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PART C

(For Registry Use Only)

To Be Completed by Commercial Charitable Fundraising Platforms

Complete if registrant was a commercial charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., peer-to-peer and/or marketing charitable fundraising platform), limit the information in Part C to activities in the capacity of a commercial charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. When donations were made by donors using the registrant's charitable fundraising platform, the donations were made to (select all that apply):

- ☐ Registrant, and not directly to recipient charitable organization(s)
- ☐ Partner of registrant (e.g., platform charity), and not directly to recipient charitable organization(s)
- ☐ Directly to recipient charitable organization(s)

2. If in response to 1 "Directly to recipient charitable organization(s)" is selected, did the registrant or any other person receive and hold the donations made by donors before the donations were sent to recipient charitable organizations? Select all that apply and provide the supplemental information, if applicable.

- ☐ Registrant received and held donations
- ☐ Another person received and held donations (specify the official name(s) of the person(s) who received and held the donations: _____)
- ☐ No
- ☐ N/A

3. Did the registrant allow donors to designate how donations may be used by a recipient charitable organization?

- ☐ Yes
- ☐ No

4. Specify whether the registrant obtained prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes on the registrant's charitable fundraising platform. Select all that apply.

- ☐ Registrant obtained prior written consent
- ☐ Registrant did not have prior written consent

5. If in response to 4 "Registrant obtained prior written consent" is selected, did the registrant concurrently list or reference by name 100 or more consenting recipient charitable organizations for solicitation purposes on the registrant's charitable fundraising platform for the entire prior calendar year, or any part of the prior year? If "yes, for part of prior year" specify the timeframes when this occurred by month and day (e.g., January 1 to April 15).

- ☐ Yes, for entire prior year
- ☐ Yes, for part of prior year (specify: _____)
- ☐ No
- ☐ N/A



6. If in response to 4 "Registrant did not have prior written consent" is selected, did the registrant concurrently list or reference by name 100 or more non-consenting recipient charitable organizations for solicitation purposes on the registrant's charitable fundraising platform for the entire prior calendar year, or any part of the prior year? If "yes, for part of prior year" specify the timeframes when this occurred by month and day (e.g., January 1 to April 15).

☐ Yes, for entire prior year

☐ Yes, for part of prior year (specify: _____)

☐ No

☐ N/A

7. Did the registrant provide the disclosures listed below in solicitations to donors before a donation can be completed? Write "Yes," "No," "N/A." If "Yes," submit a PDF copy of the disclosure language as viewable by donors and by platform type (e.g., website, mobile device application). If the disclosure language changed at any time during the prior calendar year, provide all versions of the language and specify the timeframes the version was used.

If registrant allowed donors to designate how donations may be used by a recipient charitable organization, a statement that donations are provided to recipient charitable organizations on an unrestricted basis, or that recipient charitable organizations are not bound by designations or solicitations that may restrict how donations may be used	
If registrant did not obtain prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes, a statement that non-consenting recipient charitable organizations did not provide consent or permission for the solicitation	
When donors made donations, a statement as to who donations were made to	
If there were circumstances under which a recipient charitable organization may not receive a donation made by a donor, a statement that a recipient charitable organization may not receive the donation, and an explanation identifying the most pertinent reasons for these circumstances	
If donations were not sent contemporaneously to recipient charitable donations after donations were made, the maximum length of time it takes to send a donation made by a donor, with an explanation as to the length of time	
The fees, if any, deducted from or added to a donation made by a donor, other than digital payment processing fees	
A statement as to the tax deductibility of the donation	

☐ Check box if disclosure language is attached

8. Was a tax donation receipt sent to donors in accordance with Business and Professions Code sections 17510.3 and 17510.4 within 5 business days of donations being made? If "No," explain why in Attachment 1.

☐ Yes

☐ No, and answer specified in Attachment 1

9. For each recipient charitable organization sent donations made by donors through the registrant's charitable fundraising platform, complete Attachment 3 by providing the total number and amount of donations made, fee information, total amount of donations sent, and whether donations were sent within the required number of days under California Code Regulations, title 11, section 320. Also, specify in Attachment 3, whether recipient charitable organizations were ineligible to be sent donations, and if so, the total number and amount of donations not sent due to ineligibility.

☐ Check box if Attachment 3 is attached

10. Were any recipient charitable organizations deemed ineligible to be sent donations made through the registrant's charitable fundraising platform, and the donations were not sent to alternate recipient charitable organizations? If "Yes," explain why in Attachment 1.

☐ Yes, and answer specified in Attachment 1

☐ No

11. Were any recipient charitable organizations deemed ineligible to be sent donations made through the registrant's charitable fundraising platform, and the donations were instead sent to alternate charitable organizations? If "Yes," complete and submit Attachment 4.

☐ Yes, and Attachment 4 is attached

☐ No



12. Provide the following for donations made through the registrant's charitable fundraising platform. Use data from Attachments 3 and 4 to provide information.

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total number of recipient charitable organizations that were sent donations	
Total number of recipient charitable organizations that were sent donations within the maximum number of days required (see 11 Cal. Code Regs., tit. 11, § 320)	
Total number of recipient charitable organizations that were deemed ineligible, and not sent donations (say 0 if no recipient charitable organizations were deemed ineligible)	
Total number of donations that were not sent to recipient charitable organizations because they were deemed ineligible (say 0 if no recipient charitable organizations were deemed ineligible)	
Total \$ amount of donations that were not sent to recipient charitable organizations because they were deemed ineligible (say 0 if no recipient charitable organizations were deemed ineligible)	
Total number of alternate recipient charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	

13. When donations were sent to recipient charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to the recipient charitable organizations? Write "Yes," "No," or "N/A."

Name of registrant charitable fundraising platform through which the donation was made	
Date each donation was made by the donor	
Date donations were sent to the recipient charitable organization	
Each donation amount before fees were imposed, the fees imposed and by whom, and each donation amount after fees were imposed	
Name and contact information of donors, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
When donors designate how donations may be used by a recipient charitable organization, information on how the funds may be used	

14. When donations made through the registrant's charitable fundraising platform were sent to recipient charitable organizations, were donors notified of this within 15 days, unless donors declined to be notified? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1



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at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

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PART D

(For Registry Use Only)

To Be Completed by Peer-to-peer Charitable Fundraising Platforms

Complete if registrant was a peer-to-peer charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., commercial and/or coventuring charitable fundraising platform), limit the information in Part D to activities in the capacity of a peer-to-peer charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. When donations were made by donors using the registrant's charitable fundraising platform, the donations were made to (select all that apply):

- ☐ Registrant, and not directly to recipient charitable organization(s)
- ☐ Partner of registrant (e.g., platform charity), and not directly to recipient charitable organization(s)
- ☐ Directly to recipient charitable organization(s)
- ☐ Platform user(s) engaged in peer-to-peer charitable fundraising (because donations were sent to their bank accounts and not directly to recipient charitable organizations)

2. If in response to 1 "Directly to recipient charitable organization(s)" is selected, did the registrant or any other person receive and hold the donations made by donors before the donations were sent to recipient charitable organizations? Select all that apply and provide the supplemental information, if applicable.

