

ENDORSED
FILED
ALAMEDA COUNTY

NOV 17 2015

CLERK OF THE SUPERIOR COURT

By ~~Xian-Xii Bowie~~

1 RICHARD T. DRURY (CBN 163559)
2 RICHARD M. FRANCO (CBN 170970)
3 LOZEAU | DRURY LLP
4 410 12th Street, Suite 250
5 Oakland, CA 94607
6 Ph: 510-836-4200
7 Fax: 510-836-4205
8 Email: richard@lozeaudrury.com
9 rick@lozeaudrury.com

10 Attorneys for Plaintiff
11 ENVIRONMENTAL RESEARCH CENTER, INC.

12 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**

13 **COUNTY OF ALAMEDA**

14 ENVIRONMENTAL RESEARCH CENTER,
15 INC., a non-profit California corporation,

16 Plaintiff,

17 v.

18 IMMUNOTEC, INC., a Canadian Corporation
19 IMMUNOTEC RESEARCH, INC., a
20 Delaware corporation, and IMMUNOTEC
21 RESEARCH LTD., a Canadian Limited
22 Liability Company.

23 Defendants.

Case No.

RG 15793605

**COMPLAINT FOR INJUNCTIVE
RELIEF AND CIVIL PENALTIES**

Health & Safety Code §25249.5, *et seq.*

24 Plaintiff Environmental Research Center, Inc. ("PLAINTIFF" or "ERC") brings this
25 action in the interests of the general public and, on information and belief, hereby alleges:

26 **INTRODUCTION**

1. This action seeks to remedy the continuing failure of Defendants
IMMUNOTEC, INC., IMMUNOTEC RESEARCH, INC., and IMMUNOTEC RESEARCH
LTD., (collectively "IMMUNOTEC" or "DEFENDANTS") to warn consumers in California
that they are being exposed to lead, a substance known to the State of California to cause

1 cancer, birth defects, and other reproductive harm. DEFENDANTS manufacture, package,
2 distribute, market, and/or sell in California certain products containing lead (collectively, the
3 “PRODUCTS”):

- 4 • **Immunotec Research Inc. Immunotec Thermal Action**
- 5 • **Immunotec Research Inc. Immunotec MiForm Shake Vanilla**
- 6 • **Immunotec Research Inc. Immunotec MiForm Shake Chocolate**

7 2. Lead (hereinafter, the “LISTED CHEMICAL”) is a substance known to the
8 State¹ of California to cause cancer, birth defects, and other reproductive harm.

9 3. The use and/or handling of the PRODUCTS cause exposures to the LISTED
10 CHEMICAL at levels requiring a “clear and reasonable warning” under California's Safe
11 Drinking Water and Toxic Enforcement Act of 1986, Health & Safety Code (“H&S Code”)
12 §25249.5, *et seq.* (also known as “Proposition 65”). DEFENDANTS have failed to provide the
13 health hazard warnings required by Proposition 65.

14 4. DEFENDANTS’ past sales and continued manufacturing, packaging,
15 distributing, marketing and/or sales of the PRODUCTS without the required health hazard
16 warnings, cause individuals to be involuntarily and unwittingly exposed to levels of the
17 LISTED CHEMICAL that violate Proposition 65.

18 5. PLAINTIFF seeks injunctive relief enjoining DEFENDANTS from the
19 continued manufacturing, packaging, distributing, marketing and/or sales of the PRODUCTS
20 in California without provision of clear and reasonable warnings regarding the risks of cancer,
21 birth defects, and other reproductive harm posed by exposure to the LISTED CHEMICAL
22 through the use and/or handling of the PRODUCTS. PLAINTIFF seeks an injunctive order
23 compelling DEFENDANTS to bring their business practices into compliance with Proposition
24 65 by providing a clear and reasonable warning to each individual who has been and who in
25

26 _____
¹ All statutory and regulatory references herein are to California law, unless otherwise specified.

1 the future may be exposed to the LISTED CHEMICAL from the use of the PRODUCTS.
2 PLAINTIFF also seeks an order compelling DEFENDANTS to identify and locate each
3 individual person who in the past has purchased the PRODUCTS, and to provide to each such
4 purchaser a clear and reasonable warning that the use of the PRODUCTS will cause exposures
5 to the LISTED CHEMICAL.

6 6. In addition to injunctive relief, PLAINTIFF seeks an assessment of civil
7 penalties up to the maximum civil penalty of \$2,500 per day per exposure authorized by
8 Proposition 65 to remedy DEFENDANTS' failure to provide clear and reasonable warnings
9 regarding exposures to the LISTED CHEMICAL.

10 **JURISDICTION AND VENUE**

11 7. This Court has jurisdiction over this action pursuant to California Constitution
12 Article VI, Section 10, which grants the Superior Court "original jurisdiction in all causes
13 except those given by statute to other trial courts." The statute under which this action is
14 brought does not specify any other basis for jurisdiction.

15 8. This Court has jurisdiction over DEFENDANTS because, based on information
16 and belief, DEFENDANTS are businesses having sufficient minimum contacts with California,
17 or otherwise intentionally availing themselves of the California market through the distribution
18 and sale of the PRODUCTS in the State of California to render the exercise of jurisdiction over
19 them by the California courts consistent with traditional notions of fair play and substantial
20 justice.