- ☐ Registrant received and held donations
- ☐ Another person received and held donations (specify the official name(s) of the person(s) who received and held the donations: _____)
- ☐ No
- ☐ N/A

3. If in response to 1 "Platform user(s) engaged in peer-to-peer charitable fundraising" is selected, complete and submit Attachment 5, and use data from Attachment 5 to provide the following for donations sent to platform users engaged in peer-to-peer charitable fundraising.

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to platform users engaged in peer-to-peer charitable fundraising	
Total number of recipient charitable organizations that were notified donations were sent to platform users engaged in peer-to-peer charitable fundraising	

☐ Check box if Attachment 5 is attached



4. If in response to 1 "Platform users engaged in peer-to-peer charitable fundraising" is selected, were all persons engaged in peer-to-peer charitable fundraising sent donations within 30 days of the donations being made, and sent the notification required by 11 Cal. Code Regs. section 320, subdivision (a)(5)?

- ☐ Yes, for entire prior year
- ☐ No
- ☐ N/A

5. Specify whether the registrant obtained prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes on the registrant's charitable fundraising platform. Select all that apply.

- ☐ Registrant obtained prior written consent
- ☐ Registrant did not have prior written consent

6. If in response to 5 "Registrant obtained prior written consent" is selected, did the registrant concurrently list or reference by name 100 or more consenting recipient charitable organizations for solicitation purposes on the registrant's charitable fundraising platform for the entire prior calendar year, or any part of the prior year? If "yes, for part of prior year" specify the timeframes when this occurred by month and day (e.g., January 1 to April 15).

- ☐ Yes, for entire prior year
- ☐ Yes, for part of prior year (specify: _____)
- ☐ No
- ☐ N/A

7. If in response to 5 "Registrant did not have prior written consent" is selected, did the registrant concurrently list or reference by name 100 or more non-consenting recipient charitable organizations for solicitation purposes on the registrant's charitable fundraising platform for the entire prior calendar year, or any part of the prior year? If "yes, for part of prior year" specify the timeframes when this occurred by month and day (e.g., January 1 to April 15).

- ☐ Yes, for entire prior year
- ☐ Yes, for part of prior year (specify: _____)
- ☐ No
- ☐ N/A

8. Did the registrant provide disclosures listed below in solicitations to donors before a donation can be completed? Write "Yes," "No," or "N/A." If "Yes," submit a PDF copy of the disclosure language as viewable by donors and by platform type (e.g., website, mobile device application). If the disclosure language changed in the prior calendar year, provide all versions of the language and specify the timeframes the version was used.

A statement that donations are provided to recipient charitable organizations on an unrestricted basis, or that recipient charitable organizations are not bound by designations or solicitations that may restrict how donations may be used	
If registrant did not obtain prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes, a statement that non-consenting recipient charitable organizations did not provide consent or permission for the solicitation, and did not review or approve the content generated by platform users engaged in peer-to-peer charitable fundraising	
When donors made donations, a statement as to who donations were made to	
If there were circumstances under which a recipient charitable organization may not receive a donation made by a donor, a statement that a recipient charitable organization may not receive the donation, and an explanation identifying the most pertinent reasons for these circumstances	
The maximum length of time it takes to send a donation made by a donor, with an explanation as to the length of time, unless the donation is sent contemporaneously to a recipient charitable organization after the donation is made	
The fees, if any, deducted from or added to a donation made by a donor, other than digital payment processing fees	
A statement as to the tax deductibility of the donation	

- ☐ Check box if disclosure language is attached



9. Was a tax donation receipt sent to donors in accordance with Business and Professions Code sections 17510.3 and 17510.4 within 5 business days of donations being made? If “No,” explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

10. For each recipient charitable organization sent donations made by donors through the registrant’s charitable fundraising platform, complete Attachment 3 by providing the total number and amount of donations made, fee information, total amount of donations sent, and whether donations were sent within the required number of days under California Code Regulations, title 11, section 320. Also, specify in Attachment 3, whether recipient charitable organizations were ineligible to be sent donations, and if so, the total number and amount of donations not sent due to ineligibility. Do not include donations sent to platform users engaged in peer-to-peer charitable fundraising.

- ☐ Check box if Attachment 3 is attached

11. Were any recipient charitable organizations deemed ineligible to be sent donations made through the registrant’s charitable fundraising platform, and the donations were not sent to alternate recipient charitable organizations? If “Yes,” explain why in Attachment 1.

- ☐ Yes, and answer specified in Attachment 1
- ☐ No

12. Were any recipient charitable organizations deemed ineligible to be sent donations made through the registrant’s charitable fundraising platform, and the donations were instead sent to alternate charitable organizations? If “Yes,” complete and submit Attachment 4.

- ☐ Yes, and Attachment 4 is attached
- ☐ No

13. Provide the following for donations made through the registrant’s charitable fundraising platform. Use data from Attachments 3 and 4 to provide information. Do not include donations sent to platform users engaged in peer-to-peer charitable fundraising.

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total number of recipient charitable organizations that were sent donations	
Total number of recipient charitable organizations that were sent donations within the maximum number of days required (see 11 Cal. Code Regs., tit. 11, § 320)	
Total number of recipient charitable organizations that were deemed ineligible, and not sent donations (say 0 if no recipient charitable organizations were deemed ineligible)	
Total number of donations that were not sent to recipient charitable organizations because they were deemed ineligible (say 0 if no recipient charitable organizations were deemed ineligible)	
Total \$ amount of donations that were not sent to recipient charitable organizations because they were deemed ineligible (say 0 if no recipient charitable organizations were deemed ineligible)	
Total number of alternate recipient charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	



14. When donations were sent to recipient charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to the recipient charitable organizations? Write "Yes," "No," or "N/A."