21 9. Venue in this action is proper in the Alameda Superior Court because the
22 DEFENDANTS have violated California law in the County of Alameda.

23 10. On August 28, 2015, PLAINTIFF sent a 60-Day Notice of Proposition 65
24 ("Notice") violations to the requisite public enforcement agencies, and to DEFENDANTS.
25 The Notice was issued pursuant to, and in compliance with, the requirements of H&S Code
26 §25249.7(d) and the statute's implementing regulations regarding the notice of the violations to

1 be given to certain public enforcement agencies and to the violators. The Notice included,
2 *inter alia*, the following information: the name, address, and telephone number of the noticing
3 individuals; the name of the alleged violator; the statute violated; the approximate time period
4 during which violations occurred; and descriptions of the violations, including the chemicals
5 involved, the routes of toxic exposure, and the specific product or type of product causing the
6 violations, and was issued as follows:

- 7 a. DEFENDANTS were provided a copy of the Notice by Certified Mail.
- 8 b. DEFENDANTS were provided a copy of a document entitled “The Safe
9 Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65): A
10 Summary,” which is also known as Appendix A to Title 27 of CCR §25903.
- 11 c. The California Attorney General was provided a copy of the Notice via
12 online submission.
- 13 d. The California Attorney General was provided with a Certificate of Merit by
14 the attorney for the noticing parties, stating that there is a reasonable and
15 meritorious case for this action, and attaching factual information sufficient
16 to establish a basis for the certificate, including the identity of the persons
17 consulted with and relied on by the certifier, and the facts, studies, or other
18 data reviewed by those persons, pursuant to H&S Code §25249.7(h) (2).

19 11. At least 60 days have elapsed since PLAINTIFF sent the NOTICE to
20 DEFENDANTS. The appropriate public enforcement agencies have failed to commence and
21 diligently prosecute a cause of action under H&S Code §25249.5, *et seq.* against
22 DEFENDANTS based on the allegations herein.

23 **PARTIES**

24 12. PLAINTIFF is a non-profit corporation organized under California’s
25 Corporation Law. ERC is dedicated to, among other causes, reducing the use and misuse of
26 hazardous and toxic substances, consumer protection, worker safety, and corporate

1 responsibility.

2 13. ERC is a person within the meaning of H&S Code §25118 and brings this
3 enforcement action in the public interest pursuant to H&S Code §25249.7(d).

4 14. ERC is informed and believes that DEFENDANT IMMUNOTEC, INC. is
5 a Canadian Corporation and is a person doing business within the meaning of H&S Code
6 §25249.11.

7 15. DEFENDANT IMMUNOTEC RESEARCH, INC. is a corporation organized
8 under the State of Delaware's Corporation Law and is a person doing business within the
9 meaning of H&S Code §25249.11.

10 16. ERC is informed and believes that DEFENDANT IMMUNOTEC RESEARCH,
11 LTD. is a limited liability company organized under Canadian Corporation Law and is a person
12 doing business within the meaning of H&S Code §25249.11.

13 17. DEFENDANTS have manufactured, packaged, distributed, marketed and /or
14 sold the PRODUCTS for sale or use in California and the County of Alameda. ERC is
15 informed and believes, and thereupon alleges, that DEFENDANTS continue to manufacture,
16 package, distribute, market and/or sell the PRODUCTS for sale or use in California and in
17 Alameda County.

18 **STATUTORY BACKGROUND**

19 18. The People of the State of California have declared in Proposition 65 their right
20 "[t]o be informed about exposures to chemicals that cause cancer, birth defects, or other
21 reproductive harm." (Section 1(b) of Initiative Measure, Proposition 65).

22 19. To effect this goal, Proposition 65 requires that individuals be provided with a
23 "clear and reasonable warning" before being exposed to substances listed by the State of
24 California as causing cancer or reproductive toxicity. H&S Code §25249.6 states, in pertinent
25 part:

26 No person in the course of doing business shall knowingly and intentionally
expose any individual to a chemical known to the state to cause cancer or

1 reproductive toxicity without first giving clear and reasonable warning to such
2 individual....

3 20. “‘Knowingly’ refers only to knowledge of the fact that a discharge of, release of,
4 or exposure to a chemical listed pursuant to Section 25249.8(a) of the Act is occurring. No
5 knowledge that the discharge, release or exposure is unlawful is required.” (27 California Code
6 of Regulations (“CCR”) § 25102(n).)

7 21. Proposition 65 provides that any “person who violates or threatens to violate” the
8 statute may be enjoined in a court of competent jurisdiction. (H&S Code §25249.7). The phrase
9 “threaten to violate” is defined to mean creating “a condition in which there is a substantial
10 probability that a violation will occur” (H&S Code §25249.11(e)). Violators are liable for civil
11 penalties of up to \$2,500 per day for each violation of the Act. (H&S Code §25249.7.)

12 **FACTUAL BACKGROUND**

13 22. On February 27, 1987, the State of California officially listed the chemical lead
14 as a chemical known to cause reproductive toxicity. Lead became subject to the warning
15 requirement one year later and was therefore subject to the “clear and reasonable” warning
16 requirements of Proposition 65 beginning on February 27, 1988. (27 CCR § 25000, *et seq.*;
17 H&S Code §25249.5, *et seq.*). Due to the high toxicity of lead, the maximum allowable dose
18 level for lead is 0.5 ug/day (micrograms a day) for reproductive toxicity. (27 CCR
19 § 25805(b).)

20 23. On October 1, 1992, the State of California officially listed the chemicals lead
21 and lead compounds as chemicals known to cause cancer. Lead and lead compounds became
22 subject to the warning requirement one year later and were therefore subject to the “clear and
23 reasonable” warning requirements of Proposition 65 beginning on October 1, 1993 (27 CCR §
24 25000, *et seq.*; H&S Code §25249.6 *et seq.*). Due to the carcinogenicity of lead, the no
25 significant risk level for lead is 15 ug/day (micrograms a day). (27 CCR § 25705(b)(1).)

26 24. To test DEFENDANTS’ PRODUCTS for lead, PLAINTIFF hired a well-
respected and accredited testing laboratory. The results of testing undertaken by PLAINTIFF

1 of DEFENDANTS' PRODUCTS show that the PRODUCTS tested were in violation of the 0.5
2 ug/day for lead "safe harbor" daily dose limits set forth in Proposition 65's regulations. Very
3 significant is the fact that people are being exposed to lead through ingestion as opposed to
4 other not as harmful methods of exposure such as dermal exposure. Ingestion of lead produces
5 much higher exposure levels and health risks than dermal exposure to this chemical.

6 25. At all times relevant to this action, DEFENDANTS, therefore, have knowingly
7 and intentionally exposed the users and/or handlers of the PRODUCTS to the LISTED
8 CHEMICAL without first giving a clear and reasonable warning to such individuals.

9 26. The PRODUCTS have allegedly been sold by DEFENDANTS for use in
10 California since at least August 28, 2012. The PRODUCTS continue to be distributed
11 and sold in California without the requisite warning information.

12 27. On August 28, 2015, ERC served DEFENDANTS and each of the appropriate
13 public enforcement agencies with a Proposition 65 Notice, a document entitled "Notice of
14 Violations of California Health & Safety Code Section 25249.5" that provided DEFENDANTS
15 and the public enforcement agencies with notice that DEFENDANTS were in violation of
16 Proposition 65 for failing to warn purchasers and individuals using the PRODUCTS that the
17 use of the PRODUCTS exposes them to lead, a chemical known to the State of California to
18 cause cancer and/or reproductive toxicity (a true and copy of the 60-Day Notice is attached
19 hereto as **Exhibit A** and incorporated by reference).

20 28. As a proximate result of acts by DEFENDANTS, as persons in the course of
21 doing business within the meaning of Health & Safety Code §25249.11, individuals throughout
22 the State of California, including in the County of Alameda, have been exposed to the LISTED
23 CHEMICAL without a clear and reasonable warning. The individuals subject to the illegal
24 exposures include normal and foreseeable users of the PRODUCTS, as well as all other
25 persons exposed to the PRODUCTS.

26 ///

FIRST CAUSE OF ACTION

(Injunctive Relief for Violations of Health and Safety Code § 25249.5, *et seq.* concerning the PRODUCTS described in the August 28, 2015, Prop. 65 Notice)

Against DEFENDANTS

30. PLAINTIFF re-alleges and incorporates by reference Paragraphs 1 through 29, inclusive, as if specifically set forth herein.

31. By committing the acts alleged in this Complaint, DEFENDANTS, at all times relevant to this action, and continuing through the present, have violated H&S Code §25249.6 by, in the course of doing business, knowingly and intentionally exposing individuals who use or handle the PRODUCTS set forth in the Notice to the LISTED CHEMICAL, without first providing a clear and reasonable warning to such individuals pursuant to H&S Code §§ 25249.6 and 25249.11(f).

32. By the above-described acts, DEFENDANTS have violated H&S Code § 25249.6 and are therefore subject to an injunction ordering DEFENDANTS to stop violating Proposition 65, to provide warnings to all present and future customers, and to provide warnings to DEFENDANTS' past customers who purchased or used the PRODUCTS without receiving a clear and reasonable warning.

33. An action for injunctive relief under Proposition 65 is specifically authorized by Health & Safety Code §25249.7(a).

34. Continuing commission by DEFENDANTS of the acts alleged above will irreparably harm the citizens of the State of California, for which harm they have no plain, speedy, or adequate remedy at law.

Wherefore, PLAINTIFF prays for judgment against DEFENDANTS, as set forth hereafter.