Name of registrant charitable fundraising platform through which the donation was made	
Date each donation was made by the donor	
Date donations were sent to the recipient charitable organization	
Each donation amount before fees were imposed, the fees imposed and by whom, and each donation amount after fees were imposed	
Name and contact information of donors, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
Name and contact information of platform users engaged in peer-to-peer charitable fundraising, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
When donors or platform users engaged in peer-to-peer charitable fundraising designate how donations may be used by a recipient charitable organization, information on how the funds may be used	

15. When donations made through the registrant's charitable fundraising platform were sent to recipient charitable organizations or platform users engaged in peer-to-peer charitable fundraising, were donors notified of this within 15 days, unless donors declined to be notified? If "No," explain why in Attachment 1.

☐ Yes

☐ No, and answer specified in Attachment 1



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PART E

(For Registry Use Only)

To Be Completed by Marketing Charitable Fundraising Platforms

Complete if registrant was a marketing charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., commercial and/or coventuring charitable fundraising platform), limit the information in Part E to activities in the capacity of a marketing charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. What activities did platform users perform that caused donations to be sent to recipient charitable organizations? (Select all that apply.)

☐ Purchasing goods or services sold on the platform

☐ Other activities (and specify all other activities in Attachment 1, e.g., using free service offered by platform, making social media posts about the platform, performing exercises)

☐ Check box if answer specified in Attachment 1

2. Specify whether the registrant obtained prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes on the registrant's charitable fundraising platform. Select all that apply.

☐ Registrant obtained prior written consent

☐ Registrant did not have prior written consent

3. Did the registrant provide disclosures listed below in solicitations before non-consenting recipient charitable organizations could be selected or changed? Write "Yes," "No," or "N/A." If "Yes," submit a PDF copy of the disclosure language as viewable by donors and by platform type (e.g., website, mobile device application). If the disclosure language changed in the prior calendar year, provide all versions of the language and specify the timeframes the version was used.

If registrant did not obtain prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes, a statement that non-consenting recipient charitable organizations did not provide consent or permission for the solicitation	
When the registrant, a platform charity, or other third party person made donations based on platform user purchases or other activity, a statement as to who the donations were made to	
If there were circumstances under which a recipient charitable organization may not receive a donation, a statement that a recipient charitable organization may not receive the donation, and an explanation identifying the most pertinent reasons for these circumstances	
The maximum length of time it takes to send a donation based on platform user purchases or other activity, with an explanation as to the length of time	
If fees are deducted from or added to a donation made based on platform user purchases or other activity performed (other than digital payment processing fees), a statement explaining the fees deducted from or added to a donation	

☐ Check box if disclosure language is attached

4. Was any minimum donation amount required to be met before sending donations to recipient charitable organizations? If "Yes," specify the minimum donation amount.

☐ Yes (minimum donation amount: _____)

☐ No



5. If "Yes" in response to 4, when purchases or other activity performed by platform users caused donations to be sent to recipient charitable organizations and the minimum amounts were met, were the donations sent on a quarterly basis, or more frequently? Quarterly basis means sending donations within 15 days after the end of a 90-day period.

- ☐ Yes
☐ No
☐ N/A

6. If "No" in response to 4, when purchases or other activity performed by platform users caused donations to be sent to recipient charitable organizations, were the donations sent on a quarterly basis, or more frequently? Quarterly basis means sending donations within 15 days after the end of a 90-day period.

- ☐ Yes
☐ No
☐ N/A

7. For each recipient charitable organization sent donations based on purchases or other activity performed by platform users, complete Attachment 6 by providing the total number of platform users who caused donations to be made, total amount of donations made, fee information, and total amount of donations sent.

☐ Check box if Attachment 6 is attached

8. Were any recipient charitable organizations deemed ineligible to be sent donations, and the donations were not sent to alternate recipient charitable organizations? If "Yes," explain why in Attachment 1.

- ☐ Yes, and answer specified in Attachment 1
☐ No

9. Were any recipient charitable organizations deemed ineligible to be sent donations, and the donations were instead sent to alternate recipient charitable organizations? If "Yes," complete and submit Attachment 4.

- ☐ Yes, and Attachment 4 is attached
☐ No

10. Provide the following for donations sent based on purchases or other activity performed by platform users. Use data from Attachments 4 and 6 to provide information.

Total number of platform users who selected recipient charitable organizations, and whose purchases or other activity caused donations to be made	
Total \$ amount of donations made based on platform user purchases or other activity	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total number of recipient charitable organizations that were sent donations	
Total number of alternate recipient charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of all donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	



11. When donations were sent to recipient charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to the recipient charitable organizations? Write "Yes" or "No."

Information about the solicitations that caused donations to be made	
Each donation amount, with an accounting of how it was calculated, including any fees that were imposed, and by whom, if fees were imposed	
Name and contact information of donors, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	
Name and contact information of persons who made purchases or performed other activity that caused a donation to be made, unless they chose to not share their information or a recipient charitable organization did not provide prior written consent for using its name in a solicitation	

12. Were platform users who made purchases or performed other activity through the registrant's charitable fundraising platform notified within 15 days of donations being sent to their selected recipient charitable organizations, unless platform users declined to be notified? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1



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PART F

(For Registry Use Only)

To Be Completed by Coventuring Charitable Fundraising Platforms

Complete if registrant was a coventuring charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., peer-to-peer and/or marketing charitable fundraising platform), limit the information in Part F to activities in the capacity of a coventuring charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. What activities did platform users perform that caused donations to be sent to recipient charitable organizations? (Select all that apply.)

- ☐ Purchasing goods or services sold on the platform
- ☐ Other activities (and specify all other activities in Attachment 1, e.g., making social media posts about the platform, performing exercises, using free service offered by platform)
- ☐ Check box if answer specified in Attachment 1

2. Before solicitations began on the registrant's charitable fundraising platform, was there a written agreement between the registrant and each recipient charitable organization that permitted the registrant to list or reference by name each recipient charitable organization for solicitations purposes on the platform? If "No," identify in Attachment 1 the recipient charitable organizations with which the registrant did not have a prior written agreement, and explain why for each such recipient charitable organization.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

3. Was any minimum donation amount required to be met before sending donations to recipient charitable organizations? If "Yes," specify the minimum donation amount.

- ☐ Yes (minimum donation amount: _____)
- ☐ No

4. If "Yes" in response to 3, when purchases or other activity performed by platform users caused donations to be sent to recipient charitable organizations and the donation minimum amounts were met, were the donations sent on a quarterly basis, or more frequently? Quarterly basis means sending donations within 15 days after the end of a 90-day period.

- ☐ Yes
- ☐ No
- ☐ N/A

5. If "No" in response to 3, when purchases or other activity performed by platform users caused donations to be sent to recipient charitable organizations, were the donations sent on a quarterly basis, or more frequently? Quarterly basis means sending donations within 15 days after the end of a 90-day period.

- ☐ Yes
- ☐ No
- ☐ N/A

6. For each recipient charitable organization sent donations based on purchases or other activity performed by platform users, complete Attachment 7 by providing the total amount of donations made, fee information, and total amount of donations sent.

- ☐ Check box if Attachment 7 is attached



7. Were any recipient charitable organizations deemed ineligible to be sent donations, and the donations were not sent to alternate recipient charitable organizations? If "Yes," explain why in Attachment 1.

☐ Yes, and answer specified in Attachment 1

☐ No

8. Were any recipient charitable organizations deemed ineligible to be sent donations, and the donations were instead sent to alternate recipient charitable organizations? If "Yes," complete and submit Attachment 4.

☐ Yes, and Attachment 4 is attached

☐ No

9. Provide the following for donations sent based on purchases or other activity performed by platform users. Use data from Attachments 4 and 7 to provide information.

Total \$ amount of donations made based on platform user purchases or other activity	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total number of recipient charitable organizations that were sent donations	
Total number of alternate recipient charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of all donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	

10. When donations were sent to recipient charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to the recipient charitable organizations? Write "Yes" or "No."

Information about the solicitations that caused donations to be made	
Each donation amount, with an accounting of how it was calculated, including any fees that were imposed, and by whom, if fees were imposed	



SUBMIT TO:
Registry of Charitable Trusts
at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

**FORM PL-4: ANNUAL REPORT FOR CHARITABLE FUNDRAISING
PLATFORMS OR PLATFORM CHARITIES FOR 20**

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to electronically file Form PL-4 by July 15 of each calendar year may result in assessment of late fees and automatic suspension of registration status (Gov. Code, § 12586.1, Cal. Code Regs., tit. 11, § 341)

PART G

(For Registry Use Only)

To Be Completed by Consulting Charitable Fundraising Platforms

Complete if registrant was a consulting charitable fundraising platform for any time during the prior calendar year (see answer to question 16 in Part A of Form PL-4). If registrant served as another type of charitable fundraising platform (e.g., peer-to-peer and/or marketing charitable fundraising platform), limit the information in Part G to activities in the capacity of a consulting charitable fundraising platform during the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. When charitable organizations solicited or received donations through the registrant's charitable fundraising platform, were donations made directly to the charitable organization? If "No," identify in Attachment 1 who donations were made to, explain why donations were not made directly to charitable organizations, and describe what needed to happen in order for donations to be sent to charitable organizations.

☐ Yes

☐ No, and answer specified in Attachment 1

2. Was the good standing status of charitable organizations with the Internal Revenue Service (IRS) determined before charitable organizations could solicit through the registrant's charitable fundraising platform? If "No," explain why in Attachment 1.

☐ Yes

☐ No, and answer specified in Attachment 1

3. Was the good standing status of charitable organizations with the California Franchise Tax Board (FTB) determined before charitable organizations could solicit through the registrant's charitable fundraising platform? If "No," explain why in Attachment 1.

☐ Yes

☐ No, and answer specified in Attachment 1

4. Was the good standing status of charitable organizations with the Attorney General's Registry of Charitable Trusts determined before charitable organizations could solicit through the registrant's charitable fundraising platform? If "No," explain why in Attachment 1.

☐ Yes

☐ No, and answer specified in Attachment 1

5. Other than when a charitable organization was not in good standing with the IRS, FTB and the Attorney General's Registry of Charitable Trusts, were there any circumstances under which a charitable organization was deemed ineligible to be sent donations made by a donor for the charitable organization through the registrant's charitable fundraising platform? Examples of such circumstances may be reflected in policies established by the registrant, or in terms of use or other agreements between the registrant and charitable organizations or platform users. If yes, identify and explain all circumstances in Attachment 1.

☐ Yes, and answer specified in Attachment 1

☐ No



6. Was any fee deducted from and/or added to donations made by donors for charitable organizations through the registrant's charitable fundraising platform? If "Yes," identify each type of fee that was deducted or added, and explain its purpose and how it is calculated. Include digital payment processing fees, and fees optionally added by donors like a tip. Do not include fees charged by a charitable organization or the charitable organization's vendors. Also, for each type of fee, identify the official name of the person or entity who charged the fee (e.g., registrant or the registrant's vendor). Provide information below. Use Attachment 2, for information that registrant reasonably and in good faith deems is confidential or trade secret information.

☐ Yes

☐ No

☐ Check box if Attachment 2 is attached

7. Beyond the fees specified in response to 6, were any other fees charged to donors or other persons using the registrant's charitable fundraising platform, or to charitable organizations that solicited through the platform? If "Yes," identify each type of fee, who paid the fee, and explain its purpose and how it is calculated. Also, for each type of fee, identify the official name of the person or entity who charged the fee (e.g., registrant or the registrant's vendor). For information that the registrant in good faith and reasonably deems is confidential or trade secret information, use Attachment 2.

☐ Yes

☐ No

☐ Check box if Attachment 2 is attached

8. For each charitable organization sent donations made by donors through the registrant's charitable fundraising platform, complete Attachment 8 by providing the total number and amount of donations made, fee information, total amount of donations sent, and whether donations were sent within 5 business days. Also, specify in Attachment 8, whether charitable organizations were ineligible to be sent donations, and if so, the total number and amount of donations not sent due to ineligibility.

☐ Check box if Attachment 8 is attached



9. Were any charitable organizations deemed ineligible to be sent donations made through the registrant's charitable fundraising platform, and the donations were not sent to alternate charitable organizations? If "Yes," explain why in Attachment 1.

- ☐ Yes, and answer specified in Attachment 1
- ☐ No

10. Were any charitable organizations deemed ineligible to be sent donations made through the registrant's charitable fundraising platform, and the donations were instead sent to alternate charitable organizations? If "Yes," complete and submit Attachment 9.

- ☐ Yes, and Attachment 9 is attached
- ☐ No

11. Provide the following for donations made through the registrant's charitable fundraising platform. Use data from Attachments 8 and 9 to provide information.