///

///

///

1 A. a preliminary and permanent injunction, pursuant to H&S Code §25249.7(b),
2 enjoining DEFENDANTS, their agents, employees, assigns and all persons acting in concert or
3 participating with DEFENDANTS, from distributing or selling the PRODUCTS in California
4 without first providing a clear and reasonable warning, within the meaning of Proposition 65,
5 that the users and/or handlers of the PRODUCTS are exposed to the LISTED CHEMICAL;

6 B. an injunctive order, pursuant to H&S Code §25249.7(b), compelling
7 DEFENDANTS to identify and locate each individual who has purchased the PRODUCTS
8 since August 28, 2012, and to provide a warning to such person that the use of the
9 PRODUCTS will expose the user to chemicals known to birth defects and other reproductive
10 harm;

11 C. an assessment of civil penalties pursuant to Health & Safety Code §25249.7(b),
12 against DEFENDANTS in the amount of \$2,500 per day for each violation of Proposition 65;

13 D. an award to PLAINTIFF of its reasonable attorney's fees and costs of suit
14 pursuant to California Code of Civil Procedure §1021.5, as PLAINTIFF shall specify in further
15 application to the Court; and,

16 E. such other and further relief as may be just and proper.

17
18 DATED: November 17, 2015

LOZEAU | DRURY LLP

19
20 

21 Richard T. Drury
22 Richard M. Franco
23 Attorneys for Plaintiff
24 Environmental Research Center, Inc.
25
26

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26

EXHIBIT A



T 510.836.4200
F 510.836.4205

410 12th Street, Suite 250
Oakland, Ca 94607

www.lozeaudrury.com
richard@lozeaudrury.com

VIA CERTIFIED MAIL

Current CEO or President
Immunotec Inc.
300 Joseph Carrier
Vaudreuil-Dorion, Quebec J7V 5V5
Canada

Current CEO or President
Immunotec Inc.
5895 Rickenbacker Road
Commerce, CA 90040

Current CEO or President
Immunotec Inc.
3 Label Lane
Swanton, Vermont 05488

Current CEO or President
Immunotec Inc.
445 South Royal Lane, Suite 800
Coppell, TX 75019

Current CEO or President
Immunotec Research Inc.
300 Joseph Carrier
Vaudreuil-Dorion, Quebec J7V 5V5
Canada

Current CEO or President
Immunotec Research Inc.
5895 Rickenbacker Road
Commerce, CA 90040

Current CEO or President
Immunotec Research Inc.
3 Label Lane
Swanton, Vermont 05488

VIA CERTIFIED MAIL

Current CEO or President
Immunotec Research Inc.
445 South Royal Lane, Suite 800
Coppell, TX 75019

Current CEO or President
Immunotec Research Ltd.
300 Joseph Carrier
Vaudreuil-Dorion, Quebec J7V 5V5
Canada

Current CEO or President
Immunotec Research Ltd.
5895 Rickenbacker Road
Commerce, CA 90040

Current CEO or President
Immunotec Research Ltd.
3 Label Lane
Swanton, Vermont 05488

Current CEO or President
Immunotec Research Ltd.
445 South Royal Lane, Suite 800
Coppell, TX 75019

Paracorp Incorporated
(Immunotec Research Inc.'s
Registered Agent for Service of Process)
2804 Gateway Oaks Drive, #200
Sacramento, CA 95833

Corporation Service Company
(Immunotec Research Inc.'s
Registered Agent for Service of Process)
2711 Centerville Road, Suite 400
Wilmington, DE 19808

VIA ONLINE SUBMISSION

Office of the California Attorney General

VIA PRIORITY MAIL

District Attorneys of All California Counties
and Select City Attorneys
(See Attached Certificate of Service)

Re: Notice of Violations of California Health & Safety Code Section 25249.5 *et seq.*

Dear Addressees:

I represent Environmental Research Center, Inc. (“ERC”) in connection with this Notice of Violations of California’s Safe Drinking Water and Toxic Enforcement Act of 1986, which is codified at California Health & Safety Code Section 25249.5 *et seq.* and also referred to as Proposition 65.

ERC is a California non-profit corporation dedicated to, among other causes, helping safeguard the public from health hazards by bringing about a reduction in the use and misuse of hazardous and toxic chemicals, facilitating a safe environment for consumers and employees, and encouraging corporate responsibility.

The names of the Companies covered by this notice that violated Proposition 65 (hereinafter the “Violators”) are:

**Immunotec Inc.
Immunotec Research Inc.
Immunotec Research Ltd.**

The products that are the subject of this notice and the chemical in those products identified as exceeding allowable levels are:

- **Immunotec Research Inc. Immunotec Thermal Action - Lead**
- **Immunotec Research Inc. Immunotec MiForm Shake Vanilla - Lead**
- **Immunotec Research Inc. Immunotec MiForm Shake Chocolate - Lead**

On February 27, 1987, the State of California officially listed lead as a chemical known to cause developmental toxicity, and male and female reproductive toxicity. On October 1, 1992, the State of California officially listed lead and lead compounds as chemicals known to cause cancer.

This letter is a notice to the Violators and the appropriate governmental authorities of the Proposition 65 violations concerning the listed products. This notice covers all violations of Proposition 65 involving the Violators currently known to ERC from the information now available. ERC may continue to investigate other products that may reveal further violations. A summary of Proposition 65, prepared by the Office of Environmental Health Hazard Assessment, is enclosed with the copy of this letter to the Violators.

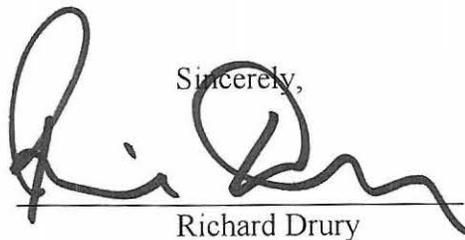
August 28, 2015

Page 3

The Violators have manufactured, marketed, distributed, and/or sold the listed products, which have exposed and continue to expose numerous individuals within California to the identified chemical, lead. The consumer exposures that are the subject of this notice result from the purchase, acquisition, handling and/or recommended use of these products by consumers. The primary route of exposure to lead has been through ingestion, but may have also occurred through inhalation and/or dermal contact. Proposition 65 requires that a clear and reasonable warning be provided prior to exposure to lead. The method of warning should be a warning that appears on the product's label. The Violators violated Proposition 65 because they failed to provide an appropriate warning to persons using and/or handling these products that they are being exposed to lead. Each of these ongoing violations has occurred on every day since August 28, 2012, as well as every day since the products were introduced in the California marketplace, and will continue every day until clear and reasonable warnings are provided to product purchasers and users.

Pursuant to Section 25249.7(d) of the statute, ERC intends to file a citizen enforcement action sixty days after effective service of this notice unless the Violators agree in an enforceable written instrument to: (1) reformulate the listed products so as to eliminate further exposures to the identified chemical; (2) pay an appropriate civil penalty; and (3) provide clear and reasonable warnings compliant with Proposition 65 to all persons located in California who purchased the above products in the last three years. Consistent with the public interest goals of Proposition 65 and my client's objectives in pursuing this notice, ERC is interested in seeking a constructive resolution to this matter. Such resolution will avoid both further unwarned consumer exposures to the identified chemical and expensive and time consuming litigation.

ERC's Executive Director is Chris Heptinstall, and is located at 3111 Camino Del Rio North, Suite 400, San Diego, CA 92108; Tel. 619-500-3090. ERC has retained me in connection with this matter. We suggest that communications regarding this Notice of Violations should be directed to my attention at the above listed law office address and telephone number.

Sincerely,

Richard Drury

Attachments

Certificate of Merit

Certificate of Service

OEHHA Summary (to Immunotec Inc., Immunotec Research Inc., Immunotec Research Ltd., and their Registered Agents for Service of Process only)

Additional Supporting Information for Certificate of Merit (to AG only)

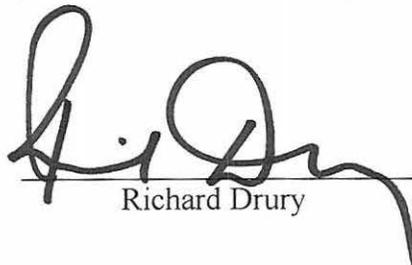
CERTIFICATE OF MERIT

Re: Environmental Research Center, Inc.'s Notice of Proposition 65 Violations by Immunotec Inc., Immunotec Research Inc., and Immunotec Research Ltd.

I, Richard Drury, declare:

1. This Certificate of Merit accompanies the attached sixty-day notice in which it is alleged the parties identified in the notice violated California Health & Safety Code Section 25249.6 by failing to provide clear and reasonable warnings.
2. I am an attorney for the noticing party.
3. I have consulted with one or more persons with relevant and appropriate experience or expertise who have reviewed facts, studies, or other data regarding the exposure to the listed chemical that is the subject of the notice.
4. Based on the information obtained through those consultants, and on other information in my possession, I believe there is a reasonable and meritorious case for the private action. I understand that "reasonable and meritorious case for the private action" means that the information provides a credible basis that all elements of the plaintiff's case can be established and that the information did not prove that the alleged Violators will be able to establish any of the affirmative defenses set forth in the statute.
5. Along with the copy of this Certificate of Merit served on the Attorney General is attached additional factual information sufficient to establish the basis for this certificate, including the information identified in California Health & Safety Code §25249.7(h)(2), i.e., (1) the identity of the persons consulted with and relied on by the certifier, and (2) the facts, studies, or other data reviewed by those persons.

Dated: August 28, 2015


Richard Drury

CERTIFICATE OF SERVICE

I, the undersigned, declare under penalty of perjury under the laws of the State of California that the following is true and correct:

I am a citizen of the United States, over the age of 18 years of age, and am not a party to the within entitled action. My business address is 306 Joy Street, Fort Oglethorpe, Georgia 30742. I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail at Fort Oglethorpe, Georgia.

On August 28, 2015, I served the following documents: **NOTICE OF VIOLATIONS OF CALIFORNIA HEALTH & SAFETY CODE §25249.5 ET SEQ.; CERTIFICATE OF MERIT; “THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY”** on the following parties by placing a true and correct copy thereof in a sealed envelope, addressed to the party listed below and depositing it in a U.S. Postal Service Office with the postage fully prepaid for delivery by Certified Mail:

Current CEO or President
Immunotec Inc.
300 Joseph Carrier
Vaudreuil-Dorion, Quebec J7V 5V5
Canada

Current CEO or President
Immunotec Research Inc.
445 South Royal Lane, Suite 800
Coppell, TX 75019

Current CEO or President
Immunotec Inc.
5895 Rickenbacker Road
Commerce, CA 90040

Current CEO or President
Immunotec Research Ltd.
300 Joseph Carrier
Vaudreuil-Dorion, Quebec J7V 5V5
Canada