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to all charitable organizations	
Total number of charitable organizations that were sent donations	
Total number of charitable organizations that were sent donations within 5 business days of donations being made	
Total number of charitable organizations that were deemed ineligible, and not sent donations (say 0 if no charitable organizations were deemed ineligible)	
Total number of donations that were not sent to charitable organizations because they were deemed ineligible (say 0 if no charitable organizations were deemed ineligible)	
Total \$ amount of donations that were not sent to charitable organizations because they were deemed ineligible (say 0 if no charitable organizations were deemed ineligible)	
Total number of alternate charitable organizations that were sent donations (say 0 if no donations sent to alternates)	
Total \$ amount of donations that were sent to all alternate charitable organizations (say 0 if no donations sent to alternates)	

12. When donations were sent to charitable organizations, was the information required by California Code of Regulations, title 11, section 321 and listed below provided to charitable organizations? Write "Yes" or "No."

Date each donation was made by the donor	
Date donations were sent to the recipient charitable organization	
Each donation amount before fees were imposed, the fees imposed and by whom, and each donation amount after fees were imposed	

13. Before donations were sent to charitable organizations, was there any theft, embezzlement, diversion, and/or other misuse of donations made through the registrant's charitable fundraising platform? If "Yes," explain the occurrence in Attachment 1 and summarize the steps taken to resolve the misuse.

- ☐ Yes, and answer specified in Attachment 1
- ☐ No



SUBMIT TO:
Registry of Charitable Trusts
at [\[web address\]](#)

WEBSITE ADDRESS:
oag.ca.gov/charities

**FORM PL-4: ANNUAL REPORT FOR CHARITABLE FUNDRAISING
PLATFORMS OR PLATFORM CHARITIES FOR 20**

California Government Code section 12599.9
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of late fees and automatic suspension of registration status (Gov. Code, § 12586.1, Cal. Code
Regs., tit. 11, § 341)

PART H

(For Registry Use Only)

To Be Completed by Partnering Platform Charities and Charitable Fundraising Platforms

Part H is required to be filed by all platform charities, and charitable fundraising platforms that partner with other charitable fundraising platform(s) to facilitate solicitations on the other platform(s) ("partnering platforms"). All questions are limited in time to the prior calendar year. See instructions in Form PL-4 with Part A.

Name and registration number of registrant: _____

1. Provide the following information for each partnering platform that the registrant facilitated solicitations on: the official name of each partnering platform, the registration number of each partnering platform, and the charitable fundraising platform type(s) of each partnering platform (i.e., commercial, peer-to-peer, marketing, and/or coventuring charitable fundraising platform).

2. For facilitating acts of solicitation on a partnering platform, which of the following acts was the registrant responsible for under any partnership? Check all that apply and provide the supplemental information when applicable.

- ☐ Obtain consent from recipient charitable organizations to be listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Work with the partnering platform on the information provided to platform users about recipient charitable organizations
- ☐ Work with the partnering platform to facilitate removal requests from non-consenting recipient charitable organizations
- ☐ Work with the partnering platform on solicitation disclosures provided to platform users
- ☐ Determine whether recipient charitable organizations are eligible to be listed on the partnering platform for solicitation purposes
- ☐ Receive donations that are made to the platform charity, in lieu of donations being made to recipient charitable organizations listed or referenced by name on the partnering platform for solicitation purposes
- ☐ Provide tax-deductibility of donations made on the partnering platform
- ☐ Work with the partnering platform on the tax donation receipts sent to donors who made donations on the partnering platform
- ☐ Hold donations made on the partnering platform
- ☐ Determine whether recipient charitable organizations are eligible to be granted or sent donations
- ☐ Communicate with donors or platform users regarding alternate recipient charitable organizations, when originally selected recipient charitable organizations on the partnering platform are deemed ineligible to be sent donations
- ☐ Send donations to recipient charitable organizations that are made by donors using the partnering platform who recommended their donations be granted to their selected recipient charitable organizations
- ☐ Send donations to recipient charitable organizations that are selected by platform users, when donation amounts are based on platform users purchases or other activity
- ☐ Notify donors or platform users when donations are sent to their selected recipient charitable organizations
- ☐ Other (specify in Attachment 1)



3. Specify whether the registrant obtained prior written consent to list or reference by name one or more recipient charitable organization for solicitation purposes on a partnering platform. Select all that apply.

- ☐ Registrant obtained prior written consent
- ☐ Registrant did not have prior written consent

4. Was the good standing status of recipient charitable organizations with the Internal Revenue Service (IRS) determined before listing or referencing their names on a partnering platform for solicitation purposes, and before sending donations to recipient charitable organizations? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

5. Was the good standing status of recipient charitable organizations with the California Franchise Tax Board (FTB) determined before listing or referencing their names on a partnering platform for solicitation purposes, and before sending donations to recipient charitable organizations? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

6. Was the good standing status of recipient charitable organizations with the Attorney General's Registry of Charitable Trusts determined before listing or referencing their names on a partnering platform for solicitation purposes, and before sending donations to recipient charitable organizations? If "No," explain why in Attachment 1.

- ☐ Yes
- ☐ No, and answer specified in Attachment 1

7. Other than when a recipient charitable organization was not in good standing with the IRS, FTB and the Attorney General's Registry of Charitable Trusts, were there any circumstances under which a recipient charitable organization was deemed ineligible to be sent a donation made by a donor based on the solicitations performed, permitted, or otherwise enabled on a partnering platform? If yes, identify and explain all circumstances in Attachment 1.

- ☐ Yes, and answer specified in Attachment 1
- ☐ No

8. Was any fee deducted from and/or added to donations made by donors, based on the solicitations performed, permitted, or otherwise enabled on a partnering platform? If "Yes," identify each partnering platform, each type of fee that was deducted or added, and explain its purpose and how it is calculated. Include digital payment processing fees, and fees optionally added by donors like a tip. Also, for each type of fee, identify the official name of the person or entity who charged the fee (e.g., registrant, partnering platform, or other vendor). Use Attachment 1 for information that is publicly available. Use Attachment 2, for information that registrant reasonably and in good faith deems is confidential or trade secret information.

- ☐ Yes
- ☐ No
- ☐ Check box if Attachment 1 is attached
- ☐ Check box if Attachment 2 is attached

9. For each recipient charitable organization sent donations made on partnering platforms that were commercial charitable fundraising platforms and peer-to-peer charitable fundraising platforms, complete Attachment 3 by providing the total number and amount of donations made, fee information, total amount of donations sent, and whether donations were sent within the required number of days under California Code Regulations, title 11, section 320. Also, specify in Attachment 3, whether recipient charitable organizations were ineligible to be sent donations, and if so, the total number and amount of donations not sent due to ineligibility. If no partnering platforms were commercial charitable fundraising platforms and peer-to-peer charitable fundraising platforms, do not submit Attachment 3.

- ☐ Check box if Attachment 3 is attached

10. For each recipient charitable organization sent donations based on purchases or other activity performed by platform users on or through partnering platforms that were marketing charitable fundraising platforms, complete Attachment 6 by providing the total number of platform users who caused donations to be made, total amount of donations made, fee information, and total amount of donations sent. If no partnering platforms were marketing charitable fundraising platforms, do not submit Attachment 6.

- ☐ Check box if Attachment 6 is attached



11. For each recipient charitable organization sent donations based on purchases or other activity performed by platform users on or through partnering platforms that were coventuring charitable fundraising platforms, complete Attachment 7 by providing the total amount of donations made, fee information, and total amount of donations sent. If no partnering platforms were coventuring charitable fundraising platforms, do not submit Attachment 7.

☐ Check box if Attachment 7 is attached

12. Were any recipient charitable organizations deemed ineligible to be sent a donation made by a donor based on the solicitations performed, permitted, or otherwise enabled on a partnering platform, and the donations were not sent to alternate recipient charitable organizations? If "Yes," explain why in Attachment 1.

☐ Yes

☐ No

13. Were any recipient charitable organizations deemed ineligible to be sent a donation made by a donor based on the solicitations performed, permitted, or otherwise enabled on a partnering platform, and the donations were instead sent to alternate recipient charitable organizations? If "Yes," complete and submit Attachment 4 for all partnering platforms.

☐ Yes, and Attachment 4 is attached

☐ No

14. Provide the following for donations made on all partnering platforms that were commercial charitable fundraising platforms and peer-to-peer charitable fundraising platforms. Use data from Attachments 3 and 4 to provide information. Do not answer question if no partnering platforms were commercial charitable fundraising platforms and peer-to-peer charitable fundraising platforms

Total number of donations made by all donors	
Total \$ amount of donations from all donors	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (include tips or other fees optionally added by donors, say 0 if no fees added)	
Total \$ amount of donations sent to all charitable organizations	
Total \$ amount of donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	

15. Provide the following for donations made involving all partnering platforms that were marketing charitable fundraising platforms. Use data from Attachments 4 and 6 to provide information. Do not answer question if no partnering platforms were marketing charitable fundraising platforms.

Total number of platform users who selected recipient charitable organizations, and whose purchases or other activity caused donations to be made	
Total \$ amount of donations made based on platform user purchases or other activity	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total \$ amount of all donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	



16. Provide the following for donations made involving all partnering platforms that were coventuring charitable fundraising platforms. Use data from Attachments 4 and 7 to provide information. Do not answer question if no partnering platforms were coventuring charitable fundraising platforms.

Total \$ amount of donations made based on platform user purchases or other activity	
Total \$ amount of fees deducted from all donations (say 0 if no fees deducted)	
Total \$ amount of digital payment processing fees deducted from all donations (say 0 if no digital payment processing fees deducted)	
Total \$ amount of fees added to all donations (say 0 if no fees added)	
Total \$ amount of donations sent to all recipient charitable organizations	
Total \$ amount of all donations that were sent to all alternate recipient charitable organizations (say 0 if no donations sent to alternates)	

17. Before donations were sent to recipient charitable organizations, was there any theft, embezzlement, diversion, and/or other misuse of donations made, based on the solicitations performed, permitted, or otherwise enabled on any partnering platform? If "Yes," for each partnering platform, explain the occurrence in Attachment 1 and summarize the steps taken to resolve the misuse.

☐ Yes, and answer specified in Attachment 1

☐ No



MAIL TO:
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470

STREET ADDRESS:
1300 I Street
Sacramento, CA 95814
(916) 210-6400

WEBSITE ADDRESS:
www.oag.ca.gov/charities

ANNUAL REGISTRATION RENEWAL FEE REPORT TO ATTORNEY GENERAL OF CALIFORNIA

Sections 12586 and 12587, California Government Code

11 Cal. Code Regs. sections 301-307, ~~309~~, ~~311~~, and 3102

Failure to submit this report annually no later than four months and fifteen days after the end of the organization's accounting period may result in the loss of tax exemption and the assessment of a minimum tax of \$800, plus interest, and/or fines or filing penalties. Revenue & Taxation Code section 23703; Government Code section 12586.1. IRS extensions will be honored.

(For Registry Use Only)

Name of Organization _____ List all DBAs and names the organization uses or has used _____ Address (Number and Street) _____ City or Town, State, and ZIP Code _____ Telephone Number _____ E-mail Address _____	Check if: <input type="checkbox"/> Change of address <input type="checkbox"/> Amended report State Charity Registration Number _____ Corporation or Organization No. _____ Federal Employer ID No. _____
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ANNUAL REGISTRATION RENEWAL FEE SCHEDULE (11 Cal. Code Regs. sections 301-307, ~~311~~, and 3102) Make Check Payable to Department of Justice

Total Revenue	Fee	Total Revenue	Fee	Total Revenue	Fee
Less than \$50,000	\$25	Between \$250,001 and \$1 million	\$100	Between \$20,000,001 and \$100 million	\$800
Between \$50,000 and \$100,000	\$50	Between \$1,000,001 and \$5 million	\$200	Between \$100,000,001 and \$500 million	\$1,000
Between \$100,001 and \$250,000	\$75	Between \$5,000,001 and \$20 million	\$400	Greater than \$500 million	\$1,200

PART A - ACTIVITIES

For your most recent full accounting period (beginning ____ / ____ / ____ ending ____ / ____ / ____) list:

Total Revenue \$ (including noncash contributions) _____	Noncash Contributions \$ _____	Total Assets \$ _____
Program Expenses \$ _____	Total Expenses \$ _____	

PART B - STATEMENTS REGARDING ORGANIZATION DURING THE PERIOD OF THIS REPORT

Note: All questions must be answered. If you answer "yes" to any of the questions below, you must attach a separate page providing an explanation and details for each "yes" response. Please review RRF-1 instructions for information required.