Current CEO or President
Immunotec Inc.
3 Label Lane
Swanton, Vermont 05488

Current CEO or President
Immunotec Research Ltd.
5895 Rickenbacker Road
Commerce, CA 90040

Current CEO or President
Immunotec Inc.
445 South Royal Lane, Suite 800
Coppell, TX 75019

Current CEO or President
Immunotec Research Ltd.
3 Label Lane
Swanton, Vermont 05488

Current CEO or President
Immunotec Research Inc.
300 Joseph Carrier
Vaudreuil-Dorion, Quebec J7V 5V5
Canada

Current CEO or President
Immunotec Research Ltd.
445 South Royal Lane, Suite 800
Coppell, TX 75019

Current CEO or President
Immunotec Research Inc.
5895 Rickenbacker Road
Commerce, CA 90040

Paracorp Incorporated
(Immunotec Research Inc.'s
Registered Agent for Service of Process)
2804 Gateway Oaks Drive, #200
Sacramento, CA 95833

Current CEO or President
Immunotec Research Inc.
3 Label Lane
Swanton, Vermont 05488

Corporation Service Company
(Immunotec Research Inc.'s
Registered Agent for Service of Process)
2711 Centerville Road, Suite 400
Wilmington, DE 19808

August 28, 2015

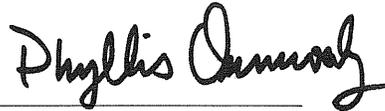
Page 6

On August 28, 2015, I verified the following documents **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ.*; CERTIFICATE OF MERIT; ADDITIONAL SUPPORTING INFORMATION FOR CERTIFICATE OF MERIT AS REQUIRED BY CALIFORNIA HEALTH & SAFETY CODE §25249.7(d)(1)** were served on the following party when a true and correct copy thereof was uploaded on the California Attorney General's website, which can be accessed at <https://oag.ca.gov/prop65/add-60-day-notice> :

Office of the California Attorney General
Prop 65 Enforcement Reporting
1515 Clay Street, Suite 2000
Oakland, CA 94612-0550

On August 28, 2015, I served the following documents: **NOTICE OF VIOLATIONS, CALIFORNIA HEALTH & SAFETY CODE §25249.5 *ET SEQ.*; CERTIFICATE OF MERIT** on each of the parties on the Service List attached hereto by placing a true and correct copy thereof in a sealed envelope, addressed to each of the parties **on the Service List attached hereto**, and depositing it with the U.S. Postal Service with the postage fully prepaid for delivery by Priority Mail.

Executed on August 28, 2015, in Fort Oglethorpe, Georgia.