	Yes	No
1. During this reporting period, were there any contracts, loans, leases or other financial transactions between the organization and any officer, director or trustee thereof, either directly or with an entity in which any such officer, director or trustee had any financial interest?		
2. During this reporting period, was there any theft, embezzlement, diversion or misuse of the organization's charitable property or funds?		
3. During this reporting period, were any organization funds used to pay any penalty, fine or judgment?		
4. During this reporting period, were the services of a commercial fundraiser, fundraising counsel for charitable purposes, or commercial coventurer used?		
5. During this reporting period, did the organization receive any governmental funding?		
6. During this reporting period, did the organization hold a raffle for charitable purposes?		
7. Does the organization conduct a vehicle donation program?		
8. Did the organization conduct an independent audit and prepare audited financial statements in accordance with generally accepted accounting principles for this reporting period?		
9. At the end of this reporting period, did the organization hold restricted net assets, while reporting negative unrestricted net assets?		

I declare under penalty of perjury that I have examined this report, including accompanying documents, and to the best of my knowledge and belief, the content is true, correct and complete, and I am authorized to sign.

_____ Signature of Authorized Agent	_____ Printed Name	_____ Title	_____ Date
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Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, §§ 300-~~346~~ et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov or (916) 210-6400.

MAIL TO:
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470
(916) 210-6400

WEBSITE ADDRESS:
www.oag.ca.gov/charities

INSTRUCTION FOR FILING ANNUAL REGISTRATION RENEWAL FEE REPORT TO ATTORNEY GENERAL OF CALIFORNIA

Section 12586 and 12587, California Government Code
11 Cal. Code Regs. section 301-3076, 309, 314, and 3102

(FORM RRF-1)



The purpose of the Annual Registration Renewal Fee Report (Form RRF-1) is to assist the Attorney General's Office with early detection of charity fiscal mismanagement and unlawful diversion of charitable assets.

WHO MUST FILE A FORM RRF-1?

Every charitable nonprofit corporation, unincorporated association or trustee holding assets for charitable purposes that is required to register with the Attorney General's Office is also required to annually file Form RRF-1, even if the corporation does not file Form 990s annually or is on extended reporting with the Internal Revenue Service. Only those charitable entities and trustees required by law to register with the Attorney General are required to file Form RRF-1. Entities exempt from the filing requirement include:

- (1) a government agency,
- (2) a religious corporation sole,
- (3) a cemetery corporation regulated under Chapter 12 of Division 3 of the Business and Professions Code,
- (4) a political committee defined in Section 82013 of the California Government Code which is required to and which does file with the Secretary of State any statement pursuant to the provisions of Article 2 (commencing with Section 84200) of Chapter 4 of Title 9,
- (5) a charitable corporation organized and operated primarily as a religious organization, educational institution or hospital,
- (6) a health care service plan that is licensed pursuant to Section 1349 of the Health and Safety Code and reports annually to the Department of Managed Health Care,
- (7) corporate trustees which are subject to the jurisdiction of the Commissioner of Financial Institutions of the State of California or to the Comptroller of Currency of the United States. However, for testamentary trusts, such trustees should file a copy of a complete annual financial summary which is prepared in the ordinary course of business. See Probate Code sections 16060-16063.

WHAT TO FILE

ALL REGISTRANTS, regardless of the amount of total revenue, must file Form RRF-1 with the Attorney General's Registry of Charitable Trusts no later than four months and fifteen days after the organization's accounting period ends (May 15 for calendar year filers).

A copy of IRS Form 990, 990-PF, 990-EZ, or 1120 as filed with IRS, together with all attachments and schedules, must be filed with the Attorney General's Registry of Charitable Trusts, together with Form RRF-1. Schedule B is not required. Organizations whose revenue falls below the threshold for filing IRS Form 990-EZ shall file Form RRF-1 with the Registry, together with a treasurer's report (CT-TR-1) sufficient to identify and account for revenue, assets and disbursements. [See instructions for Form CT-TR-1.]

EXTENSIONS FOR FILING

Extensions of time for filing Form RRF-1 will be allowed if an organization has received an extension from the Internal Revenue Service for filing the IRS Form 990, 990-PF, 990-EZ, or 1120. An organization shall file both forms (RRF-1 and IRS Form 990, 990-PF, 990-EZ, or 1120) with the Registry of Charitable Trusts at the same time, together with (1) the applicable renewal fee; and (2) a copy of all requests to IRS for an extension and, where approval of the extension is not automatic, a copy of each approved extension request. IT IS NOT NECESSARY TO SEND A COPY OF THE EXTENSION REQUEST PRIOR TO FILING THE REPORT.

ANNUAL REGISTRATION RENEWAL FEE

All registrants must include with Form RRF-1 the appropriate registration renewal fee based on the registrant's total revenue (the reported total revenue on Form 990, 990-PF, 990-EZ, or CT-TR-1) for the preceding fiscal year, as follows:

Total Revenue	Fee
Less than \$50,000	\$25
Between \$50,000 and \$100,000	\$50
Between \$100,001 and \$250,000	\$75
Between \$250,001 and \$1 million	\$100
Between \$1,000,001 and \$5 million	\$200
Between \$5,000,001 and \$20 million	\$400
Between \$20,000,001 and \$100 million	\$800
Between \$100,000,001 and \$500 million	\$1,000
Greater than \$500 million	\$1,200

NOTE: A REGISTRATION FEE IS NOT DUE WITH AN AMENDED REPORT FOR ANY REPORT PERIOD IN WHICH A FEE HAS ALREADY BEEN PAID UNLESS AN AMENDED REPORT CHANGES THE AMOUNT OF THE FEE DUE.

STATE CHARITY REGISTRATION NUMBER

The State Charity Registration Number is the Charitable Trust (CT) number assigned to an organization by the Registry of Charitable Trusts at the time of registration. If you do not know the organization's State Charity Registration Number, you may look it up using the Registry Search feature on the Attorney General's website at www.oag.ca.gov/charities. If you are unable to locate the State Charity Registration Number, leave that line blank and Registry staff will insert the number when it is received in the Registry of Charitable Trusts.

OTHER IDENTIFICATION NUMBERS

The corporation number is a seven-digit number assigned by the Office of the Secretary of State and is stamped on the organization's articles of incorporation.

The organization number is a seven-digit number assigned by the Franchise Tax Board for non-corporate entities.

The Federal Employer Identification Number is a nine-digit number assigned by the Internal Revenue Service.

The following will assist you in responding to the questions on Form RRF-1:

PART A

Provide the beginning and ending dates of the most recent full accounting period (Month/Day/Year). An accounting period may be by calendar year (ex: 01/01/2016-12/31/2016) or by fiscal year (ex: 07/01/2016-06/30/2017).

For each amount, report only whole dollars without rounding (e.g., \$100.99 should be reported as \$100).