Phyllis Dunwoody

Service List

District Attorney, Alameda County 1225 Fallon Street, Suite 900 Oakland, CA 94612	District Attorney, Madera County 209 West Yosemite Avenue Madera, CA 93637	District Attorney, San Joaquin County 222 E. Weber Ave. Rm. 202 Stockton, CA 95202	District Attorney, Yolo County 301 2nd Street Woodland, CA 95695
District Attorney, Alpine County P.O. Box 248 Markleeville, CA 96120	District Attorney, Marin County 3501 Civic Center Drive, Room 130 San Rafael, CA 94903	District Attorney, San Luis Obispo County 1035 Palm St, Room 450 San Luis Obispo, CA 93408	District Attorney, Yuba County 215 Fifth Street, Suite 152 Marysville, CA 95901
District Attorney, Amador County 708 Court Street Jackson, CA 95642	District Attorney, Mariposa County Post Office Box 730 Mariposa, CA 95338	District Attorney, San Mateo County 400 County Ctr., 3rd Floor Redwood City, CA 94063	Los Angeles City Attorney's Office City Hall East 200 N. Main Street, Suite 800 Los Angeles, CA 90012
District Attorney, Butte County 25 County Center Drive, Suite 245 Oroville, CA 95965	District Attorney, Mendocino County Post Office Box 1000 Ukiah, CA 95482	District Attorney, Santa Barbara County 1112 Santa Barbara Street Santa Barbara, CA 93101	San Diego City Attorney's Office 1200 3rd Avenue, Ste 1620 San Diego, CA 92101
District Attorney, Calaveras County 891 Mountain Ranch Road San Andreas, CA 95249	District Attorney, Merced County 550 W. Main Street Merced, CA 95340	District Attorney, Santa Clara County 70 West Hedding Street San Jose, CA 95110	San Francisco, City Attorney City Hall, Room 234 1 Dr Carlton B Goodlett PL San Francisco, CA 94102
District Attorney, Colusa County 346 Fifth Street Suite 101 Colusa, CA 95932	District Attorney, Modoc County 204 S Court Street, Room 202 Alturas, CA 96101-4020	District Attorney, Santa Cruz County 701 Ocean Street, Room 200 Santa Cruz, CA 95060	San Jose City Attorney's Office 200 East Santa Clara Street, 16th Floor San Jose, CA 95113
District Attorney, Contra Costa County 900 Ward Street Martinez, CA 94553	District Attorney, Mono County Post Office Box 617 Bridgeport, CA 93517	District Attorney, Shasta County 1355 West Street Redding, CA 96001	
District Attorney, Del Norte County 450 H Street, Room 171 Crescent City, CA 95531	District Attorney, Monterey County Post Office Box 1131 Salinas, CA 93902	District Attorney, Sierra County PO Box 457 Downieville, CA 95936	
District Attorney, El Dorado County 515 Main Street Placerville, CA 95667	District Attorney, Nevada County 2201 Commercial Street Nevada City, CA 95959	District Attorney, Siskiyou County Post Office Box 986 Yreka, CA 96097	
District Attorney, Fresno County 2220 Tulare Street, Suite 1000 Fresno, CA 93721	District Attorney, Orange County 401 West Civic Center Drive Santa Ana, CA 92701	District Attorney, Solano County 675 Texas Street, Ste 4500 Fairfield, CA 94533	
District Attorney, Glenn County Post Office Box 430 Willows, CA 95988	District Attorney, Placer County 10810 Justice Center Drive, Ste 240 Roseville, CA 95678	District Attorney, Sonoma County 600 Administration Drive, Room 212J Santa Rosa, CA 95403	
District Attorney, Humboldt County 825 5th Street 4 th Floor Eureka, CA 95501	District Attorney, Plumas County 520 Main Street, Room 404 Quincy, CA 95971	District Attorney, Stanislaus County 832 12th Street, Ste 300 Modesto, CA 95354	
District Attorney, Imperial County 940 West Main Street, Ste 102 El Centro, CA 92243	District Attorney, Riverside County 3960 Orange Street Riverside, CA 92501	District Attorney, Sutter County 446 Second Street Yuba City, CA 95991	
District Attorney, Inyo County 230 W. Line Street Bishop, CA 93514	District Attorney, Sacramento County 901 "G" Street Sacramento, CA 95814	District Attorney, Tehama County Post Office Box 519 Red Bluff, CA 96080	
District Attorney, Kern County 1215 Truxtun Avenue Bakersfield, CA 93301	District Attorney, San Benito County 419 Fourth Street, 2nd Floor Hollister, CA 95023	District Attorney, Trinity County Post Office Box 310 Weaverville, CA 96093	
District Attorney, Kings County 1400 West Lacey Boulevard Hanford, CA 93230	District Attorney, San Bernardino County 316 N. Mountain View Avenue San Bernardino, CA 92415-0004	District Attorney, Tulare County 221 S. Mooney Blvd., Room 224 Visalia, CA 93291	
District Attorney, Lake County 255 N. Forbes Street Lakeport, CA 95453	District Attorney, San Diego County 330 West Broadway, Suite 1300 San Diego, CA 92101	District Attorney, Tuolumne County 423 N. Washington Street Sonora, CA 95370	
District Attorney, Lassen County 220 South Lassen Street, Ste. 8 Susanville, CA 96130	District Attorney, San Francisco County 850 Bryant Street, Suite 322 San Francisco, CA 94103	District Attorney, Ventura County 800 South Victoria Ave, Suite 314 Ventura, CA 93009	
District Attorney, Los Angeles County 210 West Temple Street, Suite 18000 Los Angeles, CA 90012			

APPENDIX A

OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

THE SAFE DRINKING WATER AND TOXIC ENFORCEMENT ACT OF 1986 (PROPOSITION 65): A SUMMARY

The following summary has been prepared by the California Office of Environmental Health Hazard Assessment (OEHHA), the lead agency for the implementation of the Safe Drinking Water and Toxic Enforcement Act of 1986 (commonly known as "Proposition 65"). A copy of this summary must be included as an attachment to any notice of violation served upon an alleged violator of the Act. The summary provides basic information about the provisions of the law, and is intended to serve only as a convenient source of general information. It is not intended to provide authoritative guidance on the meaning or application of the law. The reader is directed to the statute and OEHHA's implementing regulations (see citations below) for further information.

FOR INFORMATION CONCERNING THE BASIS FOR THE ALLEGATIONS IN THE NOTICE RELATED TO YOUR BUSINESS, CONTACT THE PERSON IDENTIFIED ON THE NOTICE.

Proposition 65 appears in California law as Health and Safety Code Sections 25249.5 through 25249.13. The statute is available online at: <http://oehha.ca.gov/prop65/law/P65law72003.html>. Regulations that provide more specific guidance on compliance, and that specify procedures to be followed by the State in carrying out certain aspects of the law, are found in Title 27 of the California Code of Regulations, sections 25102 through 27001.¹ These implementing regulations are available online at: <http://oehha.ca.gov/prop65/law/P65Regs.html>.

WHAT DOES PROPOSITION 65 REQUIRE?

The "Governor's List." Proposition 65 requires the Governor to publish a list of chemicals that are known to the State of California to cause cancer and/or reproductive toxicity. This means that chemicals are placed on the Proposition 65 list if they are known to cause cancer and/or birth defects or other reproductive harm, such as

¹ All further regulatory references are to sections of Title 27 of the California Code of Regulations unless otherwise indicated. The statute, regulations and relevant case law are available on the OEHHA website at: <http://www.oehha.ca.gov/prop65/law/index.html>.

damage to female or male reproductive systems or to the developing fetus. This list must be updated at least once a year. The current Proposition 65 list of chemicals is available on the OEHHA website at:

http://www.oehha.ca.gov/prop65/prop65_list/Newlist.html.