Total Revenue - is the amount earned and received during the current year and it includes all contributions (including noncash contributions), gifts, grants, investment income, membership dues, program service revenues, special event revenue, and other revenue. For charities reporting to the IRS it is the amount reported as total revenue on IRS Form 990, Part 1, line 12; IRS Form 990-EZ, Part 1, line 9; IRS Form 990-PF, Part 1, line 12.

Noncash Contributions - Are noncash donations made to a charity. Common examples are donations of food, clothing, equipment, pharmaceutical and medical supplies. Noncash contributions exclude contributions made by cash, check, electronic funds transfer, debit card, credit card, or payroll deduction. For charities reporting to the IRS it is the amount reported to the IRS Form 990, Part VIII, line 1g.

Total Assets - Are resources owned by the charity which have current or future economic value that can be measured. For charities reporting to the IRS it is the amount reported in IRS Form 990, Part X, line 16, column (B); IRS Form 990-EZ, Part II, line 25, column (B); and IRS Form 990-PF, Part II, line 16, column (B).

Program Expenses - Are expenses incurred by the organization to further its exempt purposes. For charities reporting to the IRS it is the amount reported in IRS Form 990, Part IX, line 25, column (B); IRS Form 990-EZ, Part III, line 32; and IRS Form 990-PF, Part 1, line 26, column (d). If you are not required to file Form 990, 990-EZ, nor 990-PF with the IRS because total annual revenue was under \$50,000, and are instead filing the required Form CT-TR-1, leave Program Expense blank.

Total Expenses - Are all expenses paid or incurred by the organization including program expenses, fundraising expenses, employee salary & wages, accounting, depreciation, management and administrative expenses. For charities reporting to the IRS it is the amount reported in IRS Form 990, Part IX, line 25, column (A); IRS Form 990-EZ, Part 1, line 17; and IRS Form 990-PF, Part 1, line 26, column (a).

PART B

PART B, QUESTION #1

If "yes," provide the following information on the attachment:

- 1) Full name of the director, trustee, or officer involved and position with the organization.
- 2) Nature of the transaction, e.g., loan to director, contract with officer's business, etc.
- 3) Attach a copy of the board of directors' meeting minutes authorizing the transaction.
- 4) Include, if applicable, the date of transaction; purpose of transaction; amount of the loan or contract; interest rates; repayment terms; balance due; type of collateral provided; copy of contract, loan or other agreement; amount paid to director, trustee, or officer for the period; evidence of other bids received related to the transaction.

PART B, QUESTION #2

If "yes," provide the following information on the attachment:

- 1) Nature, date, amount of loss, and parties involved.
- 2) Description of the steps the organization took to recover the loss. Attach a copy of any police and/or insurance report.
- 3) Description of the procedures the organization implemented to prevent a recurrence of the situation.

PART B, QUESTION #3

If "yes," provide the following information on the attachment:

- 1) Description of the fine, penalty, or judgment and the circumstances that resulted in the payment, together with the name and title of the person(s) responsible and why the payment was made with the organization's funds.
- 2) Name of the organization or government agency that issued the fine, penalty or judgment, the amount and date of payment.
- 3) Copies of all communications with any governmental agency regarding the fine, penalty, or judgment.
- 4) Description of procedures the organization implemented to prevent a reoccurrence of the fine, penalty, or judgment.

PART B, QUESTION #4

If "yes," provide an attachment listing the name, mailing address, telephone number, and e-mail address of each commercial fundraiser, fundraising counsel, or commercial coventurer.

PART B, QUESTION #5

If "yes," provide an attachment listing the name of each funding source, the name of the agency, mailing address, contact person, and telephone number. **Do not submit IRS Schedule B as a response to this question.** The required attachment must be made available for public viewing.

PART B, QUESTION #6

If "yes," provide an attachment listing the date of each raffle.

PART B, QUESTION #7

If "yes," provide an attachment describing whether the vehicle donation program is operated by the charity or a commercial fundraiser, together with the name, mailing address, telephone number and e-mail address for each commercial fundraiser.

PART B, QUESTION #8

If you received over \$2 million in total revenue, as reported on IRS Form 990, 990-PF or 990-EZ, audited financial statements using generally accepted accounting principles are required. Audit must be conducted by independent certified public accountant in conformity with generally accepted auditing standards. You may deduct from total revenue grants and contracts for services with governmental entities for which the governmental entity requires an accounting of how grant funds were spent (to the issuing government entity).

PART B, QUESTION #9

"Restricted assets" are assets the charity holds that may be used only for a specific purpose. The restriction may come from the governing documents, a condition imposed by the donor, or the solicitation that led to the donation. Examples of restrictions are endowment funds, building funds, gifts for specific purposes, and fiscally-sponsored projects. For organizations filing the IRS Form 990, refer to the Balance Sheet. If the line reporting net assets without donor restrictions is a negative number, and the line reporting net assets with donor restrictions is a positive number, answer "yes."

If "yes," provide the following information on the attachment:

- 1) A written statement confirming that all restricted funds were used consistent with their restricted purpose, and explaining why unrestricted net assets were negative at the end of the reporting period, and
- 2) Proof of directors' and officers' liability insurance coverage. Please include a cover note stating "confidential" when submitting the proof of insurance.

SIGNATURE

A signature of an authorized agent is required. An authorized agent may be the president or chief executive officer, treasurer or chief financial officer of a public benefit corporation; or a trustee if the organization is a trust; or other authorized agent of the organization. Signatures do not need to be original inked signature. Copies or electronic signatures are acceptable.



Complete to provide narrative answers for specific questions on Form PL-4, when the questions permit use of Attachment 1. Specify the Part and Question number the response is for (e.g., Part B, Question 2). If additional sheets are needed, provide answers on an additional PDF with the registrant's name and registration number.



Blank lined area for text entry.



Complete to provide narrative answers that contain reasonably deemed, in good faith, confidential or trade secret information, in response to specific questions on Form PL-4, that permit use of Attachment 2. Specify the Part and Question number the response is for (e.g., Part B, Question 6). For each answer, explain why the information is reasonably deemed, in good faith, to be confidential or trade secret information. If an explanation is not given, the filing is deemed incomplete. Attachment 2 is the only portion of Form PL-4 not made publicly available. If the Attorney General finds information to be incorrectly deemed confidential or trade secret information, the Form PL-4 filing is required to be amended, and may result in the issuance of a cease and desist order, assessment of penalties, or other administrative action. See California Code of Regulations, title 11, section 315, subdivision (g).