Only those chemicals that are on the list are regulated under this law. Businesses that produce, use, release or otherwise engage in activities involving listed chemicals must comply with the following:

Clear and reasonable warnings. A business is required to warn a person before “knowingly and intentionally” exposing that person to a listed chemical unless an exemption applies; for example, when exposures are sufficiently low (see below). The warning given must be “clear and reasonable.” This means that the warning must: (1) clearly make known that the chemical involved is known to cause cancer, or birth defects or other reproductive harm and (2) be given in such a way that it will effectively reach the person before he or she is exposed. Some exposures are exempt from the warning requirement under certain circumstances discussed below.

Prohibition from discharges into drinking water. A business must not knowingly discharge or release a listed chemical into water or onto land where it passes or probably will pass into a source of drinking water. Some discharges are exempt from this requirement under certain circumstances discussed below.

DOES PROPOSITION 65 PROVIDE ANY EXEMPTIONS?

Yes. You should consult the current version of the statute and regulations (<http://www.oehha.ca.gov/prop65/law/index.html>) to determine all applicable exemptions, the most common of which are the following:

Grace Period. Proposition 65 warning requirements do not apply until 12 months after the chemical has been listed. The Proposition 65 discharge prohibition does not apply to a discharge or release of a chemical that takes place less than 20 months after the listing of the chemical.

Governmental agencies and public water utilities. All agencies of the federal, state or local government, as well as entities operating public water systems, are exempt.

Businesses with nine or fewer employees. Neither the warning requirement nor the discharge prohibition applies to a business that employs a total of nine or fewer employees. This includes all employees, not just those present in California.

Exposures that pose no significant risk of cancer. For chemicals that are listed as known to the State to cause cancer (“carcinogens”), a warning is not required if the business can demonstrate that the exposure occurs at a level that poses “no significant risk.” This means that the exposure is calculated to result in not more than one excess case of cancer in 100,000 individuals exposed over a 70-year lifetime. The Proposition 65 regulations identify specific “No Significant Risk Levels” (NSRLs) for many listed carcinogens. Exposures below these levels are exempt from the warning requirement. See OEHHA’s website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of NSRLs, and Section 25701 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures that will produce no observable reproductive effect at 1,000 times the level in question. For chemicals known to the State to cause reproductive toxicity, a warning is not required if the business can demonstrate that the exposure will produce no observable effect, even at 1,000 times the level in question. In other words, the level of exposure must be below the “no observable effect level” divided by a 1,000. This number is known as the Maximum Allowable Dose Level (MADL). See OEHHA’s website at: <http://www.oehha.ca.gov/prop65/getNSRLs.html> for a list of MADLs, and Section 25801 *et seq.* of the regulations for information concerning how these levels are calculated.

Exposures to Naturally Occurring Chemicals in a Food. Certain exposures to chemicals that occur in foods naturally (i.e., that do not result from any known human activity, including activity by someone other than the person causing the exposure) are exempt from the warning requirements of the law. If the chemical is a contaminant² it must be reduced to the lowest level feasible. Regulations explaining this exemption can be found in Section 25501.

Discharges that do not result in a “significant amount” of the listed chemical entering into any source of drinking water. The prohibition from discharges into drinking water does not apply if the discharger is able to demonstrate that a “significant amount” of the listed chemical has not, does not, or will not pass into or probably pass into a source of drinking water, and that the discharge complies with all other applicable laws, regulations, permits, requirements, or orders. A “significant amount” means any detectable amount, except an amount that would meet the “no significant risk” level for chemicals that cause cancer or that is 1,000 times below the “no observable effect” level for chemicals that cause reproductive toxicity, if an individual were exposed to that amount in drinking water.

² See Section 25501(a)(4)

HOW IS PROPOSITION 65 ENFORCED?

Enforcement is carried out through civil lawsuits. These lawsuits may be brought by the Attorney General, any district attorney, or certain city attorneys. Lawsuits may also be brought by private parties acting in the public interest, but only after providing notice of the alleged violation to the Attorney General, the appropriate district attorney and city attorney, and the business accused of the violation. The notice must provide adequate information to allow the recipient to assess the nature of the alleged violation. The notice must comply with the information and procedural requirements specified in Section 25903 of the regulations and in Title 11, sections 3100-3103. A private party may not pursue an independent enforcement action under Proposition 65 if one of the governmental officials noted above initiates an action within sixty days of the notice.

A business found to be in violation of Proposition 65 is subject to civil penalties of up to \$2,500 per day for each violation. In addition, the business may be ordered by a court to stop committing the violation.

FOR FURTHER INFORMATION ABOUT THE LAW OR REGULATIONS...

Contact the Office of Environmental Health Hazard Assessment's Proposition 65 Implementation Office at (916) 445-6900 or via e-mail at P65Public.Comments@oehha.ca.gov.

Revised: July, 2012

NOTE: Authority cited: Section 25249.12, Health and Safety Code. Reference: Sections 25249.5, 25249.6, 25249.9, 25249.10 and 25249.11, Health and Safety Code.